

Hercules Capital, Inc.
Form DEFA14A
November 08, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 14A INFORMATION

Proxy Statement Pursuant to Section 14(a) of the
Securities Exchange Act of 1934

Filed by the Registrant Filed by a Party other than the Registrant
Check the appropriate box:
Preliminary Proxy Statement
Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))
Definitive Proxy Statement
Definitive Additional Materials
Soliciting Material Pursuant to §240.14a-12

Hercules Capital, Inc.
(Name of Registrant as Specified in Its Charter)

(Name of Person(s) Filing Proxy Statement if Other Than the Registrant)

Payment of Filing Fee (Check the appropriate box):

No fee required.

Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

(1) Title of each class of securities to which transaction applies:

(2) Aggregate number of securities to which transaction applies:

(3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (Set forth the amount on which the filing fee is calculated and state how it was determined):

(4) Proposed maximum aggregate value of transaction:

(5) Total fee paid:

Fee paid previously with preliminary materials.

Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the form or schedule and the date of its filing.

(1) Amount previously paid:

(2) Form, schedule or registration statement no.:

(3) Filing party:

(4) Date filed:



ATTENTION Hercules Capital Inc. Stockholder: Your Vote Needed Today!

SPECIMEN

HERCULES CAPITAL INC
2018 Special Meeting
December 6, 2018

According to our latest records, we have not received your voting instructions!

Important proxy voting material is ready for your action.

Three
Ways to Vote

| | |
|-------------------------|--|
| Now via Proxy Vote | Vote By |
| At the Meeting | December 5, 2018 11:59 PM ET |
| By Phone 1.800.454.8683 | Control Number: 0123456789012345 |
| | Account Number: 3456789012345678901 |

Important

Materials

Proxy Statement

For holders as of October 4, 2018
CUSIP: 427096508

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