#### **CURLEY KEVIN M**

Form 4

August 25, 2017

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0287 Number: January 31,

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**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **CURLEY KEVIN M** 

(First)

2. Issuer Name and Ticker or Trading Symbol

TRUSTCO BANK CORP N Y [TRST]

(Month/Day/Year)

3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title \_ Other (specify

Issuer

below)

C/O TRUSTCO BANK CORP NY, 5 08/23/2017

(Middle)

SARNOWSKI DRIVE

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

SENIOR VICE PRESIDENT

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

GLENVILLE, NY 1230	J۷
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(City)	(State) (Zij	p) Table I	- Non-Der	rivative Se	curiti	es Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	n(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of 6. Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON STOCK	08/23/2017		Code V	Amount 7,500	(A) or (D)	Price \$ 5.14	Transaction(s) (Instr. 3 and 4) 81,230	D	
COMMON STOCK	08/23/2017		M	4,000	A	\$ 5.17	85,230	D	
COMMON STOCK	08/23/2017		F	7,498	D	\$ 7.9	77,732	D	
COMMON STOCK							763	I	BY WIFE

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	of Derivative Expiration Date Securities (Month/Day/Year) Acquired A) or Disposed of D)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amor or Numl of Share
OPTION: RT-TO-BUY	\$ 5.14	08/23/2017		M	7,500	<u>(1)</u>	11/15/2021	COMMON STOCK	7,50
OPTION: RT-TO-BUY	\$ 5.17	08/23/2017		M	4,000	(2)	11/20/2022	COMMON STOCK	4,0

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CURLEY KEVIN M C/O TRUSTCO BANK CORP NY 5 SARNOWSKI DRIVE GLENVILLE, NY 12302

SENIOR VICE PRESIDENT

## **Signatures**

/S/ MICHAEL M. OZIMEK, BY POWER OF ATTORNEY

08/25/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) AWARD VESTS IN EQUAL INSTALLMENTS FROM THE FIRST THROUGH FIFTH YEAR FOLLOWING THE AWARD DATE, BEGINNING NOVEMBER 15, 2012
- (2) AWARD VESTS IN EQUAL INSTALLMENTS FROM THE FIRST THROUGH FIFTH YEAR FOLLOWING THE AWARD DATE, BEGINNING NOVEMBER 20, 2013.

Reporting Owners 2

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#### **Remarks:**

#### ADDITIONAL SHARES ACQUIRED BY REPORTING PERSON'S PARTICIPATION IN DIVIDEND REINVESTMENT

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.