#### Edgar Filing: PLAINS ALL AMERICAN PIPELINE LP - Form 3

#### PLAINS ALL AMERICAN PIPELINE LP

Form 3

November 18, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Oxy Holding Co (Pipeline),

Inc.

(First)

Statement

(Month/Day/Year) 11/15/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

PLAINS ALL AMERICAN PIPELINE LP [PAA]

(Last)

(Middle)

4. Relationship of Reporting Person(s) to Issuer

Director

Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

**5 GREENWAY** PLAZA. SUITE 110

(Street)

(Check all applicable)

(give title below) (specify below)

See remarks

10% Owner \_X\_\_ Other

6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting

Person

X Form filed by More than One

Reporting Person

HOUSTON, TXÂ 77046

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial

Ownership (Instr. 5) Form:

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion

5. Ownership Form of (Instr. 5)

6. Nature of Indirect Beneficial Ownership

(Instr. 4)

**Expiration Title** Date Exercisable Date

Amount or Number of Derivative Security

or Exercise

Price of

Security: Direct (D)

Derivative

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# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Oxy Holding Co (Pipeline), Inc. 5 GREENWAY PLAZA SUITE 110 HOUSTON, TX 77046	Â	Â	Â	See remarks
Occidental Transportation Holding Corp 5 GREENWAY PLAZA SUITE 110 HOUSTON, TX 77046	Â	Â	Â	See remarks
OXY USA INC 5 GREENWAY PLAZA SUITE 110 HOUSTON, TX 77046	Â	Â	Â	See remarks
OCCIDENTAL PETROLEUM CORP /DE/ 5 GREENWAY PLAZA SUITE 110 HOUSTON. TX 77046	Â	Â	Â	See remarks

## **Signatures**

/s/ Nicole E. Clark, Vice President and Secretary of Oxy Holding Company (Pipeline), Inc.	
**Signature of Reporting Person	Date
/s/ Nicole E. Clark, Vice President and Secretary of Occidental Transportation Holding Corporation	
**Signature of Reporting Person	Date
/s/ Nicole E. Clark, Vice President and Secretary of OXY USA Inc.	11/18/2016
**Signature of Reporting Person	Date
/s/ Marcia E. Backus, SVP and General Counsel of Occidental Petroleum Corporation	
**Signature of Reporting Person	Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Oxy Holding Company (Pipeline), Inc. ("OHC") owns directly 29,977,890 Class A Units representing limited partner interests (the "Class A Units") in Plains AAP, L.P. ("AAP"). The limited partnership agreement of AAP provides, among other things, that OHC will have the right, at any time and from time to time and subject to certain transfer restrictions, to cause AAP to redeem all or a portion of its Class A Units for common units representing limited partner interests in the Issuer, on a one-for-one basis.

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#### **Remarks:**

Bernard Francis Figlock III is a director of the general partner ("PAGP GP") of Plains GPÂ Holdin

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.