BioRestorative Therapies, Inc.

Form 4

October 08, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

BioRestorative Therapies, Inc.

3. Date of Earliest Transaction

4. If Amendment, Date Original

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

Clark Mandy D.

(Last)

(First)

555 HERITAGE DRIVE

(Street)

JUPITER, FL 33458

(City) (State)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year)

(Instr. 3)

(Zip)

(Middle)

Execution Date, if (Month/Day/Year)

Symbol

[BRTX]

(Month/Day/Year)

Filed(Month/Day/Year)

10/04/2013

Code (Instr. 8)

3.

Disposed of (D) (Instr. 3, 4 and 5)

TransactionAcquired (A) or

(A)

4. Securities

Following Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Issuer

below)

Person

5. Amount of

Securities

Owned

Beneficially

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Director

Applicable Line)

X_ Officer (give title

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

VP of Operations

6. Ownership

Form: Direct

(D) or Indirect Beneficial

6. Individual or Joint/Group Filing(Check

X Form filed by One Reporting Person Form filed by More than One Reporting

(T)

(Instr. 4)

below)

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

SEC 1474

(9-02)

Indirect

Estimated average

burden hours per

1

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| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | |
|-----------------|------------------------------------|------------------|------------|--|---------------------|--------------------|-----------------|-------------------------------------|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option | \$ 0.5 | | | | 12/15/2010 | 12/14/2020 | Common Stock | 80,000 |
| Stock Option | \$ 1 | | | | <u>(1)</u> | 04/20/2021 | Common Stock | 6,000 |
| Stock Option | \$ 1.05 | | | | (2) | 02/09/2022 | Common Stock | 30,000 |
| Stock Option | \$ 1.5 | | | | <u>(3)</u> | 12/07/2022 | Common Stock | 50,000 |
| Stock Option | \$ 0.6 | 10/04/2013 | A | 80,000 | <u>(4)</u> | 10/04/2023 | Common Stock | 80,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|---------------------------------|---------------|
| Reporting Owner Manie / Address | |

Director 10% Owner Officer Other

Clark Mandy D.

555 HERITAGE DRIVE JUPITER, FL 33458 VP of Operations

Signatures

/s/ Mandy D. Clark 10/08/2013

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is exercisable to the extent of 2,000 shares on each of April 21, 2011, April 21, 2012 and April 21, 2013.
- (2) The option is exercisable to the extent of 15,000 shares on each of February 10, 2012 and February 10, 2013.
- (3) The option is exercisable to the extent of 25,000 shares on each of December 7, 2012 and December 7, 2013.
- (4) The option is exercisable to the extent of 40,000 shares on each of October 4, 2013 and October 4, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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