

Harris Taylor C.
Form 3
October 17, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Harris Taylor C.

(Last) (First) (Middle)

6035 STONERIDGE DRIVE

(Street)

PLEASANTON,Â CAÂ 94588

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

10/10/2012

3. Issuer Name **and** Ticker or Trading Symbol
THORATEC CORP [THOR]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP, Chief Financial Officer

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

18,990 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Non-Qualified Stock Options (right to buy)	03/15/2011	03/15/2020	Common Stock	10,000 ⁽²⁾	\$ 33.16	D	Â
Non-Qualified Stock Options (right to buy)	03/01/2012	03/01/2021	Common Stock	5,775 ⁽³⁾	\$ 27.3	D	Â
Non-Qualified Stock Options (right to buy)	03/09/2013	03/09/2022	Common Stock	6,447 ⁽⁴⁾	\$ 33.99	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Harris Taylor C. 6035 STONERIDGE DRIVE PLEASANTON, CA 94588	Â	Â	Â VP, Chief Financial Officer	Â

Signatures

/s/Taylor C. Harris 10/17/2012

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 15,229 unvested Restricted Stock Units. The restricted stock units vest in four equal annual installments commencing one year after the grant date.
- (2) Represents 5,000 exercisable Non-Qualified Stock Options and 5,000 Non-Qualified Stock Options scheduled to vest as follows: 2,500 shares on 03/15/2013 and 2,500 shares on 03/15/2014
- (3) Represents 1,444 exercisable Non-Qualified Stock Options and 4,331 Non-Qualified Stock Options scheduled to vest as follows: 1,444 shares on 03/01/2013, 1,444 shares on 03/01/2014 and 1,443 shares on 03/01/2015
- (4) Represents 6,447 Non-Qualified Stock Options scheduled to vest as follows: 1,612 shares on 03/09/2013, 1,612 shares on 03/09/2014, 1,612 shares on 03/09/2015 and 1,611 shares on 03/09/2016

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.