

VANGUARD VII L P
Form 4
April 04, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
VANGUARD VII L P

2. Issuer Name and Ticker or Trading Symbol
VOCERA COMMUNICATIONS, INC. [VCRA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
04/02/2012

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

C/O VANGUARD VENTURES, P.O. BOX 20068

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

SAN JOSE, CA 95160

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	04/02/2012		C		960,944	A	(\$)	960,944	D (2)	
Common Stock	04/02/2012		C		91,267	A	(\$)	91,267	I	By Vanguard VII-A, L.P. (3)
Common Stock	04/02/2012		C		31,305	A	(\$)	31,305	I	By Vanguard VII Accredited Affiliates

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Common Stock	04/02/2012	C	14,271	A	<u>(1)</u>	14,271	I	Fund, L.P. <u>(4)</u> By Vanguard VII Qualified Affiliates Fund, L.P. <u>(5)</u>
Common Stock	04/02/2012	C	767,847	A	<u>(6)</u>	1,728,791	D <u>(2)</u>	
Common Stock	04/02/2012	C	72,927	A	<u>(6)</u>	164,194	I	By Vanguard VII-A, L.P. <u>(3)</u>
Common Stock	04/02/2012	C	25,014	A	<u>(6)</u>	56,319	I	By Vanguard VII Accredited Affiliates Fund, L.P. <u>(4)</u>
Common Stock	04/02/2012	C	11,403	A	<u>(6)</u>	25,674	I	By Vanguard VII Qualified Affiliates Fund, L.P. <u>(5)</u>
Common Stock	04/02/2012	C	328,770	A	<u>(6)</u>	2,057,561	D <u>(2)</u>	
Common Stock	04/02/2012	C	31,225	A	<u>(6)</u>	195,419	I	By Vanguard VII-A, L.P. <u>(3)</u>
Common Stock	04/02/2012	C	10,710	A	<u>(6)</u>	67,029	I	By Vanguard VII Accredited Affiliates Fund, L.P. <u>(4)</u>
Common Stock	04/02/2012	C	4,882	A	<u>(6)</u>	30,556	I	By Vanguard VII Qualified

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									Affiliates Fund, L.P. <u>(5)</u>
Common Stock	04/02/2012	C	92,688	A	<u>(6)</u>	2,150,249	D	<u>(2)</u>	
Common Stock	04/02/2012	C	8,803	A	<u>(6)</u>	204,222	I		By Vanguard VII-A, L.P. <u>(3)</u>
Common Stock	04/02/2012	C	3,019	A	<u>(6)</u>	70,048	I		By Vanguard VII Accredited Affiliates Fund, L.P. <u>(4)</u>
Common Stock	04/02/2012	C	1,376	A	<u>(6)</u>	31,932	I		By Vanguard VII Qualified Affiliates Fund, L.P. <u>(5)</u>
Common Stock	04/02/2012	C	117,257	A	<u>(6)</u>	2,267,506	D	<u>(2)</u>	
Common Stock	04/02/2012	C	11,136	A	<u>(6)</u>	215,358	I		By Vanguard VII-A, L.P. <u>(3)</u>
Common Stock	04/02/2012	C	3,819	A	<u>(6)</u>	73,867	I		By Vanguard VII Accredited Affiliates Fund, L.P. <u>(4)</u>
Common Stock	04/02/2012	C	1,741	A	<u>(6)</u>	33,673	I		By Vanguard VII Qualified Affiliates Fund, L.P. <u>(5)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not**

SEC 1474
(9-02)

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying Security (Instr. 3 and 4)
					(A)	(D)	Date Exercisable	Expiration Date	
Series B Convertible Preferred Stock	(1)	04/02/2012		C		524,986	(1)	(1)	Common Stock
Series B Convertible Preferred Stock	(1)	04/02/2012		C		49,862	(1)	(1)	Common Stock
Series B Convertible Preferred Stock	(1)	04/02/2012		C		17,103	(1)	(1)	Common Stock
Series B Convertible Preferred Stock	(1)	04/02/2012		C		7,797	(1)	(1)	Common Stock
Series C Convertible Preferred Stock	(6)	04/02/2012		C		767,847	(6)	(6)	Common Stock
Series C Convertible Preferred Stock	(6)	04/02/2012		C		72,927	(6)	(6)	Common Stock
Series C Convertible Preferred	(6)	04/02/2012		C		25,014	(6)	(6)	Common Stock

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Stock

Series C Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	11,403	<u>(6)</u>	<u>(6)</u>	Common Stock
Series D Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	328,770	<u>(6)</u>	<u>(6)</u>	Common Stock
Series D Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	31,225	<u>(6)</u>	<u>(6)</u>	Common Stock
Series D Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	10,710	<u>(6)</u>	<u>(6)</u>	Common Stock
Series D Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	4,882	<u>(6)</u>	<u>(6)</u>	Common Stock
Series E Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	92,688	<u>(6)</u>	<u>(6)</u>	Common Stock
Series E Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	8,803	<u>(6)</u>	<u>(6)</u>	Common Stock
Series E Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	3,019	<u>(6)</u>	<u>(6)</u>	Common Stock

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Series E Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	1,376	<u>(6)</u>	<u>(6)</u>	Common Stock
Series F Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	117,257	<u>(6)</u>	<u>(6)</u>	Common Stock
Series F Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	11,136	<u>(6)</u>	<u>(6)</u>	Common Stock
Series F Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	3,819	<u>(6)</u>	<u>(6)</u>	Common Stock
Series F Convertible Preferred Stock	<u>(6)</u>	04/02/2012	C	1,741	<u>(6)</u>	<u>(6)</u>	Common Stock
Series E Convertible Pref St Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	27,806	<u>(7)</u>	10/19/2015	Series E Convertible Preferred Stock <u>(6)</u>
Common Stock Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	27,806	<u>(7)</u>	10/19/2015	Common Stock
Series E Convertible Pref St Purchase	\$ 6.61	04/02/2012	C	2,641	<u>(7)</u>	10/19/2015	Series E Convertible Preferred Stock <u>(6)</u>

Warrant
(Right to
Buy)

Common
Stock

Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	2,641	<u>(7)</u>	10/19/2015	Common Stock
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Series E
Convertible

Pref St

Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	905	<u>(7)</u>	10/19/2015	Series E Convertible Preferred Stock <u>(6)</u>
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Common
Stock

Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	905	<u>(7)</u>	10/19/2015	Common Stock
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Series E
Convertible

Pref St

Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	413	<u>(7)</u>	10/19/2015	Series E Convertible Preferred Stock <u>(6)</u>
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Common
Stock

Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	413	<u>(7)</u>	10/19/2015	Common Stock
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
VANGUARD VII L P C/O VANGUARD VENTURES P.O. BOX 20068 SAN JOSE, CA 95160		X		

Signatures

/s/ Ken Shilling, by Power of Attorney for Vanguard VII Venture Partners, LLC, its general partner

04/04/2012

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Series B Convertible Preferred Stock converted into 1.8304174 shares of common stock upon the completion of the Issuer's initial public offering and had no expiration date.
- (2) Shares are held directly by Vanguard VII, L.P. ("V7 LP").
- (3) Shares are held directly by Vanguard VII-A, L.P. ("V7A LP").
- (4) Shares are held directly by Vanguard VII Accredited Affiliates Fund, L.P. ("Accredited").
- (5) Shares are held directly by Vanguard VII Qualified Affiliates Fund, L.P. ("Qualified").
- (6) Each share of Series C, Series D, Series E and Series F Convertible Preferred Stock converted into one share of common stock upon the completion of the Issuer's initial public offering and had no expiration date.
- (7) Immediately exercisable.

Remarks:

Donald F. Wood, Dan Eilers, Jack Gill, Tom McConnell, and Bob Ulrich are the managing members of Vanguard VII Venture

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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