

Ronaghi Mostafa  
Form 4  
September 11, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ronaghi Mostafa

2. Issuer Name and Ticker or Trading Symbol  
ILLUMINA INC [ILMN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
09/10/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

Sr VP & CTO

5200 ILLUMINA WAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

SAN DIEGO, CA 92122

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |   |
| Common Stock                    | 09/10/2018                           |  | M                              |   | 10,000<br>(1)   | A  | \$ 37.04 80,805 D                                     |
| Common Stock                    | 09/10/2018                           |  | S                              |   | 701<br>(1)  | D  | \$ 343.661 80,104<br>(2) D                            |
| Common Stock                    | 09/10/2018                           |  | S                              |   | 1,360<br>(1)  | D  | \$ 344.611 78,744<br>(3) D                            |
| Common Stock                    | 09/10/2018                           |  | S                              |   | 1,294<br>(1)  | D  | \$ 345.784 77,450<br>(4) D                            |

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|              |            |   |                     |   |                   |        |   |
|--------------|------------|---|---------------------|---|-------------------|--------|---|
| Common Stock | 09/10/2018 | S | <u>2,415</u><br>(1) | D | \$ 346.94<br>(5)  | 75,035 | D |
| Common Stock | 09/10/2018 | S | <u>1,025</u><br>(1) | D | \$ 347.839<br>(6) | 74,010 | D |
| Common Stock | 09/10/2018 | S | <u>3,205</u><br>(1) | D | \$ 349.233<br>(7) | 70,805 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year)    | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|---|---|
| Non-qualified Stock Option (Right to Buy)  | \$ 37.04   | 09/10/2018                           |  | M                              | 10,000<br>(1)   | Date Exercisable: 02/27/2010<br>Expiration Date: 01/27/2020 | Common Stock 10,000   |

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |             |       |
|---|---------------|-----------|-------------|-------|
|   | Director      | 10% Owner | Officer     | Other |
| Ronaghi Mostafa<br>5200 ILLUMINA WAY<br>SAN DIEGO, CA 92122 |               |           | Sr VP & CTO |       |

## Signatures

By: Scott M. Davies for Mostafa Ronaghi  
09/11/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sale was made pursuant to a 10b5-1 plan.
- (2) Weighted average sale price representing 701 shares sold ranging from \$343.15 to \$344.01 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sale price representing 1,360 shares sold ranging from \$344.18 to \$345.08 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 1,294 shares sold ranging from \$345.31 to \$346.15 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (5) Weighted average sale price representing 2,415 shares sold ranging from \$346.38 to \$347.36 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (6) Weighted average sale price representing 1,025 shares sold ranging from \$347.38 to \$348.34 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (7) Weighted average sale price representing 3,205 shares sold ranging from \$348.90 to \$349.45 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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