

Gallina John E
Form 4
March 07, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Gallina John E

(Last) (First) (Middle)

120 MONUMENT CIRCLE

(Street)

INDIANAPOLIS, IN 46204

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Anthem, Inc. [ANTM]

3. Date of Earliest Transaction
(Month/Day/Year)
03/05/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

EVP & Chief Financial Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	03/05/2018		G	V	2,448	D	\$ 0	22,139	D
Common Stock	03/05/2018		M		3,948	A	\$ 61.88	26,087	D
Common Stock	03/05/2018		M		3,921	A	\$ 131.8	30,008	D
Common Stock	03/05/2018		M		6,466	A	\$ 89.44	36,474	D
Common Stock	03/05/2018		M		4,036	A	\$ 132.51	40,510	D

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Common Stock	03/05/2018	S ⁽¹⁾	15,104	D	\$ <u>(2)</u>	229.19	25,406	D
Common Stock	03/05/2018	S ⁽¹⁾	5,372	D	\$ <u>(3)</u>	230.44	20,034	D
Common Stock	03/05/2018	S ⁽¹⁾	4,100	D	\$ <u>(4)</u>	231.29	15,934	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 61.88	03/05/2018		M	3,948	<u>(5)</u> 03/01/2020	Common Stock	3,948
Employee Stock Option (Right to Buy)	\$ 131.8	03/05/2018		M	3,921	<u>(6)</u> 03/01/2026	Common Stock	3,921
Employee Stock Option (Right to Buy)	\$ 89.44	03/05/2018		M	6,466	<u>(7)</u> 03/03/2021	Common Stock	6,466
	\$ 132.51	03/05/2018		M	4,036	<u>(8)</u> 06/01/2026		4,036

Employee
Stock
Option
(Right to
Buy)

Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gallina John E 120 MONUMENT CIRCLE INDIANAPOLIS, IN 46204			EVP & Chief Financial Officer	

Signatures

/s/ Kathleen S. Kiefer, Attorney
in fact

****Signature of Reporting Person** _____ **Date** _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 12, 2017.
- This transaction was executed in multiple trades at prices ranging from \$229.00 to \$229.98. The price reported reflects the weighted
- (2) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades at prices ranging from \$230.00 to \$230.97. The price reported reflects the weighted
- (3) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades at prices ranging from \$231.01 to \$231.83. The price reported reflects the weighted
- (4) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- The option, representing a right to purchase a total of 11,843 shares, is exercisable in one installment of 1,973 shares and five semi-annual
- (5) installments of 1,974 shares each beginning on September 1, 2013, which is the six-month anniversary of the date on which the option was granted.
- The option, representing a right to purchase a total of 5,883 shares, is exercisable in three semi-annual installments of 980 shares each and
- (6) three semi-annual installments of 981 shares each beginning on September 1, 2016, which is the six-month anniversary of the date on which the option was granted.
- The option, representing a right to purchase a total of 9,698 shares, is exercisable in four semi-annual installments of 1,616 shares each
- (7) and two semi-annual installments of 1,617 shares each beginning on September 3, 2014, which is the six-month anniversary of the date on which the option was granted.
- The option represents a right to purchase a total of 8,074 shares, is exercisable in two installments of 1,345 shares each and four
- (8) installments of 1,346 shares each, beginning on December 1, 2016, which is the six-month anniversary of the option grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.