

LAROCQUE PETER
Form 4
January 23, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LAROCQUE PETER

(Last) (First) (Middle)
44201 NOBEL DRIVE
(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SYNEX CORP [SNX]

3. Date of Earliest Transaction
(Month/Day/Year)
01/19/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
President, N.A. Distribution

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock	01/19/2018		M		602	A	\$ 32.4
Common Stock	01/19/2018		M		832	A	\$ 61.83
Common Stock	01/19/2018		M		1,810	A	\$ 62.9
Common Stock	01/19/2018		M		1,311	A	\$ 89.21
Common Stock	01/19/2018		M		3,445	A	\$ 112.08
							18,060
							18,892
							20,702
							22,013
							25,458

Edgar Filing: LAROCQUE PETER - Form 4

Common Stock	01/19/2018	S	1,000	D	\$ 135.0201	24,458	D
Common Stock	01/19/2018	S	1,000	D	\$ 135.03	23,458	D
Common Stock	01/19/2018	S	1,000	D	\$ 135	22,458	D
Common Stock	01/19/2018	S	1,000	D	\$ 135.1851	21,458	D
Common Stock	01/19/2018	S	1,000	D	\$ 135.2268	20,458	D
Common Stock	01/19/2018	S	1,000	D	\$ 135.7101	19,458	D
Common Stock	01/19/2018	S	2,000	D	\$ 135.61	17,458	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 32.4	01/19/2018		M	602	<u>(1)</u> 10/03/2022	Common Stock	602
Stock Option (Right to Buy)	\$ 61.83	01/19/2018		M	832	<u>(2)</u> 10/03/2023	Common Stock	832
Employee Stock	\$ 62.9	01/19/2018		M	1,810	<u>(3)</u> 10/07/2024	Common Stock	1,810

Option (Right to Buy)									
Stock Option (Right to Buy)	\$ 89.21	01/19/2018	M	1,311	(4)	10/06/2025	Common Stock	1,311	
Employee Stock Option (Right to Buy)	\$ 112.08	01/19/2018	M	3,445	(5)	10/04/2026	Common Stock	3,445	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LAROCQUE PETER 44201 NOBEL DRIVE FREMONT, CA 94538			President, N.A. Distribution	

Signatures

/s/ Simon Y. Leung,
Attorney-in-Fact

01/23/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock option is immediately exercisable and there are no longer any shares subject to this option.
- (2) This stock option vests as to 20% of the 10,584 shares on the first anniversary of the date of grant (October 3, 2013) and vests as to 1/60th of the shares monthly thereafter.
- (3) This stock option vests as to 20% of the 15,514 shares on the first anniversary of the date of grant (October 7, 2014) and vests as to 1/60th of the shares monthly thereafter.
- (4) This stock option vests as to 20% of the 11,235 shares on the first anniversary of the date of grant (October 6, 2015) and vests as to 1/60th of the shares monthly thereafter.
- (5) This stock option vests as to 20% of the 13,778 shares on the first anniversary of the date of grant (October 4, 2016) and vests as to 1/60th of the shares monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.