

FOSTER JAMES C

Form 4

January 04, 2018

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FOSTER JAMES C

2. Issuer Name **and** Ticker or Trading  
Symbol  
CHARLES RIVER  
LABORATORIES  
INTERNATIONAL INC [CRL]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Chairman, President and CEO

(Last) (First) (Middle)  
251 BALLARDVALE STREET  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/02/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

WILMINGTON, MA 01887

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|------------|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount | (A) or (D) | Price   |  |   |
| Common Stock                    | 01/02/2018                           |  | S <sup>(1)</sup>               |   | 600    | D          | \$ 110.36   | 298,131  | D   |
| Common Stock                    | 01/02/2018                           |  | S <sup>(1)</sup>               |   | 100    | D          | \$ 110.365  | 298,031  | D   |
| Common Stock                    | 01/02/2018                           |  | S <sup>(1)</sup>               |   | 400    | D          | \$ 110.37   | 297,631  | D   |
| Common Stock                    | 01/02/2018                           |  | S <sup>(1)</sup>               |   | 75     | D          | \$ 110.38   | 297,556  | D   |
| Common Stock                    | 01/02/2018                           |  | S <sup>(1)</sup>               |   | 100    | D          | \$ 110.385  | 297,456  | D   |

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|              |            |                  |     |   |            |         |   |                |
|--------------|------------|------------------|-----|---|------------|---------|---|----------------|
| Common Stock | 01/02/2018 | S <sup>(1)</sup> | 100 | D | \$ 110.39  | 297,356 | D |                |
| Common Stock | 01/02/2018 | S <sup>(1)</sup> | 90  | D | \$ 110.415 | 297,266 | D |                |
| Common Stock | 01/02/2018 | S <sup>(1)</sup> | 200 | D | \$ 110.42  | 297,066 | D |                |
| Common Stock | 01/02/2018 | S <sup>(1)</sup> | 400 | D | \$ 110.43  | 296,666 | D |                |
| Common Stock | 01/02/2018 | S <sup>(1)</sup> | 38  | D | \$ 110.445 | 296,628 | D |                |
| Common Stock | 01/02/2018 | S <sup>(1)</sup> | 62  | D | \$ 110.45  | 296,566 | D |                |
| Common Stock | 01/02/2018 | S <sup>(1)</sup> | 100 | D | \$ 110.455 | 296,466 | D |                |
| Common Stock | 01/02/2018 | S <sup>(1)</sup> | 200 | D | \$ 110.475 | 296,266 | D |                |
| Common Stock | 01/02/2018 | S <sup>(1)</sup> | 400 | D | \$ 110.5   | 295,866 | D |                |
| Common Stock | 01/02/2018 | S <sup>(1)</sup> | 400 | D | \$ 109.46  | 295,466 | D |                |
| Common Stock | 01/02/2018 | S <sup>(1)</sup> | 200 | D | \$ 110.55  | 295,266 | D |                |
| Common Stock |            |                  |     |   |            | 340     | I | By Trust       |
| Common Stock |            |                  |     |   |            | 10,000  | I | Held By Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|--|---|---|--------------------------------------|---|--|---|---|--|
|---|--|---|---|--------------------------------------|---|--|---|---|--|

4, and 5)

| Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|------|---|-----|-----|------------------|-----------------|-------|----------------------------|
|      |   |     |     |                  |                 |       |                            |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |                             |       |
|--|---------------|-----------|-----------------------------|-------|
|  | Director      | 10% Owner | Officer                     | Other |
| FOSTER JAMES C<br>251 BALLARDVALE STREET<br>WILMINGTON, MA 01887 | X             |           | Chairman, President and CEO |       |

## Signatures

/s/ James C. Foster                      01/04/2018

\_\_Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale occurred pursuant to a 10b5-1 Trading Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.