Edgar Filing: BENDER A THOMAS - Form 4

BENDER A Form 4	THOMAS											
November 1	6, 2017											
FORM	14									PPROVAL		
	Washington, D.C. 20549						OMB Number:	3235-0287				
Check th if no long subject to Section 1 Form 4 o	ger STATI 16.	EMENT O	OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: January 31 2009 Estimated average burden hours per response 0.9		
Form 5 obligatio may cont <i>See</i> Instru- 1(b). (Print or Type I	ns Section 1 tinue. uction	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, ection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
BENDER A THOMAS Symbol			Symbol	er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
(Lost)				(Cheo	eck all applicable)							
(Last) 6140 STON SUITE 590	(First)	(Middle)	3. Date of (Month/Da 11/15/20	ay/Year)	ansacuon			_X_ Director Officer (give below)	title 109 below)	% Owner her (specify		
	(Street)	4. If Amendment, Date Orig Filed(Month/Day/Year)			-	Applicable Line) _X_ Form filed by C			int/Group Filing(Check One Reporting Person			
PLEASAN	ΓΟΝ, CA 9458	8						Form filed by M Person	More than One R	eporting		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	any		emed on Date, if /Day/Year)	3. Transactio Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	l (A) c l of (D))	SecuritiesIBeneficially(OwnedI	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	11/15/2017			М	1,727	А	<u>(1)</u>	13,205	D			
Common Stock								5,666	I	Wife		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	11/15/2016		А	1,727		(2)	(3)	Common Stock	1,727
Restricted Stock Units	<u>(1)</u>	11/15/2017		М		1,727	(2)	(3)	Common Stock	1,727
Restricted Stock Units	<u>(1)</u>	11/15/2017		А	1,266		<u>(4)</u>	(3)	Common Stock	1,266

Reporting Owners

B 6 P S

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
BENDER A THOMAS 140 STONERIDGE MALL DR., SUITE 590 PLEASANTON, CA 94588	Х					
Signatures						

/s/ A. Thomas 11/16/2017 Bender 11/16/2017 <u>**Signature of Date</u> Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) RSU Exercise Price: There is no exercise price associated with the grant of Restricted Stock Units.
- (2) NED RSU Grant 11/15/16: RSUs will vest and release on the first anniversary of the date of grant 11/15/2017.
- (3) RSU Expiration Date: This award has no expiration date. Units will either vest or be forfeit.
- (4) NED RSU Grant 11/15/17: RSUs will vest and release on the first anniversary of the date of grant, 11/15/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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