Edgar Filing: Cox Christopher K - Form 4

Cox Christopher K Form 4 September 21, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB APPROVAL Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). State Average Section 17(a) of the Investment Company Act of 1940 30(h) of the Investment Company Act of 1940										
1. Name and Addres Cox Christopher	ss of Reporting Person <u>*</u> [•] K	2. Issuer Name Symbol Facebook Inc		or Tradin	-8	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) C/O FACEBOO WILLOW ROA		3. Date of Earlie: (Month/Day/Yea 09/21/2017		n		Director 10% Owner X Officer (give title Other (specify below) below) Chief Product Officer				
MENLO PARK	(Street) , CA 94025	4. If Amendment Filed(Month/Day/	-	nal	A _2 	5. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) (Zip)	Table I - No	on-Derivativ	e Securi	ities Acquir	ed, Disposed of,	or Beneficial	ly Owned		
	nsaction Date 2A. Deem h/Day/Year) Execution any (Month/D	Date, if Transac Code	tiorDisposed (Instr. 3, -	of (D) 4 and 5) (A)	iired (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
		Code	V Amount	or (D)	Price	(Instr. 3 and 4)				
Class A Common 09/2 Stock	1/2017	S <u>(1)</u>	13,400		\$ 170.5287 <u>2)</u>	178,802	D			
Class A Common 09/2 Stock	1/2017	S <u>(1)</u>	2,600		\$ 171.6477 3)	176,202	D			
Class A Common Stock						76,945	I	By Christopher K. Cox Revocable Trust (4)		

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Class A Common Stock							28,8	16 I	[Inte Tru Cre Uno Chr K. C 200 Ann Tru	ated ler The istopher Cox	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474												
						Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.)2)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 3)	2. 3. Transaction Date 3A. Deemed Conversion (Month/Day/Year) Execution Date, is or Exercise any Price of (Month/Day/Year) Derivative Security				Code	of	Expiration Da (Month/Day/	xpiration Date Month/Day/Year)		le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
										Amount		
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	or Number of Shares		
Reporting Owners												
Reporting	Owner Name	/ Address		R	elationships							
Reporting			Directo	r 10% Owner	Officer		Other					
1601 WIL	stopher K EBOOK, IN LOW ROA PARK, CA	D			Chief Pro	duct Offi	cer					

Signatures

/s/ Michael Johnson as attorney-in-fact for Christopher K. Cox

09/21/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$170.16 to \$171.11 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the

(2) staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$171.26 to \$171.71 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the

- (3) strff.20 to strff.71 per share, inclusive. The reporting person undertakes to provide to the issuer, any security notice of the issuer, of the security notice of the se
- (4) Shares held of record by Christopher Cox, Trustee of the Christopher K. Cox Revocable Trust.

Shares held of record by Remainder Interest Trust Created under the Christopher K. Cox 2009 Annuity Trust dated 5/29/2009, Visra Vichit-Vadakan, Trustee, the beneficiaries of which include the reporting person's children. The reporting person's spouse is trustee of the

(5) Viene valuari, frustee, the beneficial ownership of these shares, and the filing of this reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.