Edgar Filing: Facebook Inc - Form 4

Facebook Inc Form 4 States and the public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1934, 16). OMB APPROVAL Form 4 or 5 obligations may continue, See Instruction 16(a) of the Envestment Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935										
(Print or Type	e Responses)									
(Last) (First) (Middle)			 Issuer Name and Ticker or Trading Symbol Facebook Inc [FB] Date of Earliest Transaction (Month/Day/Year) 03/15/2017 				5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify			
WILLOW	ROAD						below) below) Chief Product Officer			
MENLO F	Amendment, Da d(Month/Day/Year	mendment, Date Original Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table I - Non-D	Derivative	Secu	rities Acqu	uired, Disposed of	f, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		if Transaction Code (ar) (Instr. 8)	Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	03/15/2017			11,370	A	\$0	201,258	D		
Class A Common Stock	03/15/2017			5,933 (2)	D	\$ 139.32	195,325	D		
Class A Common Stock							76,945	I	By Christopher K. Cox Revocable Trust (3)	

Edgar Filing: Facebook Inc - Form 4

Class A Common Stock						28,816	Ι	By Remai Interes Trust Create Under Christo K. Coy Annuit Trust I 5/29/2 (4)	t d The opher x 2009 ty Dated	
Reminder: Re	port on a sepa	rate line for each class	s of securities benefic	Persons informative required	who respo tion contain to respon a currentl	ond to the ned in this d unless t				
			tive Securities Acqui its, calls, warrants, c				Owned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDerivative & Acquired (A Disposed of	5. Number of Derivative Securities6. Date E Expiration (Month/D Disposed of (D) Instr. 3, 4, and 5)			7. Title and Amo Underlying Secu (Instr. 3 and 4)	
	·			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nu Sha
Restricted Stock Unit (RSU) (Class B)	(5)	03/15/2017		М		11,370	<u>(6)</u>	08/25/2019	Class B Common Stock (7)	1
Class B Common Stock (7)	(7)	03/15/2017		М	11,370		<u>(7)</u>	(7)	Class A Common Stock	1
Class B Common Stock (7)	(7)	03/15/2017		C		11,370 (8)	<u>(7)</u>	(7)	Class A Common Stock	1
Restricted Stock Units (RSU) (Class A)	<u>(9)</u>	03/15/2017		А	150,819		<u>(10)</u>	03/14/2027	Class A Common Stock	15

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Cox Christopher K C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025	Chief Product Officer						
Signatures							
/s/ Michael Johnson as attorney K. Cox	er 03/17/2017						
<u>**</u> Signature of Repo		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares that were acquired upon conversion of Class B Common Stock to Class A Common Stock in connection with the settlement of the Restricted Stock Units ("RSUs") listed in Table II.
- (2) Represents the number of shares of Class A Common Stock that have been withheld by the issuer to satisfy its income tax withholding and remittance obligations in connection with the net settlement of the RSUs and does not represent a sale by the reporting person.
- (3) Shares held of record by Christopher Cox, Trustee of the Christopher K. Cox Revocable Trust.

Shares held of record by Remainder Interest Trust Created under the Christopher K. Cox 2009 Annuity Trust dated 5/29/2009, Visra Vichit-Vadakan, Trustee, the beneficiaries of which include the reporting person's children. The reporting person's spouse is trustee of

- (4) Vienie Vadadan, Huske, the beneficial ownership of these shares, and the filing of this report is not an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.
- (5) Each RSU represents a contingent right to receive 1 share of the issuer's Class B Common Stock upon settlement.
- (6) The RSUs vest as to 1/48 of the total number of shares monthly, beginning on September 1, 2013, subject to continued service through each vesting date.
- (7) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.
- (8) The holder elected to convert the shares of Class B Common Stock into Class A Common Stock on a 1-for-1 basis.
- (9) Each RSU represents a contingent right to receive 1 share of the issuer's Class A Common Stock upon settlement.
- (10) The RSUs shall vest quarterly as to 1/16th of the total shares, commencing the first quarter following November 15, 2020, subject to continued service through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.