

STARBUCKS CORP

Form 4

February 06, 2017

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
BRADLEY WILLIAM W

(Last) (First) (Middle)

**2401 UTAH AVENUE SOUTH,
SUITE 800**

(Street)

SEATTLE, WA 98134

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
STARBUCKS CORP [SBUX]

3. Date of Earliest Transaction
(Month/Day/Year)
02/02/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	02/02/2017		M		2,966	A	\$ 11.435	52,582 ⁽¹⁾	D
Common Stock	02/02/2017		M		37,400	A	\$ 11.03	89,982	D
Common Stock	02/02/2017		M		35,084	A	\$ 15.3925	125,066	D
Common Stock	02/02/2017		M		21,774	A	\$ 21.82	146,840	D
Common Stock	02/02/2017		M		7,236	A	\$ 24.87	154,076	D

Edgar Filing: STARBUCKS CORP - Form 4

Common Stock	02/02/2017	M	8,886	A	\$ 40.495	162,962	D
Common Stock	02/02/2017	S	113,346	D	\$ 54.2299 (2)	49,616	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Non-qualified Stock Option (Right to Buy)	\$ 40.495	02/02/2017		M	8,886	11/11/2014 ⁽³⁾ 11/11/2023	Common Stock
Non-qualified Stock Option (Right to Buy)	\$ 24.87	02/02/2017		M	7,236	11/19/2013 ⁽⁴⁾ 11/19/2022	Common Stock
Non-qualified Stock Option (Right to Buy)	\$ 21.82	02/02/2017		M	21,774	11/14/2012 ⁽⁵⁾ 11/14/2021	Common Stock
Non-qualified Stock Option (Right to Buy)	\$ 15.3925	02/02/2017		M	35,084	11/15/2011 ⁽⁶⁾ 11/15/2020	Common Stock
Non-qualified Stock Option (Right to Buy)	\$ 11.03	02/02/2017		M	37,400	11/16/2010 ⁽⁷⁾ 11/16/2019	Common Stock
Non-qualified Stock Option (Right to Buy)	\$ 11.435	02/02/2017		M	2,966	11/19/2008 ⁽⁸⁾ 11/19/2017	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRADLEY WILLIAM W 2401 UTAH AVENUE SOUTH, SUITE 800 SEATTLE, WA 98134			X	

Signatures

/s/ Alejandro C. Torres, attorney-in-fact for William W.
Bradley

02/06/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 124 shares acquired on December 2, 2016, representing dividends on deferred stock units pursuant to a dividend reinvestment plan.

This transaction was executed in multiple trades at prices ranging from \$53.975 to \$54.385. The reporting person hereby undertakes to

- (2) provide upon request to the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (3) The option, representing the right to buy a total of 8,886 shares, became exercisable in one increment on November 11, 2014.
- (4) The option, representing the right to buy a total of 7,236 shares, became exercisable in one increment on November 19, 2013.
- (5) The option, representing the right to buy a total of 21,774 shares, became exercisable in one increment on November 14, 2012.
- (6) The option, representing the right to buy a total of 35,084 shares, became exercisable in one increment on November 15, 2011.
- (7) The option, representing the right to buy a total of 37,400 shares, became exercisable in one increment on November 16, 2010.
- (8) The option, representing the right to buy a total of 62,966 shares, became exercisable in one increment on November 19, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.