

CVS HEALTH Corp  
Form 4  
May 06, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GOLD STEPHEN J**

(Last) (First) (Middle)

**ONE CVS DRIVE**

(Street)

**WOONSOCKET, RI 02895**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**CVS HEALTH Corp [CVS]**

3. Date of Earliest Transaction (Month/Day/Year)  
**05/05/2016**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
**EVP & CIO**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V Amount (D) Price  |   |  |   |
| Common Stock                    | 05/05/2016                           |  | M                              | 9,798 A \$ 47.29  | 31,358.3558   | D  |   |
| Common Stock                    | 05/05/2016                           |  | S <sup>(1)</sup>               | 9,798 D \$ 105  | 21,560.3558   | D  |   |
| Common Stock (pep)              |                                      |  |                                |   | 10,056.8903   | D  |   |
| Common Stock (restricted)       |                                      |  |                                |   | 40,862  | D  |   |
| Stock Unit                      |                                      |  |                                |   | 7,093.3423  | D  |   |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Stock Option                               | \$ 47.29   | 05/05/2016                           |  | M                              | 9,798   | 07/09/2015 <sup>(2)</sup> 07/09/2022                     | Common Stock  | 9,798                      |
| Stock Option                               | \$ 54.53   |                                      |  |                                |   | 04/01/2014 <sup>(3)</sup> 04/01/2020                     | Common Stock  | 25,571                     |
| Stock Option                               | \$ 74.29   |                                      |  |                                |   | 04/01/2015 <sup>(4)</sup> 04/01/2021                     | Common Stock  | 33,569                     |
| Stock Option                               | \$ 102.26  |                                      |  |                                |   | 04/01/2016 <sup>(5)</sup> 04/01/2022                     | Common Stock  | 41,089                     |
| Stock Option                               | \$ 104.82  |                                      |  |                                |   | 04/01/2017 <sup>(6)</sup> 04/01/2023                     | Common Stock  | 48,395                     |

## Reporting Owners

| Reporting Owner Name / Address                          | Relationships |           |           |       |
|---|---------------|-----------|-----------|-------|
|   | Director      | 10% Owner | Officer   | Other |
| GOLD STEPHEN J<br>ONE CVS DRIVE<br>WOONSOCKET, RI 02895 |               |           | EVP & CIO |       |

## Signatures

/s/ Stephen J. Gold 05/06/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) All sales were effected pursuant to a Rule 10b5-1 plan.
- (2) Option became exercisable in three equal annual installments, commencing 07/09/2015.
- (3) Option became exercisable in four equal annual installments, commencing 4/1/2014.
- (4) Option became exercisable in four equal annual installments, commencing 4/1/2015.
- (5) Option became exercisable in four equal annual installments, commencing 4/1/2016.
- (6) Option becomes exercisable in four equal annual installments, commencing 4/1/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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