

BALL CORP
Form 4
March 20, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Barker Shawn M

(Last) (First) (Middle)

10 LONGS PEAK DRIVE

(Street)

BROOMFIELD, CO 80021

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
BALL CORP [BLL]

3. Date of Earliest Transaction (Month/Day/Year)
03/18/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Vice President & Controller

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	03/18/2015		M ⁽¹⁾		1,500	A	\$ 25.055
Common Stock	03/18/2015		D ⁽²⁾		840	D	\$ 70.95
Common Stock	03/18/2015		M ⁽¹⁾		2,500	A	\$ 20.04
Common Stock	03/18/2015		D ⁽²⁾		1,280	D	\$ 70.95
Common Stock	03/18/2015		M ⁽¹⁾		9,400	A	\$ 25.225

Edgar Filing: BALL CORP - Form 4

Common Stock	03/18/2015	D ⁽²⁾	5,810	D	\$ 70.95	15,580.3294	D	
Common Stock	03/18/2015	M ⁽³⁾	2,625	A	\$ 25.055	18,205.3294	D	
Common Stock	03/18/2015	F	927	D	\$ 70.95	17,278.3294	D	
Common Stock	03/18/2015	M ⁽³⁾	6,500	A	\$ 20.04	23,778.3294	D	
Common Stock	03/18/2015	F	1,836	D	\$ 70.95	21,942.3294	D	
Common Stock	03/18/2015	M ⁽³⁾	600	A	\$ 25.225	22,542.3294	D	
Common Stock	03/18/2015	F	214	D	\$ 70.95	22,328.3294	D	
Common Stock						1,011.468	I	401(k) Plan ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Rights (sars)	\$ 25.055	03/18/2015		M ⁽¹⁾	1,500	04/23/2009	04/23/2018	Common Stock	1,500
Stock Appreciation Rights (sars)	\$ 20.04	03/18/2015		M ⁽¹⁾	2,500	01/28/2010	01/28/2019	Common Stock	2,500
	\$ 25.225	03/18/2015		M ⁽¹⁾	9,400	01/27/2011	01/27/2020		9,400

Stock Appreciation Rights (sars)								Common Stock	
Stock Option (iso) (Right to Buy)	\$ 25.055	03/18/2015	M ⁽³⁾	2,625	04/23/2009	04/23/2018	Common Stock	2,625	
Stock Option (iso) (Right to Buy)	\$ 20.04	03/18/2015	M ⁽³⁾	6,500	01/28/2010	01/28/2019	Common Stock	6,500	
Stock Option (iso) (Right to Buy)	\$ 25.225	03/18/2015	M ⁽³⁾	600	01/27/2011	01/27/2020	Common Stock	600	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Barker Shawn M 10 LONGS PEAK DRIVE BROOMFIELD, CO 80021			Vice President & Controller	

Signatures

/s/ Robert W. McClelland, attorney-in-fact for Mr. Barker
03/20/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Exercise of Stock Appreciation Rights (SARS).

(2) Shares utilized to pay for the cost of the SARS.

(3) Exercise of Incentive Stock Options (ISO).

(4) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.