

BANTLE ROBERT B  
 Form 5  
 February 12, 2009

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL  
 OMB Number: 3235-0362  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
 BANTLE ROBERT B

2. Issuer Name and Ticker or Trading Symbol  
 TOMPKINS FINANCIAL CORP  
 [TMP]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)  
 \_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 Exec. Vice President

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 12/31/2008

TOMPKINS FINANCIAL CORPORATION, P.O. BOX 460  
 (Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting  
 (check applicable line)

ITHACA, NY 14851

Form Filed by One Reporting Person  
 \_\_\_ Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Price                   | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|-------------------------|--|--|-----------------------------------|
| Common Stock - 401k/esop        | 12/31/2008                           | ^  | L                              | 382   | A          | \$ <sup>(1)</sup> 2,669 |  | D  | ^                                 |
| Common Stock                    | ^                                    | ^  | ^                              | ^   | ^          | ^                       | 322  | D  | ^                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Incentive Stock Option (Right to Buy)      | \$ 28.36   | Â                                    | Â  | Â                              | Â (A) Â (D)   | Â (2) 07/24/2011   | Common Stock  | 6,655                      |
| Incentive Stock Option (Right to Buy)      | \$ 32.23   | Â                                    | Â  | Â                              | Â (A) Â (D)   | Â (2) 09/30/2012   | Common Stock  | 7,986                      |
| Incentive Stock Option (Right to Buy)      | \$ 39.34   | Â                                    | Â  | Â                              | Â (A) Â (D)   | Â (2) 05/03/2014   | Common Stock  | 5,986                      |
| Incentive Stock Option (Right to Buy)      | \$ 42.39   | Â                                    | Â  | Â                              | Â (A) Â (D)   | Â (3) 01/23/2016   | Common Stock  | 7,260                      |
| Incentive Stock Option (Right to Buy)      | \$ 41  | Â                                    | Â  | Â                              | Â (A) Â (D)   | Â (3) 11/29/2017   | Common Stock  | 3,748                      |
| Non-qualified Stock Option (Right to Buy)  | \$ 39.34   | Â                                    | Â  | Â                              | Â (A) Â (D)   | Â (2) 05/03/2014   | Common Stock  | 4,903                      |
| Non-qualified Stock Option (Right to Buy)  | \$ 42.39   | Â                                    | Â  | Â                              | Â (A) Â (D)   | Â (3) 01/23/2016   | Common Stock  | 3,740                      |
| Non-qualified Stock Option (Right to Buy)  | \$ 41  | Â                                    | Â  | Â                              | Â (A) Â (D)   | Â (3) 11/29/2017   | Common Stock  | 6,252                      |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director    10% Owner    Officer    Other

BANTLE ROBERT B  
TOMPKINS FINANCIAL CORPORATION  
P.O. BOX 460  
ITHACA, NY 14851

Â                      Â                      Â Exec.  
Vice                      Â  
President

## Signatures

/s/ Robert B.                      02/11/2009  
Bantle

\_\_Signature of                      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired through payroll deferral and reinvestment of quarterly cash dividends.
  - (2) The stock option becomes exercisable in four installments commencing two years after the grant date.
  - (3) The stock option becomes exercisable in six annual installments commencing two years after the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.