CAPSTONE TURBINE Corp Form SD May 31, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM SD

Specialized Disclosure Report

CAPSTONE TURBINE CORPORATION

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation)

001-15957 (Commission File Number) 95-4180883 (I.R.S. Employer Identification No.)

21211 Nordhoff Street, Chatsworth, California (Address of principal executive offices)

91311 (Zip Code)

Name: Jayme L. Brooks Phone: (818) 734-5300

(Name and telephone number, including area code, of the person to contact in connection with this report)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

x Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2017.

| Section 1 Conflict Minerals Disclosure |
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| Item 1.01 Conflict Minerals Disclosure and Report |
| Conflict Minerals Disclosure |
| In accordance with Rule 13p-1 promulgated under the Securities Exchange Act of 1934, as amended, and Form SD, Capstone Turbine Corporation (the Company) has filed a Conflict Minerals Report, which is included as Exhibit 1.01 to this Form SD. A copy of the Company Conflict Minerals Report is publicly available at www.capstoneturbine.com/about. |
| Item 1.02 Exhibit |
| The Company is hereby filing its Conflict Minerals Report as Exhibit 1.01 to this Form SD. |
| Section 2 Exhibits |
| Item 2.01 Exhibits |
| Exhibit No. Description 1.01 Conflict Minerals Report of Capstone Turbine Corporation |
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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

CAPSTONE TURBINE CORPORATION

(Registrant)

Date: May 31, 2018 By: /s/ Jayme L. Brooks

Jayme L. Brooks Chief Financial Officer and Chief

Accounting Officer

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