Edgar Filing: AEOLUS PHARMACEUTICALS, INC. - Form 4

AEOLUS P Form 4 March 06, 2	HARMACEUTIC	CALS, IN	C.							
FORM A								PPROVAL		
	UNITED	STATES		SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). StateMent of CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						Estimated burden hou response	Estimated average burden hours per response 0.5			
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> RALLIS CHRIS A			2. Issuer Name and Ticker or Trading Symbol AEOLUS PHARMACEUTICALS, INC. [AOLS]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
INC., 2636		Middle) LEY		of Earliest T Day/Year) 2010	ransaction		X Director Officer (giv below)		% Owner her (specify	
			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MISSION	VIEJO, CA 9269	1					Form filed by Person	More than One R	eporting	
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4	(A) or of (D) 4 and 5) (A) or	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Re	port on a separate line	e for each cl	ass of sec		Perso inforn requir	ns who renation con red to resp ays a curre		n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numt of Sha
Non-qualified Stock Option (right to buy)	\$ 0.6	12/28/2010		А	3,750	<u>(1)</u>	12/28/2020	Common Stock	3,75
Non-qualified Stock Option (right to buy)	\$ 0.75	01/19/2011		А	11,250	<u>(1)</u>	01/19/2021	Common Stock	11,2
Non-qualified Stock Option (right to buy)	\$ 0.8	02/10/2011		А	3,750	<u>(1)</u>	02/10/2021	Common Stock	3,75
Non-qualified Stock Option (right to buy)	\$ 0.5	04/13/2011		А	11,250	<u>(1)</u>	04/13/2021	Common Stock	11,2
Non-qualified Stock Option (right to buy)	\$ 0.4	05/16/2011		А	3,750	<u>(1)</u>	05/16/2021	Common Stock	3,75
Non-qualified Stock Option (right to buy)	\$ 0.54	06/13/2011		А	3,750	<u>(1)</u>	06/13/2021	Common Stock	3,75
Non-qualified Stock Option (right to buy)	\$ 0.44	08/11/2011		А	3,750	<u>(1)</u>	08/11/2021	Common Stock	3,75
Non-qualified Stock Option (right to buy)	\$ 0.4	11/07/2011		А	11,250	<u>(1)</u>	11/07/2021	Common Stock	11,2
Non-qualified Stock Option (right to buy)	\$ 0.4	12/14/2011		А	3,750	<u>(1)</u>	12/14/2021	Common Stock	3,75
Non-qualified Stock Option (right to buy)	\$ 0.35	02/10/2012		А	3,750	<u>(1)</u>	02/10/2022	Common Stock	3,75
Non-qualified Stock Option (right to buy)	\$ 0.31	03/20/2012		А	11,250	<u>(1)</u>	03/20/2022	Common Stock	11,2
Non-qualified	\$ 0.3	05/14/2012		А	3,750	(1)	05/14/2022	Common	3,75

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Stock Option (right to buy)							Stock	
Non-qualified Stock Option (right to buy)	\$ 0.23	07/11/2012	А	11,250	(1)	07/11/2022	Common Stock	11,2
Non-qualified Stock Option (right to buy)	\$ 0.3	08/13/2012	А	3,750	(1)	08/13/2022	Common Stock	3,75
Non-qualified Stock Option (right to buy)	\$ 0.35	10/09/2012	А	11,250	<u>(1)</u>	10/09/2022	Common Stock	11,2
Non-qualified Stock Option (right to buy)	\$ 0.24	12/27/2012	A	3,750	(1)	12/27/2022	Common Stock	3,75
Non-qualified Stock Option (right to buy)	\$ 0.25	02/12/2013	А	3,750	(1)	02/12/2023	Common Stock	3,75

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
RALLIS CHRIS A C/O AEOLUS PHARMACEUTICALS, INC. 26361 CROWN VALLEY PARKWAY, SUITI MISSION VIEJO, CA 92691	E 150 X							
Signatures								
/s/ John McManus, by power of attorney	03/06/2013							
**Signature of Reporting Person	Date							
Explanation of Responses	•							

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option will vest in equal monthly installments over a period of twelve months from the date of grant, provided that the Director remains on the Board of Directors of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.