US CONCRETE INC Form 4/A October 03, 2013

Check this box

if no longer

subject to

Section 16.

Form 4 or

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Johnson Wallace H

2. Issuer Name and Ticker or Trading Symbol

US CONCRETE INC [USCR]

(First) (Middle) (Last) 3. Date of Earliest Transaction

(Month/Day/Year) 07/01/2013

C/O US CONCRETE, INC., 331 N. MAIN ST.

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year) 07/03/2013

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

VP - Marketing & Sales

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**EULESS, TX 76039** 

Security

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

or Exercise

Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) (Instr. 4)

Ownership (Instr. 4)

(A) Code V Amount (D) Price

Securities

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

any

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(Month/Day/Year)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Code

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number 6. Date Exercisable and 7. Title and Amount of 8. Pr Derivative Conversion (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date **Underlying Securities** 

(Instr. 3 and 4)

Deri

Secu

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				(Inst						
				Code '	V (	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Incentive Restricted Stock Units (1)	(2)	07/01/2013		M			392	<u>(3)</u>	(3)	Common stock	392	\$
Restricted stock unit (1)	<u>(4)</u>	07/01/2013		M			1,120	<u>(5)</u>	<u>(5)</u>	Common stock	1,120	\$

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
• 0	Director	10% Owner	Officer	Other				
Johnson Wallace H C/O US CONCRETE, INC. 331 N. MAIN ST. EULESS, TX 76039			VP - Marketing & Sales					

### **Signatures**

/s/ Lisa Sutter, as Attorney-in-Fact for Wallace H.

Johnson 10/03/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This amendment is being filed to correct an administrative error on the original Form 4, where there was a conversion of a derivative security to common stock and the derivative security was reported as acquired rather than disposed of. The footnotes associated with the

- (1) lines that are being corrected have been renumbered from the numbers used in the original Form 4 in order to consecutively disclose narrative information on this amendment, although the substance of the information remains the same and the appropriate disposition of the derivative security remains the same.
- Each IRSU was granted under the Company's Management Equity Incentive Plan. Each IRSU represents the right to receive 0.35020 (2) share of common stock of the Company upon satisfaction of the performance goal. The number 392 reported here reflects the result of multiplying the awardee's vested IRSUs by .3502.
- The underlying RSUs and the IRSUs time vest as to one-twelfth (1/12) of the shares subject thereto on each of the first twelve (12) (3) quarterly anniversaries of October 1, 2010. The performance goal for the IRSUs must have been satisfied by August 31, 2015 or the IRSUs would have automatically forfeited.
- (4) Each restricted stock unit represents the right to receive one share of common stock of the company.

**(5)** 

Reporting Owners 2

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The restricted stock units vest as to one-twelfth (1/12) of the shares subject thereto on each of the first twelve (12) quarterly anniversaries of October 1, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.