

Edgar Filing: FIELDS TECHNOLOGIES INC - Form 4

FIELDS TECHNOLOGIES INC

Form 4

July 06, 2001

OMB APPROVAL

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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

[X] Check box if no longer subject of Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Blue Lake Capital Corp

(Last) (First) (Middle)

2500 N. Military Trail, Suite 225

(Street)

Boca Raton Florida 33431

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Fields Technologies, Inc. FLDT

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

65-0703836

4. Statement for Month/Year

6/01

5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer
(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person
 Form filed by more than one Reporting Person

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Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (mm/dd/yy) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | |
|---------------------------------------|---|---|---|--|------------------|
| | | Code | V | Amount | (A) or (D) |
| Common Stock | 6/08/01 | J | | 157,500 | A (2) |
| Common Stock | 6/13/01 | P | | 220,588 | A \$0.17 |
| Common Stock | 6/13/01 | P | | 220,588 | A \$0.17 |
| Common Stock | 6/13/01 | P | | 147,059 | A \$0.17 |
| Common Stock | 6/13/01 | P | | 147,059 | A \$0.17 |
| Common Stock | 6/13/01 | P | | 29,412 | A \$0.17 |
| Common Stock | 6/5/01 | S | | 59,500 | D \$0.26 |
| Common Stock | 6/5/01 | S | | 15,000 | D \$0.27 |
| Common Stock | 6/5/01 | S | | 10,000 | D \$0.45 |
| Common Stock | 6/5/01 | S | | 25,500 | D \$0.25 |
| Common Stock | 6/5/01 | S | | 25,000 | D \$0.24 |
| Common Stock | 6/5/01 | S | | 30,000 | D \$0.23 |
| Common Stock | 6/5/01 | S | | 10,000 | D \$0.235 |
| Common Stock | 6/5/01 | S | | 35,000 | D \$0.22 |

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| | | | | | |
|--------------|---------|---|--------|---|---------|
| Common Stock | 6/5/01 | S | 10,000 | D | \$0.21 |
| Common Stock | 6/5/01 | S | 10,000 | D | \$0.28 |
| Common Stock | 6/5/01 | S | 10,000 | D | \$0.29 |
| Common Stock | 6/5/01 | S | 10,500 | D | \$0.30 |
| Common Stock | 6/5/01 | S | 9,500 | D | \$0.31 |
| Common Stock | 6/5/01 | S | 10,000 | D | \$0.35 |
| Common Stock | 6/5/01 | S | 20,000 | D | \$0.495 |
| Common Stock | 6/6/01 | S | 20,000 | D | \$0.47 |
| Common Stock | 6/6/01 | S | 10,000 | D | \$0.48 |
| Common Stock | 6/6/01 | S | 10,000 | D | \$0.485 |
| Common Stock | 6/6/01 | S | 10,000 | D | \$0.49 |
| Common Stock | 6/6/01 | S | 20,000 | D | \$0.50 |
| Common Stock | 6/6/01 | S | 10,000 | D | \$0.505 |
| Common Stock | 6/6/01 | S | 50,000 | D | \$0.51 |
| Common Stock | 6/7/01 | S | 20,000 | D | \$0.49 |
| Common Stock | 6/7/01 | S | 10,000 | D | \$0.50 |
| Common Stock | 6/7/01 | S | 10,000 | D | \$0.505 |
| Common Stock | 6/11/01 | S | 15,000 | D | \$0.47 |
| Common Stock | 6/11/01 | S | 5,000 | D | \$0.48 |
| Common Stock | 6/11/01 | S | 10,000 | D | \$0.46 |
| Common Stock | 6/12/01 | S | 20,000 | D | \$0.46 |
| Common Stock | 6/13/01 | S | 20,000 | D | \$0.44 |

* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

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(Form 4-07/98)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity | 3. Trans- action Date (Month/ Day/ Year) | 4. Trans- action Code (Instr. 8) ----- Code V | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares |
|--|---|--|--|--|---|--|
|--|---|--|--|--|---|--|

Explanation of Responses:

- (1) held by Leonard M. Tucker
- (2) The Yankee Companies, Inc., distributed shares to its stockholders as a stock dividend
- (3) Michelle Tucker, as custodian for Montana Tucker.
- (4) Michelle Tucker, as custodian for Shayna Tucker.
- (5) We were no longer a 10% owner after June 13, 2001.

/s/ Michelle Tucker, President /s/

7/5/01

**Signature of Reporting Person

Date

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** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Names and social security numbers

| | |
|---------------------------------|-------------|
| Blue Lake Capital Corp | 65-0703836 |
| Michelle Tucker | 261-96-8197 |
| Carrington Capital Corp | 65-06401955 |
| Shayna Tucker | 595-78-2061 |
| Montana Tucker | 593-29-6018 |
| Tucker Family Spendthrift Trust | applied for |
| Leonard M. Tucker | 129-54-8119 |