

YUM BRANDS INC

Form 4

May 04, 2005

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
NOVAK DAVID C

(Last) (First) (Middle)

1441 GARDINER LANE

(Street)

LOUISVILLE, KY 40213

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
YUM BRANDS INC [YUM]

3. Date of Earliest Transaction
(Month/Day/Year)
05/02/2005

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chair CEO and Pres

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/02/2005	05/02/2005	S	(A) or (D) Code V Amount Price 1,139 (1) D \$ 47.04	39,327.08	D	
Common Stock	05/02/2005	05/02/2005	S	360 (1) D \$ 47.05	38,967.08	D	
Common Stock	05/02/2005	05/02/2005	S	1,977 (1) D \$ 47.06	36,990.08	D	
Common Stock	05/02/2005	05/02/2005	S	959 (1) D \$ 47.07	36,031.08	D	
Common Stock	05/02/2005	05/02/2005	S	3,175 (1) D \$ 47.08	32,856.08	D	

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Common Stock	05/02/2005	05/02/2005	S	599 <u>(1)</u>	D	\$ 47.09	32,257.08	D	
Common Stock	05/02/2005	05/02/2005	S	60 <u>(1)</u>	D	\$ 47.1	32,197.08	D	
Common Stock	05/02/2005	05/02/2005	S	60 <u>(1)</u>	D	\$ 47.13	32,137.08	D	
Common Stock	05/02/2005	05/02/2005	S	240 <u>(1)</u>	D	\$ 47.14	31,897.08	D	
Common Stock	05/02/2005	05/02/2005	S	779 <u>(1)</u>	D	\$ 47.16	31,118.08	D	
Common Stock	05/02/2005	05/02/2005	S	3,056 <u>(1)</u>	D	\$ 47.17	28,062.08	D	
Common Stock	05/02/2005	05/02/2005	S	360 <u>(1)</u>	D	\$ 47.23	27,702.08	D	
Common Stock	05/02/2005	05/02/2005	S	180 <u>(1)</u>	D	\$ 47.24	27,522.08	D	
Common Stock	05/02/2005	05/02/2005	S	659 <u>(1)</u>	D	\$ 47.25	26,863.08	D	
Common Stock	05/02/2005	05/02/2005	S	240 <u>(1)</u>	D	\$ 47.26	26,623.08	D	
Common Stock	05/02/2005	05/02/2005	S	396 <u>(1)</u>	D	\$ 47.27	26,227.08	D	
Common Stock							220 <u>(2)</u>	I	By Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 10)
				Code	V (A) (D)		Title		

Date Exercisable	Expiration Date	Amount or Number of Shares
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NOVAK DAVID C 1441 GARDINER LANE LOUISVILLE, KY 40213	X		Chair CEO and Pres	

Signatures

David C. Novak 05/03/2005

Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares exercised and sold pursuant to SEC 10b5-1 plan.
- (2) Represents transfer of shares to minor daughter

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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