Edgar Filing: WINTRUST FINANCIAL CORP - Form 3

WINTRUST FINANCIAL CORP Form 3 February 10, 2017 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Addr Person <u>*</u> DOYLE WI	1	e	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol WINTRUST FINANCIAL CORP [WTFC]				
(Last) (First)	(Middle)	02/01/2017	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Origina Filed(Month/Day/Year)	
9700 WEST HIGGINS ROAD 8TH FLOOR				(Check	k all applicable)	,		
(Street)				X_Director10% Owne OfficerOther (give title below) (specify below)		•	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 	
ROSEMONT, IL 60018								
(City) (State)	(Zip)	Table I -]	Non-Deriva	tive Securiti	es Be	neficially Owned	
1.Title of Security (Instr. 4)			2. Amount o Beneficially (Instr. 4)	of Securities Owned	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	•	
Common Stock	K		0		D	Â		
Reminder: Report owned directly or i	ndirectly. Person informa require	s who resp ation conta d to respo	ch class of securities benefic bond to the collection of hined in this form are no nd unless the form disp MB control number.	F t	SEC 1473 (7-02)		

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
		Title	Derivative	Security:	
			Security	Direct (D)	

OMB APPROVAL

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Date	Expiration
Exercisable	Date

Amount or Number of Shares or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owne	Relationships					
	Director	10% Owner	Officer	Other		
DOYLE WILLIAM J 9700 WEST HIGGINS ROSEMONT, IL 6	ÂX	Â	Â	Â		
Signatures						
/s/William J. Doyle	02/10/2017					
<u>**</u> Signature of Reporting Person	Date					

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Â **Remarks:** Exhibit 24.1 - Power of Attorney - William J. Doyle

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.