

TOMPKINS FINANCIAL CORP  
Form 4  
September 02, 2008

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FULMER JAMES W

2. Issuer Name and Ticker or Trading Symbol  
TOMPKINS FINANCIAL CORP  
[TMP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/28/2008

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Vice Chairman

TOMPKINS FINANCIAL CORPORATION, P O BOX 460

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

ITHACA, NY 14851

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	08/28/2008		M		1,000 A \$ 20	40,491	D
Common Stock	08/28/2008		M		200 A \$ 20	40,691	D
Common Stock	08/28/2008		S		200 D \$ 45.45	40,491	D
Common Stock	08/28/2008		M		200 A \$ 20	40,691	D
Common Stock	08/28/2008		S		200 D \$ 45.25	40,491	D

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 4

Common Stock	08/28/2008		M	100	A	\$ 20	40,591	D	
Common Stock	08/28/2008		S	100	D	\$ 45.15	40,491	D	
Common Stock	08/28/2008		M	250	A	\$ 20	40,741	D	
Common Stock	08/28/2008		S	250	D	\$ 45.55	40,491	D	
Common Stock	08/28/2008		M	250	A	\$ 20	40,741	D	
Common Stock	08/28/2008		S	250	D	\$ 45.49	40,491	D	
Common Stock	08/28/2008		M	250	A	\$ 20	40,741	D	
Common Stock	08/28/2008		S	250	D	\$ 45.39	40,491	D	
Common Stock							25,592	I	by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Incentive Stock Option (right to buy)	\$ 20	08/28/2008		M	1,000	(1) 09/14/2010	Common Stock	1,000	
Non-Qualified Stock Option	\$ 20	08/28/2008		M	200	(1) 09/14/2010	Common Stock	200	

(right to buy)									
Non-Qualified Stock Option (right to buy)	\$ 20	08/28/2008	M	200	(1)	09/14/2010	Common Stock	200	
Non-Qualified Stock Option (right to buy)	\$ 20	08/28/2008	M	100	(1)	09/14/2010	Common Stock	100	
Non-Qualified Stock Option (right to buy)	\$ 20	08/28/2008	M	250	(1)	09/14/2010	Common Stock	250	
Non-Qualified Stock Option (right to buy)	\$ 20	08/28/2008	M	250	(1)	09/14/2010	Common Stock	250	
Non-Qualified Stock Option (right to buy)	\$ 20	08/28/2008	M	250	(1)	09/14/2010	Common Stock	250	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FULMER JAMES W TOMPKINS FINANCIAL CORPORATION P O BOX 460 ITHACA, NY 14851	X		Vice Chairman	

## Signatures

James W.  
Fulmer

09/02/2008

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock option becomes exercisable in four installments, commencing two years after the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.