

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

AllianzGI Equity & Convertible Income Fund
Form NSAR-B
March 29, 2017

PAGE 1
000 B000000 01/31/2017
000 C000000 0001383441
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 U
001 A000000 ALLIANZGI EQUITY & CONVERTIBLE INCOME FUND
001 B000000 811-21989
001 C000000 2127393371
002 A000000 1633 BROADWAY
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10019
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 ALLIANZ GLOBAL INVESTORS U.S. LLC
008 B000001 A
008 C000001 801-69803
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10019
008 A000002 DELETE
010 A000001 ALLIANZ GLOBAL INVESTORS U.S. LLC
010 B000001 801-69803
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10019
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK
PAGE 2
013 B020001 NY
013 B030001 10017
014 A000001 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC
014 B000001 8-41811
014 A000002 PIMCO INVESTMENTS LLC
014 B000002 8-68686

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

014 A000003 PIMCO DEUTSCHLAND GMBH
014 B000003 8-00000
014 A000004 QUESTAR CAPITAL CORPORATION
014 B000004 8-50174
014 A000005 ALLIANZ LIFE FINANCIAL SERVICES, LLC
014 B000005 8-13630
014 A000006 PIMCO (SCHWEIZ) GMBH
014 B000006 8-00000
014 A000007 ALLIANZ GLOBAL INVESTORS (SCHWEIZ) AG
014 B000007 8-00000
014 A000008 ROGGE GLOBAL PARTNERS LIMITED
014 B000008 8-0125482
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02110
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 63
019 C000000 ALLIANZGLO
020 A000001 DEUTSCHE BANK SECURITIES INC.
020 B000001 13-2730828
020 C000001 51
020 A000002 MORGAN STANLEY & CO. LLC
020 B000002 13-2655998
020 C000002 49
020 A000003 O'NEIL SECURITIES, INC.
020 B000003 95-2269163
020 C000003 35
020 A000004 RBC CAPITAL MARKETS, LLC
020 B000004 41-1228350
020 C000004 30
020 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
020 B000005 13-5674085
020 C000005 21
020 A000006 EVERCORE GROUP L.L.C.
020 B000006 20-4553818
020 C000006 14
020 A000007 WILLIAM BLAIR & COMPANY L.L.C.
020 B000007 36-2214610
020 C000007 14
020 A000008 CITIGROUP GLOBAL MARKETS INC.
020 B000008 11-2418191
PAGE 3
020 C000008 11
020 A000009 CREDIT SUISSE SECURITIES (USA) LLC
020 B000009 05-0546650
020 C000009 10
020 A000010 KEYBANC CAPITAL MARKETS INC.
020 B000010 34-1391952
020 C000010 8
021 000000 278
022 A000001 ANZ SECURITIES, INC.
022 B000001 13-3763501
022 C000001 919162
022 D000001 0
022 A000002 BNP PARIBAS PRIME BROKERAGE, INC.
022 B000002 94-2842977
022 C000002 416819
022 D000002 1448

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

022 A000003 J.P. MORGAN SECURITIES LLC
022 B000003 13-3299429
022 C000003 407195
022 D000003 7837
022 A000004 WELLS FARGO ADVISORS, LLC
022 B000004 34-1542819
022 C000004 325760
022 D000004 2087
022 A000005 BTMU SECURITIES, INC.
022 B000005 04-2715139
022 C000005 314142
022 D000005 0
022 A000006 DEUTSCHE BANK SECURITIES INC.
022 B000006 13-2730828
022 C000006 213977
022 D000006 2974
022 A000007 CITIGROUP GLOBAL MARKETS INC.
022 B000007 11-2418191
022 C000007 205128
022 D000007 10482
022 A000008 BNY MELLON CAPITAL MARKETS, LLC
022 B000008 23-2384977
022 C000008 116656
022 D000008 0
022 A000009 STANDARD CHARTERED SECURITIES (NA) INC.
022 B000009 80-0238622
022 C000009 110246
022 D000009 0
022 A000010 BMO CAPITAL MARKETS, CORP.
022 B000010 13-3459853
022 C000010 58486
022 D000010 0
023 C000000 3180504
023 D000000 68800
024 000000 Y
PAGE 4
025 A000001 WELLS FARGO ADVISORS, LLC
025 B000001 34-1542819
025 C000001 E
025 D000001 8326
025 A000002 BANC OF AMERICA SECURITIES LLC
025 B000002 56-2058405
025 C000002 E
025 D000002 6901
025 A000003 CITIGROUP GLOBAL MARKETS INC.
025 B000003 11-2418191
025 C000003 D
025 D000003 8326
025 A000004 DELETE
025 D000004 0
025 A000005 DELETE
025 D000005 0
025 D000006 0
025 D000007 0
025 D000008 0
026 A000000 N
026 B000000 N
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
	PAGE	5	
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000		1.000
048	A010000		0
048	A020000	0.000	
048	B010000		0
048	B020000	0.000	
048	C010000		0

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

048	C020000	0.000	
048	D010000		0
048	D020000	0.000	
048	E010000		0
048	E020000	0.000	
048	F010000		0
048	F020000	0.000	
048	G010000		0
048	G020000	0.000	
048	H010000		0
048	H020000	0.000	
048	I010000		0
048	I020000	0.000	
048	J010000		0
048	J020000	0.000	
	PAGE	6	
048	K010000		0
048	K020000	0.000	
049	000000	N	
050	000000	N	
051	000000	N	
052	000000	N	
053	A000000	N	
054	A000000	Y	
054	B000000	Y	
054	C000000	N	
054	D000000	N	
054	E000000	N	
054	F000000	N	
054	G000000	Y	
054	H000000	Y	
054	I000000	N	
054	J000000	Y	
054	K000000	N	
054	L000000	N	
054	M000000	Y	
054	N000000	N	
054	O000000	N	
055	A000000	N	
055	B000000	N	
056	000000	Y	
057	000000	N	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	N	
062	B000000		0.0
062	C000000		0.0
062	D000000		0.0
062	E000000		0.0
062	F000000		0.0
062	G000000		0.0
062	H000000		0.0
062	I000000		0.0
062	J000000		0.0
062	K000000		0.0
062	L000000		0.0
062	M000000		0.0
062	N000000		0.0
062	O000000		0.0

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0

PAGE 7

066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 Y
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 Y
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 N
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 Y
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 N
070 P020000 N
070 Q010000 Y
070 Q020000 N
070 R010000 Y
070 R020000 N
071 A000000 510016
071 B000000 536106
071 C000000 567416
071 D000000 90

PAGE 8

072 A000000 12
072 B000000 3448
072 C000000 14035
072 D000000 0

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

072 E000000	0
072 F000000	5796
072 G000000	0
072 H000000	0
072 I000000	25
072 J000000	117
072 K000000	0
072 L000000	73
072 M000000	34
072 N000000	0
072 O000000	0
072 P000000	0
072 Q000000	0
072 R000000	86
072 S000000	50
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	69
072 X000000	6250
072 Y000000	0
072 Z000000	11233
072AA000000	16212
072BB000000	0
072CC010000	60205
072CC020000	0
072DD010000	14987
072DD020000	0
072EE000000	27130
073 A010000	0.5409
073 A020000	0.0000
073 B000000	0.9791
073 C000000	0.0000
074 A000000	0
074 B000000	0
074 C000000	8326
074 D000000	132754
074 E000000	65534
074 F000000	386705
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	3144
074 K000000	25
074 L000000	1336
074 M000000	8
074 N000000	597832
PAGE 9	
074 O000000	0
074 P000000	505
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	234
074 R040000	182
074 S000000	0
074 T000000	596911
074 U010000	27709
074 U020000	0
074 V010000	21.54
074 V020000	0.00
074 W000000	0.0000

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

074 X000000 11
074 Y000000 48700
075 A000000 0
075 B000000 579640
076 000000 19.03
077 A000000 Y
077 B000000 Y
077 C000000 Y
078 000000 N
080 A000000 GREAT AMERICAN INSURANCE COMPANY
080 B000000 NATIONAL UNION FIRE INSURANCE COMPANY
080 C000000 40000
081 A000000 Y
081 B000000 62
082 A000000 Y
082 B000000 250
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 018829101
087 A030000 NIE
PAGE 10
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE LAWRENCE ALTADONNA
TITLE TREASURER