

Edgar Filing: AMTECH SYSTEMS INC - Form 10-K/A

AMTECH SYSTEMS INC  
Form 10-K/A  
February 11, 2003

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 10-K/A  
AMENDMENT NO. 1

(Mark One)

☒ ANNUAL REPORT PURSUANT TO SECTION 13 OR 15(D) OF THE SECURITIES  
EXCHANGE ACT OF 1934

For the fiscal year ended: September 30, 2002

OR

☐ TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(D) OF THE SECURITIES  
EXCHANGE ACT OF 1934

For the transition period from \_\_\_\_\_ to \_\_\_\_\_

Commission File Number: 0-11412

AMTECH SYSTEMS, INC.  
(Exact name of registrant as specified in its charter)

Arizona  
(State or other jurisdiction of  
incorporation or organization)

86-0411215  
(I.R.S. Employer  
Identification No.)

131 South Clark Drive, Tempe, Arizona  
(Address of principal executive offices)

85281  
(Zip Code)

Registrant's telephone number, including area code: 480-967-5146

The undersigned Registrant hereby amends its Form 10-K for the fiscal year ended  
September 30, 2002, as follows:

Part IV, ITEM 13 (EXHIBITS, FINANCIAL STATEMENT SCHEDULES, AND REPORTS ON  
FORM 8-K) is hereby amended by filing Exhibit Nos. 99.1 and 99.2, which were  
inadvertently omitted from the Registrant's initial filing of its Form 10-K for  
the fiscal year ended September 30, 2002.

SIGNATURES

Pursuant to the requirements of Section 13 or 15(d) of the Securities  
Exchange Act of 1934, the Registrant has duly caused this report to be signed on  
its behalf by the undersigned, thereunto duly authorized.

AMTECH SYSTEMS, INC.

February 11, 2003

By: /s/ Jong S. Whang

-----  
Jong S. Whang, President

Pursuant to the requirements of the Securities Exchange Act of 1934, this  
Amendment No. 1 to Form 10-K has been signed below by the following persons on  
behalf of the registrant and in the capacities and on the dates indicated:

SIGNATURE

TITLE

DATE

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/s/ Jong S. Whang ----- Jong S. Whang	Chairman of the Board, President (Chief Executive Officer)	February 11, 2003
/s/ Robert T. Hass ----- Robert T. Hass	Vice President-Finance (Chief Financial & Accounting Officer)	February 11, 2003
/s/ * ----- Alvin Katz	Director	February 11, 2003
/s/ * ----- Bruce R. Thaw	Director	February 11, 2003
* By: /s/ Jong S. Whang ----- Jong S. Whang, Attorney-in-Fact		February 11, 2003

## EXHIBIT INDEX

EXHIBIT NO. -----	DESCRIPTION -----
3.1	Articles of Incorporation
3.2	Articles of Amendment to Articles of Incorporation, dated April 27, 1983
3.3	Articles of Amendment to Articles of Incorporation, dated May 19, 1987
3.4	Articles of Amendment to Articles of Incorporation, dated May 2, 1988
3.5	Articles of Amendment to Articles of Incorporation, dated May 28, 1993
3.6	Articles of Amendment to Articles of Incorporation, dated March 14, 1999
3.7	Amended and Restated Bylaws
4.1	Rights Agreement dated May 17, 1999
10.1	Amended and Restated 1995 Stock Option Plan
10.2	Non-Employee Director Stock Option Plan
10.3	Employment Agreement with Robert T. Hass, dated May 19, 1992
10.4	Registration Rights Agreement with J.S. Whang, dated January 24, 1994
10.5	Asset Purchase Agreement, dated July 1, 1997, among the Registrant, P.R. Hoffman Machines Corporation and John R. Krieger
10.6	1998 Employee Stock Option Plan
10.7	Sublease Agreement, dated July 1, 1999, between the Registrant and John R. Krieger
10.8	Warrant to Purchase Common Stock, dated September 8, 2000
10.9	Stock and Warrant Purchase Agreement, dated September 8, 2000
10.10	Employment Agreement, dated March 15, 2001, between the Registrant and Jong S. Whang
21	Subsidiaries of the Registrant
23	Consent of Independent Auditor
24	Powers of Attorney
99.1	Certification of Principal Executive Officer pursuant to Section 906 of the Sarbanes-Oxley Act of 2002
99.2	Certification of Principal Financial Officer pursuant to Section 906 of the Sarbanes-Oxley Act of 2002

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 \* Filed herewith.  
 \*\* Previously filed.

## Edgar Filing: AMTECH SYSTEMS INC - Form 10-K/A

- A Incorporated by reference to Amtech's Form S-18 Registration Statement No. 2-83934-LA.
- B Incorporated by reference to Amtech's Annual Report on Form 10-K for the fiscal year ended September 30, 1987.
- C Incorporated by reference to Amtech's Annual Report on Form 10-K for the fiscal year ended September 30, 1988.
- D Incorporated by reference to Amtech's Annual Report on Form 10-K for the fiscal year ended September 30, 1991.
- E Incorporated by reference to Amtech's Annual Report on Form 10-K for the fiscal year ended September 30, 1993.
- F Incorporated by reference to Amtech's Form S-1 Registration Statement No. 33-77368.
- G Incorporated by reference to Amtech's Form S-8 Registration Statement relating to the Amended and Restated 1995 Stock Option Plan and the 1995 Stock Bonus Plan filed with the Securities and Exchange Commission on September 9, 1997.
- H Incorporated by reference to Amtech's Form S-8 Registration Statement relating to the Non-Employee Directors Stock Option Plan filed with the Securities and Exchange Commission on August 8, 1996.
- I Incorporated by reference to Amtech's Current Report on Form 8-K dated July 1, 1997.
- J Incorporated by reference to Amtech's Proxy Statement for shareholders meeting held on March 20, 1998.
- K Incorporated by reference to Amtech's Current Report on Form 8-K, dated May 17, 1999.
- L Incorporated by reference to Amtech's Annual Report on Form 10-K for the fiscal year ended September 30, 1997.
- M Incorporated by reference to Amtech's Proxy Statement for the annual shareholders meeting held on February 26, 1999.
- N Incorporated by reference to Amtech's Current Report on Form 8-K dated September 22, 2000.
- O Incorporated by reference to Amtech's Annual Report on Form 10-K for the fiscal year ended September 30, 1999.
- P Incorporated by reference to Amtech's Quarterly Report on Form 10-Q for the quarter ended March 31, 2001.