John Sharon Price Form 4 March 19, 2019

## FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* John Sharon Price

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

**BUILD A BEAR WORKSHOP INC** 

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

[BBW]

03/15/2019

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below) President and CEO

C/O BUILD-A-BEAR WORKSHOP, INC., 1954

INNERBELT BUSINESS CENTER

(Street)

DR.

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

D

ST. LOUIS, MO 63114

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported

(A) or Amount (D)

(1)

Transaction(s) (Instr. 3 and 4)

Code V 4,420 F

Price D 5.38

266,471 (2)

Stock

Common

03/15/2019

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474 (9-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: John Sharon Price - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                    | 5.                         | 6. Date Exerc | cisable and     | 7. Title   | and         | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-----------------------|----------------------------|---------------|-----------------|------------|-------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber     |                            | Expiration D  | ate             | Amount of  |             | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code                  | of                         | (Month/Day/   | Year)           | Underly    | ing         | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative |                            |               |                 | Securities |             | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                       | Securities Acquired (A) or |               |                 | (Instr. 3  | and 4)      |             | Own    |
|             | Security    |                     |                    |                       |                            |               |                 |            |             |             | Follo  |
|             | •           |                     |                    |                       |                            |               |                 |            |             |             | Repo   |
|             |             |                     |                    |                       | Disposed                   |               |                 |            |             |             | Trans  |
|             |             |                     |                    |                       | of (D)                     |               |                 |            |             |             | (Instr |
|             |             |                     |                    |                       | (Instr. 3,<br>4, and 5)    |               |                 |            |             |             |        |
|             |             |                     |                    |                       |                            |               |                 |            |             |             |        |
|             |             |                     |                    |                       |                            |               |                 | Λ.         | mount       |             |        |
|             |             |                     |                    |                       |                            |               |                 |            | mount       |             |        |
|             |             |                     |                    |                       |                            | Date          | Expiration Date | Title N    | r<br>Jumber |             |        |
|             |             |                     |                    |                       |                            | Exercisable   |                 | Title Numb |             |             |        |
|             |             |                     |                    | C + V                 | (A) (D)                    |               |                 |            |             |             |        |
|             |             |                     | Code V (A) (D)     |                       |                            |               |                 | S          | hares       |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address Officer Other Director 10% Owner

John Sharon Price

C/O BUILD-A-BEAR WORKSHOP, INC. 1954 INNERBELT BUSINESS CENTER DR.

X

President and CEO

**Signatures** 

ST. LOUIS, MO 63114

/s/ Eric R. Fencl, Attorney-in-Fact for Sharon Price John

03/19/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares surrendered in payment of tax withholding due upon vesting of restricted stock.
- After giving effect to the transactions reported in this Form 4, Ms. John directly owns 147,474 shares of common stock and 118,997 (2) shares of restricted stock of Build-A-Bear Workshop, Inc. In addition, Ms. John holds vested options to purchase 347,639 shares and

unvested options to purchase 74,316 shares of Build-A-Bear Workshop, Inc.'s common stock. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2