**AGILYSYS INC** Form 4 July 10, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(Print or Type Responses)

may continue.

1. Name and Address of Reporting Person \* Walker Jimmie D Jr

2. Issuer Name and Ticker or Trading

Symbol

AGILYSYS INC [AGYS]

3. Date of Earliest Transaction

(Month/Day/Year) 12/09/2015

1000 WINDWARD CONCOURSE, **SUITE 250** 

(Street)

(First)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

SVP Global Revenue

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### ALPHARETTA, GA 30005

(City)	(State)	<sup>(Zip)</sup> Tabl	e I - Non-E	<b>Derivative</b>	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock (1)	12/09/2015		L	75	A	\$ 10.65	99,776 (2)	D	
Common Stock (1)	01/04/2016		L	82	A	\$ 9.8	99,858	D	
Common Stock (1)	02/01/2016		L	82	A	\$ 9.73	99,940	D	
Common Stock (1)	03/01/2016		L	75	A	\$ 10.66	100,015	D	
Common Stock (1)	04/01/2016		L	77	A	\$ 10.33	100,092	D	

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Common Stock (1)	05/02/2016	L	109	A	\$ 11.04	100,201	D
Common Stock (1)	06/01/2016	L	69	A	\$ 11.62	100,270	D
Common Stock (1)	07/01/2016	L	78	A	\$ 10.29	100,348	D
Common Stock (1)	08/01/2016	L	70	A	\$ 11.42	100,418	D
Common Stock (1)	09/01/2016	L	72	A	\$ 11.15	100,490	D
Common Stock (1)	10/03/2016	L	107	A	\$ 11.17	100,597	D
Common Stock (1)	11/01/2016	L	83	A	\$ 9.63	100,680	D
Common Stock (1)	12/01/2016	L	79	A	\$ 10.16	100,759	D
Common Stock (1)	01/03/2017	L	74	A	\$ 10.84	100,833	D
Common Stock (1)	02/01/2017	L	81	A	\$ 9.83	100,914	D
Common Stock (1)	03/06/2017	L	87	A	\$ 8.9	101,001	D
Common Stock (3)	03/31/2017	F	1,028	D	\$ 9.45	99,973	D
Common Stock (1)	04/06/2017	L	130	A	\$ 9.27	100,103	D
Common Stock (1)	05/04/2017	L	80	A	\$ 9.98	100,183	D
Common Stock (1)	06/06/2017	L	79	A	\$ 9.88	100,262	D
Common Stock (1)	07/07/2017	L	79	A	\$ 10.29	100,341	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	3. Iransaction Date (Month/Day/Year)		Transaction Code (Instr. 8)		5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securiti (Instr. 3 and 4)	
				Code		and 5) (A) (	(D)		Expiration Date	Title	Amo or Num of Sh
Stock-settled Appreciation Rights (4)	\$ 10.2	07/06/2017		A		28,125		07/06/2018	07/06/2024	Common Stock	28,1

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Walker Jimmie D Jr 1000 WINDWARD CONCOURSE, SUITE 250 ALPHARETTA, GA 30005

SVP Global Revenue

### **Signatures**

/s/ Kyle C. Badger, Attorney-in-Fact 07/10/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased through employee stock purchase plan.
- (2) Reporting Person's most recently filed Form 4, filed October 28, 2016, reported that he beneficially owned 99,701 shares following the transaction reported therein. This number was used as the starting point for Column 5 of Table I on this Form 4.
- (3) Represents withholding of shares to satisfy tax obligations upon the vesting of restricted stock.
- (4) Stock-settled appreciation rights granted under the Agilysys, Inc. 2016 Stock Incentive Plan. The SSARs vest in one-third increments on March 31, 2018, 2019 and 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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