Edgar Filing: STAAR SURGICAL CO - Form 4

STAAR SU Form 4	URGICAL CO										
January 27,	2016										
FORM		STATES	SECU	RITIFS	Δ	ND FXC	'HA'	NGE C	OMMISSION		PROVAL
~		STATES				, D.C. 205				OMB Number:	3235-0287
Section 16. Form 4 or				F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Dection 16(a) of the Securities Exchange Act of 1934,							January 31, 2005 verage 's per 0.5
obligati may con <i>See</i> Inst 1(b).	ons ntinue. ruction	a) of the I	Public U	Jtility Ho	ol		pany	Act of	1935 or Section	L	
(Print or Type	(Responses)										
	Address of Reporting		Symbol			I Ticker or T		0	5. Relationship of l Issuer	Reporting Pers	on(s) to
(Last)	(First) (Middle)				ransaction	<u>,</u> 917	лj	(Check	all applicable)
C/O BROA	ADWOOD CAPIT FIFTH AVENUE,	AL		Day/Year)		Tansaction			Director Officer (give t below)	X10% itleOthe below)	o Owner r (specify
NEW YOF	(Street) RK, NY 10019			endment, onth/Day/Y		ate Original r)			6. Individual or Joi Applicable Line) Form filed by Or Form filed by M	ne Reporting Per	son
(City)	(State)	(Zip)	7 1	1 T N					Person	D (* • 1)	
1.Title of	2. Transaction Date				1-I			_	ired, Disposed of,		-
Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deema Execution any (Month/Da	Date, if	3. Transact Code (Instr. 8) Code V)	4. Securitie nor Disposed (Instr. 3, 4 a Amount	d of (Ê))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/25/2016			P	,	81,752	(D) A	\$ 6.74	9,426,991	D (4)	
Common Stock	01/25/2016			Р		0	А	\$0	9,426,991	I	Footnote
Common Stock	01/26/2016			Р		112,900	А	\$ 6.6002 (2)	9,539,891	D (4)	
Common Stock	01/26/2016			Р		0	А	\$ 0	9,539,891	I	Footnote (5)
	01/27/2016			Р		44,361	А		9,584,252	D (4)	

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Common Stock					\$ 6.5289 (<u>3)</u>			
Common Stock	01/27/2016	Р	0	А	\$0	9,584,252	Ι	Footnote (5)
Common Stock						25,900	D <u>(6)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of		ate	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BROADWOOD PARTNERS LP C/O BROADWOOD CAPITAL INC. 724 FIFTH AVENUE, 9TH FLOOR NEW YORK, NY 10019		Х					
BRADSHER NEAL C C/O BROADWOOD CAPITAL INC. 724 FIFTH AVENUE, 9TH FLOOR NEW YORK, NY 10019		Х					
BROADWOOD CAPITAL INC 724 FIFTH AVENUE, 9TH FLOOR NEW YORK, NY 10019		Х					

Signatures

Broadwood Partners, L.P., By: Broadwood Capital, Inc., By: /s/ Neal C. Bradsher,						
President						
**Signature of Reporting Person	Date					
Neal C. Bradsher, /s/ Neal C. Bradsher						
<u>**</u> Signature of Reporting Person	Date					
Broadwood Capital, Inc., By: /s/ Neal C. Bradsher, President						
<u>**</u> Signature of Reporting Person	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This constitutes the weighted average purchase price. The prices range from \$6.73 to \$6.74. The Reporting Person will provide upon(1) request by the Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased at each separate price.
- This constitutes the weighted average purchase price. The prices range from \$6.60 to \$6.705. The Reporting Person will provide upon(2) request by the Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased at each separate price.
- This constitutes the weighted average purchase price. The prices range from \$6.49 to \$6.56. The Reporting Person will provide upon(3) request by the Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased at each separate price.
- (4) These securities are owned by Broadwood Partners, L.P., which is a Reporting Person

The reported securities are directly owned by Broadwood Partners, L.P. and may be deemed beneficially owned by Broadwood Capital, Inc. as General Partner of Broadwood Partners, L.P. and Neal C. Bradsher as President of Broadwood Capital, Inc. The Reporting Persons(5) disclaim beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and this report shall not

- (5) disclaim beneficial ownership of the reported securities except to the extent of his of its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (6) These securities are owned by Neal C. Bradsher, who is a Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.