Edgar Filing: STAAR SURGICAL CO - Form 4

STAAR SU Form 4	RGICAL CO										
January 15,	2016										
FORM			GEGU				NOE	OMMERION	OMB AF	PROVAL	
	UNITED	SIAIES			AND EX 1, D.C. 2(NGE C	OMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or				SECU	RITIES	Expires: Estimated a burden hou response					
obligation may cor <i>See</i> Inst 1(b).	ons Section 17(a) of the H	Public U	tility Ho		mpan	y Act of	Act of 1934, 1935 or Sectior)	I		
(Print or Type	Responses)										
	Address of Reporting OOD PARTNERS		Symbol		nd Ticker of			5. Relationship of Issuer	Reporting Pers	on(s) to	
(Last)				R SURGICAL CO [STAA] of Earliest Transaction				(Check all applicable)			
	ADWOOD CAPIT FIFTH AVENUE,		(Month/I 01/13/2	Day/Year) 2016				Director Officer (give t below)	itleOthe below)	Owner er (specify	
	(Street)			endment, I nth/Day/Ye	Date Origina ar)	al		6. Individual or Joi Applicable Line) Form filed by Or	ne Reporting Per	son	
NEW YOR	RK, NY 10019							_X_ Form filed by M Person	lore than One Ro	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Secur	ities Acqu	iired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	3. Transacti Code (Instr. 8) Code V	ioror Dispos (Instr. 3,	(A) or	5) Price	 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/13/2016			Р	34,500	А	\$ 6.7727 (1)	9,171,156	D <u>(3)</u>		
Common Stock	01/13/2016			Р	0	А	\$ 0	9,171,156	Ι	Footnote (4)	
Common Stock	01/14/2016			Р	9,491	А	\$ 6.79	9,180,647	D (3)		
Common Stock	01/14/2016			Р	0	А	\$ 0	9,180,647	Ι	Footnote (4)	
	01/15/2016			Р	91,734	А		9,272,381	D (3)		

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Common Stock					\$ 6.705			
Common Stock	01/15/2016	Р	0	А	\$0	9,272,381	Ι	Footnote (4)
Common Stock						25,900	D (5)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Title Amoun Underl Securit (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
BROADWOOD PARTNERS LP C/O BROADWOOD CAPITAL INC. 724 FIFTH AVENUE, 9TH FLOOR NEW YORK, NY 10019		Х				
BRADSHER NEAL C C/O BROADWOOD CAPITAL INC. 724 FIFTH AVENUE, 9TH FLOOR NEW YORK, NY 10019		Х				
BROADWOOD CAPITAL INC 724 FIFTH AVENUE, 9TH FLOOR NEW YORK, NY 10019		Х				

Signatures

Broadwood Partners, L.P., By: Broadwood Capital, Inc., By: /s/ Neal C. Bradsher,						
President						
**Signature of Reporting Person	Date					
Neal C. Bradsher, /s/ Neal C. Bradsher						
<u>**</u> Signature of Reporting Person	Date					
Broadwood Capital, Inc., By: /s/ Neal C. Bradsher, President	01/15/2016					
**Signature of Reporting Person	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This constitutes the weighted average purchase price. The prices range from \$6.64 to \$6.79. The Reporting Person will provide upon(1) request by the Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased at each separate price.
- This constitutes the weighted average purchase price. The prices range from \$6.57 to \$6.79. The Reporting Person will provide upon request by the Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased at each separate price.
- (3) These securities are owned by Broadwood Partners, L.P., which is a Reporting Person.

The reported securities are directly owned by Broadwood Partners, L.P. and may be deemed beneficially owned by Broadwood Capital, Inc. as General Partner of Broadwood Partners, L.P. and Neal C. Bradsher as President of Broadwood Capital, Inc. The Reporting Persons

- (4) disclaim beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (5) These securities are owned by Neal C. Bradsher, who is a Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.