Edgar Filing: RH - Form 4

RH

| Form 4 June 27, 2017 | | | | | | | | | | | |
|---|---|--|---|-----------------|--------|---|--|--|---|--|--|
| FORM / | | | | | | | | | OMB APPROVAL | | |
| Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | | |
| Check this box if no longer | | | CEC DI | | CIA | | | Expires: | January 31, 2005 | | |
| In the longer subject to Section 16.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESForm 4 or Form 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | burden hou | Estimated average burden hours per response 0.5 | | | | |
| obligations may continue.Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). | | | | | | | | | | | |
| (Print or Type Response | ses) | | | | | | | | | | |
| 1. Name and Address Mitic Kathleen C. | Symbol | 2. Issuer Name and Ticker or Trading Symbol RH [RH] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) (F | First) (Mic | ddle) 3. Date of | 3. Date of Earliest Transaction | | | | | (Check all applicable) | | | |
| C/O RH, 15 KOC K | | (Month/Day/Year) 06/27/2017 | | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | | |
| (S | treet) | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person | | | | | |
| CORTE MADERA,, CA 94925 — Form filed by More than One Reporting Person | | | | | | | eporting | | | | |
| (City) (S | State) (Z | iip) Tabl | e I - Non-De | erivative S | Securi | ties Ac | quired, Disposed o | of, or Beneficia | lly Owned | | |
| | ecurity (Month/Day/Year) Execution Date, if | | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or | | | | SecuritiesFBeneficially(OwnedIFollowing(ReportedTransaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common | | | | Amount 2,233 | (D) | Price | (Instr. 3 and 4) | 5 | | | |
| Stock 06/2 | 27/2017 | | А | (1) | А | \$0 | 11,552 | D | | | |
| Common Stock | | | | | | | 2,800 | Ι | By Trust | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | le and unt of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|-----------------------|---|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | | | | | | | |
|---|----------|------------|---------|-------|--|--|--|--|
| I B B B B B B B B B B B B B B B B B B B | Director | 10% Owner | Officer | Other | | | | |
| Mitic Kathleen C. C/O RH 15 KOCH ROAD, SUITE K CORTE MADERA,, CA 94925 | Х | | | | | | | |
| Signatures | | | | | | | | |
| /s/ Edward T. Lee as Attorney-In-Fact | | 06/27/2017 | | | | | | |
| **Signature of Reporting Person | | Date | | | | | | |
| Explanation of Responses: | | | | | | | | |

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This award of restricted stock will vest in full on the one-year anniversary of the date of grant.
- (2) Held by Kathleen C. Mitic, as trustee of the Burke/Mitic Revocable Trust U/A/D 5/14/04.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.