#### Edgar Filing: VIRTUS INVESTMENT PARTNERS, INC. - Form 4

VIRTUS INVESTMENT PARTNERS, INC.

Form 4

October 31, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* BANK OF MONTREAL /CAN/

2. Issuer Name and Ticker or Trading

Symbol

VIRTUS INVESTMENT PARTNERS, INC. [VRTS] 5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

Director X\_\_ 10% Owner Other (specify Officer (give title

100 KING STREET WEST, 1 FIRST CANADIAN PLACE

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

10/27/2016

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

TORONTO, A6 M5X 1A1

(City)	(State) (Z	Table Table	e I - Non-D	erivative Securities Acquire	ed, Disposed of, o	or Beneficially	y Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature
Security	(Month/Day/Year)	Execution Date, if	Transactio	oror Disposed of (D)	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficia
		(Month/Day/Year)	(Instr. 8)		Owned	Direct (D)	Ownersh

(Zip)

(State)

Amount

or

(D)

Price

5. Amount of 6. 7. Nature of Securities Ownership Indirect Beneficially Form: Beneficial Direct (D) Ownership Owned Following or Indirect (Instr. 4) Reported (I)

(A) Transaction(s)

(Instr. 4) (Instr. 3 and 4)

Virtus

Investment Partners,

(City)

See 10/27/2016 S 1,727,746 D  $150^{(1)}$ Inc. Ι footnote (2)

Code V

(VRTS): Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						· ·
					4, and 5)						
									Amount		
						Date	Expiration	m: 1	or		
						Exercisable	Date	Title	Number		
									of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Other		
BANK OF MONTREAL /CAN/ 100 KING STREET WEST 1 FIRST CANADIAN PLACE TORONTO, A6 M5X 1A1		X				

## **Signatures**

/s/ Neil Puddicombe, Bank of Montreal, By: Neil Puddicombe, Assistant Secretary

10/27/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 150 shares of common stock of Virtus Investment Partners, Inc. held in an investment account for clients of BMO Nesbitt Burns
  Inc., which is an indirect wholly owned subsidiary of Bank of Montreal. This number does not include 25,346 shares of common stock of Virtus Investment Partners, Inc. held by sub-advisers of CTC myCFO, LLC, a wholly owned subsidiary of BMO Financial Corp., in the name of clients of CTC myCFO, LLC.
- (2) Bank of Montreal Holding Inc., a wholly owned subsidiary of Bank or Montreal, is the direct beneficial owner of the shares of common stock of Virtus Investment Partners, Inc. Bank of Montreal is the indirect owner of such securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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