#### ASTRO MED INC /NEW/

Form 4 April 05, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005

Section 16. Form 4 or Form 5 obligations **SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Estimated average burden hours per response... 0.5

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Mancyak Erik J.				Symbol		d Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer				
	(Last)	(Finat)	(Middle)	ASTRO MED INC /NEW/ [ALOT]  3. Date of Earliest Transaction			(Check all applicable)				
(Last) (First) (Middle)  54 CLEARVIEW DRIVE					Tearnest T Day/Year)	ransaction	Director		0% Owner		
				04/03/2	016		X Officer (give title Other (specify below)				
		(Street)		4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
					nth/Day/Yea	Č	Applicable Line) _X_ Form filed by One Reporting Person				
	W. KINGS	ΓΟN, RI 02892					Form filed by More than One Reporting Person				
	(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative Securities Acq	quired, Disposed	of, or Benefici	ally Owned		
	1.Title of	2. Transaction Date	2A. Deem	ied	3.	4. Securities Acquired	5. Amount of	6.	7. Nature of		
	Security	(Month/Day/Year)	Execution Date		Transactio	on(A) or Disposed of (D)	Securities	Ownership	Indirect		
	(Instr. 3)		any	/5.7 \	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial		
			(Month/D	OVI V Ant)	(Inctr V)		Owned	Direct (D)	Ownarchin		

. •		Tabl	e 1 - Noll-1	Jerranive	Secui	rues Acq	un eu, Disposeu (	oi, or benefici	any Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)				equired d of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)		
Common Stock	04/03/2016		M	850	A	\$0	7,751	D		
Common Stock	04/03/2016		F	339	D	\$ 13.72	7,412	D		
Common Stock							538	I	Held in Employee Stock Ownership Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number one f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Deriva Securit (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	(1)	04/03/2016		M	850	(2)	(2)	Common Stock	850	\$ (

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Mancyak Erik J.

54 CLEARVIEW DRIVE CONTROLLER

W. KINGSTON, RI 02892

## **Signatures**

/s/ Margaret V. Boericke, by power of attorney 04/05/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of ALOT common stock.
- The restricted stock units vest as follows: 25% vested on April 3, 2016; 50% vest upon the achievement of certain specified goals relating (2) to cumulative budgeted sales targets for the fiscal years 2014 through 2016; and 25% vest upon the achievement of certain specified goals relating to operating income return on net assets for the fiscal years 2014 through 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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