Summit Materials, Inc. Form 4

February 26, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hill Thomas W.

Symbol Summit Materials, Inc. [SUM] 5. Relationship of Reporting Person(s) to

Issuer

(First) (Middle) (Last)

3. Date of Earliest Transaction

(Month/Day/Year)

02/24/2016

2. Issuer Name and Ticker or Trading

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

C/O SUMMIT MATERIALS, INC., 1550 WYNKOOP STREET, 3RD FLOOR

(Street)

below) President & CEO

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**DENVER, CO 80202** 

(State) (Zip) (City) 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned (Instr. 4) Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

Expires:

response...

Estimated average

burden hours per

(A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed

5. Number of (Month/Day/Year) Execution Date, if TransactiorDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

#### Edgar Filing: Summit Materials, Inc. - Form 4

| Security (Instr. 3)          | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code (Instr. 8) | Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5) | A)<br>d of              | (Month/Day/Year)   |                            | (Instr. 3 and 4)                    |  |
|------------------------------|---|------------|-------------------------|-----------------|---|-------------------------|--------------------|----------------------------|-------------------------------------|--|
|                              |   |            |                         | Code V          | (A)   | (D) Date<br>Exercisable | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of Shares |  |
| Restricted<br>Stock<br>Units | <u>(1)</u>  | 02/24/2016 |                         | A               | 43,145  | <u>(2)</u>              | (2)                | Class A<br>Common<br>Stock | 43,145                              |  |
| Options (right to buy)       | \$ 17.07  | 02/24/2016 |                         | A               | 71,938  | (3)                     | 02/24/2026         | Class A<br>Common<br>Stock | 71,938                              |  |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                 |       |  |  |
|--|---------------|-----------|-----------------|-------|--|--|
| . 9  | Director      | 10% Owner | Officer         | Other |  |  |
| Hill Thomas W.<br>C/O SUMMIT MATERIALS, INC.<br>1550 WYNKOOP STREET, 3RD FLOOR | X             |           | President & CEO |       |  |  |
| DENVER CO 80202  |               |           |                 |       |  |  |

#### **Signatures**

/s/ Anne Lee Benedict, as
Attorney-in-Fact
02/26/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Each restricted stock unit represents a contingent right to receive one share of Issuer Class A common stock ("Class A Common Stock").
- (1) The restricted stock units will be settled in either Class A Common Stock or cash (or a combination thereof) at the discretion of the Issuer's compensation committee.
- (2) The restricted stock units vest in three equal annual installments beginning on February 24, 2017.
- (3) The options vest in three equal annual installments beginning on February 24, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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