

Edgar Filing: CATES LARRY A - Form 4

CATES LARRY A  
Form 4  
November 09, 2001

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OMB APPROVAL  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

[ ] Check this box if no longer subject of Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person

Cates Larry A.  
-----  
(Last) (First) (Middle)  
Applebee's International, Inc.  
4551 W. 107th Street, Suite 100  
-----  
(Street)

Overland Park KS 66207  
-----  
(City) (State) (Zip)

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2. Issuer Name and Ticker or Trading Symbol

Applebee's International, Inc. - APPB

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3. IRS or Social Security Number of Reporting Person (Voluntary)

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4. Statement for Month/Year

10/01

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5. If Amendment, Date of Original (Month/Year)

N/A

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6. Relationship of Reporting Person to Issuer  
 (Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

President of International Division  
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Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned

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| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                        |         |
|---------------------------------------|---|---|---|--|------------------------|---------|
|                                       |   | Code                                    | V | Amount   | or<br>(A)<br>or<br>(D) | Price   |
| Common Stock                          | 10/11/01                                | M                                       |   | 5,000  | A                      | 16.7500 |
| Common Stock                          | 10/11/01                                | S                                       |   | 5,000  | D                      | 29.5900 |
| Common Stock                          | 10/04/01                                | S                                       |   | 1,000  | D                      | 29.8600 |
| Common Stock                          | 10/05/01                                | S                                       |   | 1,000  | D                      | 30.7500 |
| Common Stock                          |   |   |   |  |                        |         |
| Common Stock                          |   |   |   |  |                        |         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly  
 Explanation of Responses:  
 (1) Includes 44 shares acquired under the AII stock purchase plan in October 2001.

FORM 4 (continued)

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>T V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|---|--|---|--|
| Employee Stock Option<br>(right to buy)                | 16.7500   | 10/11/01   | M   | 5,000  | 05/14/00 05/14/07   | Common<br>Stock 5,000  |

Explanation of Responses:  
(2) Reflects a 3 for 2 stock split on 6/12/01

/s/Larry A. Cates November 8, 2001

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\*\*Signature of Reporting Person Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedures.