

CASEYS GENERAL STORES INC

Form 4

June 09, 2016

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Johnson Brian Joseph

2. Issuer Name **and** Ticker or Trading  
Symbol

CASEYS GENERAL STORES INC  
[CASY]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

06/07/2016

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

SVP, Store Development

CASEY'S GENERAL STORES,  
INC., ONE CONVENIENCE  
BLVD.

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

ANKENY, IA 50021

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4)              |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|------------------------------------------------------|
| Common<br>Stock                       | 06/07/2016                              |                                                             | M                                       | 2,500 A                                                                    | \$ 0 7,316                                                                                                         | D                                                                    |                                                      |
| Common<br>Stock                       | 06/07/2016                              |                                                             | F                                       | 829 D                                                                      | \$ 0 6,487                                                                                                         | D                                                                    |                                                      |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                            | 1,851 <sup>(1)</sup>                                                                                               | I                                                                    | Voting and<br>tender<br>rights<br>under 401k<br>plan |

# Edgar Filing: CASEYS GENERAL STORES INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Restricted stock units (2)                 | (3)                                                    | 06/07/2016                           |                                                    | M                              | 2,500                                                                                   | (2) (2)                                                  | Common Stock 2,500                                            |
| Restricted stock units (4)                 | (3)                                                    |                                      |                                                    |                                |                                                                                         | (4) (4)                                                  | Common Stock 2,500                                            |
| Restricted stock units (5)                 | (3)                                                    |                                      |                                                    |                                |                                                                                         | (5) (5)                                                  | Common Stock 2,500                                            |
| Restricted stock units (6)                 | (3)                                                    |                                      |                                                    |                                |                                                                                         | (6) (6)                                                  | Common Stock 2,650                                            |
| Option - right to buy                      | \$ 25.26                                               |                                      |                                                    |                                |                                                                                         | 06/23/2012 06/23/2019                                    | Common Stock 2,500                                            |
| Option - right to buy                      | \$ 44.39                                               |                                      |                                                    |                                |                                                                                         | 06/23/2014 06/23/2021                                    | Common Stock 15,000                                           |

## Reporting Owners

| Reporting Owner Name / Address                                                | Relationships |           |                        |       |
|-------------------------------------------------------------------------------|---------------|-----------|------------------------|-------|
|                                                                               | Director      | 10% Owner | Officer                | Other |
| Johnson Brian Joseph<br>CASEY'S GENERAL STORES, INC.<br>ONE CONVENIENCE BLVD. |               |           | SVP, Store Development |       |

ANKENY, IA 50021

## Signatures

William J. Noth, under POA dated June 6,  
2016

06/09/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Allocated to Mr. Johnson's 401k plan account as of April 30, 2016. Does not include any shares allocated by the plan trustee after that date.
- (2) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award vested in full on June 7, 2016.
- (3) Each restricted stock unit represents the right to receive, following vesting, one share of Common Stock.
- (4) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 6, 2017.
- (5) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 5, 2018.
- (6) Pursuant to terms and conditions of 2009 Stock Incentive Plan. This award will vest in full on June 2, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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