KANSAS CITY SOUTHERN

Form 3

August 06, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Songer Jeffrey M

(Last)

(First)

(Middle)

(Zip)

Statement

(Month/Day/Year)

08/05/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

KANSAS CITY SOUTHERN [KSU]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Sr. VP & Chief Transp. Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

KANSAS CITY

SOUTHERN, PO BOX 21935

(Street)

Director _X__ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

KANSAS CITY, MOÂ 64121

(State)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

(City)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock 6,291.3556

Common Stock

1.028

Â D

I By 401(k) & P/S Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security**

Conversion

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

or Exercise (Instr. 4) Price of

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	02/20/2023	Common Stock	393	\$ 97.77	D	Â
Employee Stock Option (Right to Buy)	(1)	02/19/2024	Common Stock	411	\$ 94.23	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Songer Jeffrey M KANSAS CITY SOUTHERN PO BOX 21935 KANSAS CITY, MO 64121	Â	Â	Sr. VP & Chief Transp. Officer	Â		

Signatures

Julie D. Powell,
Attorney-in-fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options become exercisable in three equal installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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