## Edgar Filing: DILLARD WILLIAM T II - Form 4

DILLARD W Form 4	ILLIAM T II								
September 27									
FORM	4 UNITED S'	<b>FATES SECUR</b>	TIES AND	EXCHANG	E COMMISSION		PROVAL		
Check this			hington, D.C			Number:	3235-0287		
if no longe subject to Section 16 Form 4 or Form 5	er <b>STATEMI</b>	Expires:January 31, 2005Estimated average burden hours per response0.5							
obligations may contin <i>See</i> Instruct 1(b).	s Section $17(a)$		ility Holding	Company Ac	ange Act of 1934, t of 1935 or Section 1940	I			
(Print or Type Re	esponses)								
DILLARD WILLIAM T II Symb			Name <b>and</b> Tick	-	5. Relationship of I Issuer	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mi		DILLARD'S, INC. [DDS] 3. Date of Earliest Transaction (Check				k all applicable)		
1600 CANTI	RELL ROAD	(Month/D 09/25/20			below)	X Officer (give title Other (specify			
LITTLE ROO	(Street) CK, AR 72201		ndment, Date Or th/Day/Year)	iginal	6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by Mo	ne Reporting Pe	rson		
(City)		(ip) Tabl	I N. D.	4	Person	Des Catal			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Tabl	3. 4. Transaction(A Code (In (Instr. 8)	Securities Acqu ) or Disposed of str. 3, 4 and 5) (A) or	(D) Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
Common Class A	09/25/2017		Code V Ar A 16	φ <u>Δ</u> \$	5.96 1,086,098	D			
Common Class A - Retirement Plan					616	D			
Common Class A					41,496 <u>(1)</u>	I	See Footnote $(1)$		
Common Class A					7,300 (2)	Ι	See Footnote		

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(2)
<u> </u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Tit	tle of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title and A	Amount of	8. Prie
Deriv	vative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onNumber	Expiration D	ate	Underlying S	Securities	Deriv
Secu	rity	or Exercise		any	Code	of	(Month/Day/	Year)	(Instr. 3 and	4)	Secur
(Instr	:. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e				(Instr.
		Derivative				Securities					
		Security				Acquired					
						(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Con Clas	nmon ss B	<u>(3)</u>					(3)	(3)	Common Class A	3,985,776 (1) $(4)$	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
L O	Director	10% Owner	Officer	Other			
DILLARD WILLIAM T II 1600 CANTRELL ROAD LITTLE ROCK, AR 72201	Х		CEO, Chairman of the Board				

## **Signatures**

/s/ William Dillard II 09/27/2017 \*\*Signature of Date Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares are held by W.D. Company, Inc. The reporting person owns 27.4% of W.D. Company, Inc. and is one of its directors and (1)officers.

(2)Trustee of GST Trust

## **Explanation of Responses:**

- (3) Shares of Class B Common Stock are convertible into shares of Class A Common Stock on a one-for-one basis. Class B Common Stock has no expiration date.
- (4) These shares of Class B Common Stock were previously reported in Table I and will now be reported in Table II, as a derivative security.

### **Remarks:**

Remarks: The reporting person disclaims beneficial ownership of the shares reported herein as indirectly beneficially owned,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.