**EQUINIX INC** Form 4 February 17, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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**OMB APPROVAL** 

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Middle)

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* STROHMEYER KARL

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First)

(Street)

**EQUINIX INC [EQIX]** 

(Check all applicable)

3. Date of Earliest Transaction

(Month/Day/Year) 02/15/2017

Director 10% Owner X\_ Officer (give title Other (specify

below) President, Americas

ONE LAGOON DRIVE

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

REDWOOD CITY, CA 94065

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acquire	ed, Disposed of,	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactior Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/15/2017		Code V M	Amount 1,662	(D)	Price \$ 0	3,122 (1)	D	
Common Stock	02/16/2017		S(2)	200	D	\$ 370.785 (3)	2,922	D	
Common Stock	02/16/2017		S(2)	162	D	\$ 372.3815 (4)	2,760	D	
Common Stock	02/16/2017		S(2)	300	D	\$ 374.2933 (5)	2,460	D	
	02/16/2017		S(2)	100	D	\$ 376.17	2,360	D	

Common
Stock

Common Stock	02/16/2017	S(2)	400	D	\$ 377.7775 (6)	1,960	D
Common Stock	02/16/2017	S(2)	100	D	\$ 378.94	1,860	D
Common Stock	02/16/2017	S(2)	200	D	\$ 380.44 (7)	1,660	D
Common Stock	02/16/2017	S(2)	200	D	\$ 382.545 (8)	1,460	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0	02/15/2017		M		1,662	<u>(9)</u>	(10)	Common Stock	1,662
Restricted Stock Units	\$ 0	02/16/2017		A	2,395		(11)	(10)	Common Stock	2,395

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
reporting 6 wher runne / runness	Director	10% Owner	Officer	Other				
STROHMEYER KARL ONE LAGOON DRIVE REDWOOD CITY, CA 94065			President, Americas					

Reporting Owners 2 Edgar Filing: EQUINIX INC - Form 4

### **Signatures**

Samantha Lagocki, POA 02/17/2015

\*\*Signature of Reporting
Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 87 shares acquired under the Equinix, Inc. Employee Stock Purchase Plan on February 14, 2017.
- (2) Shares were sold pursuant to a 10b5-1 Trading Plan
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$370.60 to \$370.97, inclusive. The reporting person undertakes to provide to Equinix, Inc, any security holder of Equinix Inc, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes 4 thru 8 to this Form 4.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$372.17 to \$372.60 inclusive.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$373.75 to \$374.65 inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$377.45 to \$378.05 inclusive.
- (7) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$380.11 to \$380.77 inclusive.
- (8) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$382.25 to \$382.84 inclusive.
- On February 12, 2015 the reporting person was granted performance restricted stock units, the vesting of which was subject to both continued service and the attainment of certain revenue and AFFO targets for 2015. The Compensation Committee certified the degree to which the targets were achieved, therefore 50% of the award vested on February 12, 2016, with 25% additional units scheduled to vest on each of February 15, 2017 and February 15, 2018, subject solely to continued service.
- (10) Restricted stock unit award expires upon reporting person's termination of employment.
- On February 16, 2017, the reporting person was granted restricted stock units, the vesting of which is subject solely to continued service through each vesting date. 33.33% of the award is scheduled to vest on January 15, 2018, with an additional 33.33% units scheduled to vest on each of January 15, 2019 and January 15, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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