Resonant Inc Form 4 December 08, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

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obligations

(Print or Type Responses)

71	<b>,</b>									
1. Name and Address of Reporting Person * Christopher Daniel G			2. Issuer Symbol	r Name <b>and</b>	Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer				
			Resonar	nt Inc [res	n]	(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of	f Earliest Tr	ansaction					
			(Month/D	ay/Year)			10%			
C/O RESONANT INC., 110			06/30/20	014		X Officer (give title Other (specify				
CASTILIAN DRIVE, SUITE 100						below) below)  VP and General Counsel				
(Street)			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
			Filed(Mor	nth/Day/Year	)	Applicable Line) _X_ Form filed by	One Reporting Pe	erson		
SANTA BA	ARBARA, CA	93117				Form filed by M Person	More than One Re	porting		
(City)	(State)	(Zip)	Tabl	e I - Non-D	Perivative Securities Acq	uired, Disposed o	f, or Beneficial	ly Owned		
1.Title of	2. Transaction I	Date 2A. Dee	emed	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature o		
Security	(Month/Day/Ye	ar) Execution	on Date, if	Transactio	on(A) or Disposed of (D)	Securities	Form: Direct	Indirect		
(Instr. 3)		any		Code	(Instr. 3, 4 and 5)	Beneficially	(D) or	Beneficial		

·		Tabl	e I - Moll-D	elivative s	securities Acquired, Disposed of, or Deficiencially Owned						
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	06/30/2014		M	375	A	<u>(1)</u>	375	D			
Common Stock	06/30/2014		F(2)	115	D	\$ 7.72	260	D			
Common Stock	09/30/2014		M	375	A	<u>(1)</u>	635	D			
Common Stock	09/30/2014		F(2)	85	D	\$ 7.29	550	D			
Common Stock	10/01/2014		M	375	A	<u>(1)</u>	925	D			

**OMB APPROVAL** 

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### Edgar Filing: Resonant Inc - Form 4

Common Stock	10/01/2014	F(2)	87	D	\$ 7.55	838	D
Common Stock	12/04/2014	A	26,446 (3)	A	\$ 0	27,284	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transactionf Code Derivative (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5	
				Code V	(A) (	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	06/30/2014		M	3	375	<u>(4)</u>	<u>(4)</u>	Common Stock	375	\$ 0
Restricted Stock Units	(1)	09/30/2014		M	3	375	<u>(4)</u>	<u>(4)</u>	Common Stock	375	\$ 0
Restricted Stock Units	(1)	10/01/2014		M	3	375	<u>(4)</u>	<u>(4)</u>	Common Stock	375	\$ 0

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships							
	Director	10% Owner	Officer	Other				
Christopher Daniel G			VD 1					
C/O RESONANT INC.			VP and					
110 CASTILIAN DRIVE, SUITE 100			General					
SANTA BARBARA CA 93117			Counsel					

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## **Signatures**

/s/ Daniel Christopher

12/08/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Resonant Inc. common stock.
- (2) The shares were retained by the company in payment of the tax associated with the vesting of restricted stock units previously granted to the reporting person.
- (3) Represents a grant of restricted stock units, of which 25% vests on the first business day of each of 2016, 2017, 2018 and 2019.
- (4) 375 shares vested and shall vest in 16 equal quarterly installments with the first such installment vesting on June 30, 2014.

#### **Remarks:**

Exhibit 24 power of attorney filed herewith.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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