

Hagerman Philip R
Form 5
February 14, 2019

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
Hagerman Philip R

(Last) (First) (Middle)

4100 S. SAGINAW STREET

(Street)

FLINT, MI 48507

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Diplomat Pharmacy, Inc. [DPLO]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock	Â	Â	Â	Â	Â	Â	5,222	D	Â
Common Stock	05/30/2018	Â	G ⁽¹⁾	50,000	D	\$ 0	1,280,099	I	Philip R. Hagerman Revocable Trust ⁽²⁾
Common Stock	Â	Â	Â	Â	Â	Â	3,391,609	I	2007 Hagerman Family GST

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Common Stock	Â	Â	Â	Â	Â	Â	3,600,091	I	(3) The JH GST Trust (2)
Common Stock	Â	Â	Â	Â	Â	Â	1,475,876	I	2013 Irrev. Exempt Trust for Daughter (3)
Common Stock	Â	Â	Â	Â	Â	Â	1,475,876	I	2013 Irrev. Exempt Trust for Son (3)
Common Stock	Â	Â	Â	Â	Â	Â	1,075,293	I	2013 Irrev. Exempt Trust for Daughter (2)
Common Stock	Â	Â	Â	Â	Â	Â	1,311,292	I	2013 Irrev. Exempt Trust for Daughter (2)
Common Stock	Â	Â	Â	Â	Â	Â	313,337	I	2014 Irrev. Exempt Trust for Son (3)
Common Stock	Â	Â	Â	Â	Â	Â	313,337	I	2014 Irrev. Exempt Trust for Daughter (3)
Common Stock	Â	Â	Â	Â	Â	Â	313,337	I	2014 Irrev. Exempt Trust for Daughter (2)
Common Stock	Â	Â	Â	Â	Â	Â	313,337	I	2014 Irrev. Exempt Trust for Daughter (2)
Common Stock	05/30/2018	Â	G(4)	50,000	D	\$ 0	367,528	I	Jocelyn Hagerman Living Trust (3)
Common Stock	Â	Â	Â	Â	Â	Â	263,500	I	JH Marital Trust (2)
Common Stock	Â	Â	Â	Â	Â	Â	272,000	I	PH Marital Trust (3)
Common Stock	Â	Â	Â	Â	Â	Â	394,910	I	Irrevocable Trust for Son
	Â	Â	Â	Â	Â	Â	394,910	I	

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Common Stock									Irrevocable Trust for Daughter
Common Stock	^	^	^	^	^	^	367,256	I	Irrevocable Non-Exempt Trust for Son
Common Stock	^	^	^	^	^	^	367,256	I	Irrevocable Non-Exempt Trust for Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hagerman Philip R 4100 S. SAGINAW STREET FLINT, MI 48507	^ X	^ X	^	^

Signatures

/s/ Christina Flint, by Power of Attorney
02/14/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) On May 30, 2018, 50,000 shares held by the Philip R. Hagerman Revocable Trust were donated to The Hagerman Foundation, an unaffiliated, nonprofit corporation.
- (2) These shares are indirectly owned by Mr. Hagerman as trustee of the Philip R. Hagerman Revocable Trust, The JH GST Trust, the 2013 Irrevocable Exempt Trusts and 2014 Irrevocable Exempt Trusts for two daughters, and the JH Marital Trust.

Jocelyn Hagerman is Mr. Hagerman's wife. These shares are indirectly owned by her as a trustee of the 2007 Hagerman Family GST
- (3) Trust, the 2013 Irrevocable Exempt Trusts and 2014 Irrevocable Exempt Trusts for a son and daughter, the Jocelyn Hagerman Living Trust, and the PH Marital Trust.
- (4) On May 30, 2018, 50,000 shares held by the Jocelyn Hagerman Living Trust were donated to The Hagerman Foundation, an unaffiliated, nonprofit corporation.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.