Edgar Filing: SWIFT ENERGY CO - Form 3

SWIFT ENERGY CO

Form 3

March 22, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

OMB APPROVAL

Number: Expires:

3235-0104 January 31,

2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

 ABUNDIS CHRISTOPHER

M

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year) 03/20/2017

SWIFT ENERGY CO [SWTF]

(Last)

575 N DAIRY ASHFORD, STE 1200

(Street)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director 10% Owner Officer Other (give title below) (specify below)

SR VP, GC & amp; SECY

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

HOUSTON, TXÂ 77079

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

Direct (D) or Indirect (I)

(Instr. 5)

(Instr. 5)

COMMON STOCK

16,801 (1)

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. 5. Conversion or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership Ownership

(Instr. 5)

Derivative Security:

Edgar Filing: SWIFT ENERGY CO - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Option (right to buy)	06/08/2017(2)	06/08/2021	Swift Energy Company Common Stock	13,228	\$ 23.25	D	Â
Warrants for Common Stock	04/22/2016	04/22/2019	Common Stock	1,972	\$ 80	D	Â
Warrants for Common Stock	04/22/2016	04/22/2020	Common Stock	1,972	\$ 86.18	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
topolonig o mior rumio rumi ess	Director	10% Owner	Officer	Other	
ABUNDIS CHRISTOPHER M 575 N DAIRY ASHFORD, STE 1200 HOUSTON. TX 77079	Â	Â	SR VP, GC & SECY	Â	

Signatures

/s/ ABUNDIS CHRISTOPHER M 03/22/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes a time-based Restricted Stock Unit award with respect to 16,534 shares, awarded June 8, 2016, which will vest in three equal installments beginning on the first anniversary of the grant date.
- (2) The stock option vests in three equal installments beginning one year from the grant date of June 8, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2