Thomas Charles C. Form 3 April 05, 2019

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Common Stock

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TrueCar, Inc. [TRUE] Thomas Charles C. (Month/Day/Year) 04/01/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O TRUECAR, INC., 120 (Check all applicable) **BROADWAY, SUITE 200** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting VP, Controller, PFO, PAO Person **SANTA** Form filed by More than One MONICA. CAÂ 90401 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5)

40,532 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

D

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date	Expiration		Amount or		or Indirect	
	Exercisable	Date		Number of Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(2)	05/15/2024	Common Stock	3,333	\$ 12.81	D	Â
Employee Stock Option (Right to Buy)	(3)	05/15/2024	Common Stock	728	\$ 12.81	D	Â
Employee Stock Option (Right to Buy)	(2)	10/06/2024	Common Stock	2,000	\$ 20.85	D	Â
Employee Stock Option (Right to Buy)	(2)	02/03/2025	Common Stock	1,030	\$ 19.29	D	Â
Employee Stock Option (Right to Buy)	(4)	08/11/2026	Common Stock	8,340	\$ 10.85	D	Â
Employee Stock Option (Right to Buy)	(5)	06/10/2027	Common Stock	6,048	\$ 18.91	D	Â
Employee Stock Option (Right to Buy)	(6)	06/10/2027	Common Stock	22,500	\$ 18.91	D	Â
Employee Stock Option (Right to Buy)	(7)	09/18/2028	Common Stock	10,639	\$ 13.49	D	Â
Employee Stock Option (Right to Buy)	(8)	03/15/2029	Common Stock	12,096	\$ 6.93	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Thomas Charles C. C/O TRUECAR, INC. 120 BROADWAY, SUITE 200 SANTA MONICA. CA 90401	Â	Â	VP, Controller, PFO, PAO	Â	

## **Signatures**

/s/ Scott Watkinson, by Power of Attorney 04/05/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported securities include 29,815 restricted stock units.
- (2) Shares subject to the option are fully vested and immediately exercisable.
- An option to purchase 822 shares was granted on May 15, 2014. This option was subject to performance vesting. Only 88.5% of the target (3) was achieved. As a result, 94 of the shares underlying the option were canceled. The remaining 728 shares subject to the option are fully vested and immediately exercisable.

Reporting Owners 2

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- (4) Shares subject to the option vest in 48 equal monthly installments beginning on August 11, 2016.
- (5) Shares subject to the option vest in 48 equal monthly installments beginning on July 15, 2017.
- (6) Shares subject to the option vest 10% on June 15, 2018, 20% on June 15, 2019, 30% on June 15, 2020 and 40% on June 15, 2021.
- (7) Shares subject to the option vest in 48 equal monthly installments beginning on September 18, 2018.
- (8) Shares subject to the option vest in 48 equal monthly installments beginning on April 15, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.