**EchoStar CORP** Form 4 December 04, 2013

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ergen Three-Year 2010 SATS

**GRAT** 

1. Title of

Security

(Instr. 3)

(Last)

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

EchoStar CORP [SATS]

3. Date of Earliest Transaction (Month/Day/Year)

400 INVERNESS PARKWAY 12/02/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

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response...

Estimated average

burden hours per

(Check all applicable)

Director X\_\_ 10% Owner \_X\_ Other (specify Officer (give title below) below)

Joint Filing Group

6. Individual or Joint/Group Filing(Check

Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ENGLEWOOD, CO 80112

(City) (State) (Zip)

(Month/Day/Year)

2. Transaction Date 2A. Deemed

3. 4. Securities

Execution Date, if Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

TransactionAcquired (A) or

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I)

(Instr. 4)

Ownership (Instr. 4)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and 7. Title and Amount of Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date Underlying Securities** Security or Exercise any Code Securities (Month/Day/Year) (Instr. 3 and 4)

### Edgar Filing: EchoStar CORP - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(1)	12/02/2014		G(2)	V		415,197	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	415,197
Class B Common Stock	(1)	12/02/2013		G(4)	V		284,902	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	284,902
Class B Common Stock	(1)	12/02/2013		G(6)	V		208,078	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	208,078

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Ergen Three-Year 2010 SATS GRAT 400 INVERNESS PARKWAY ENGLEWOOD, CO 80112		X		Joint Filing Group			
Ergen Four-Year 2010 SATS GRAT 400 INVERNESS PARKWAY ENGLEWOOD, CO 80112		X		Joint Filing Group			
Ergen Five-Year 2010 SATS GRAT 400 INVERNESS PARKWAY ENGLEWOOD, CO 80112		X		Joint Filing Group			

## **Signatures**

- 19 - 11 - 11 - 11 - 11 - 11 - 11 - 11					
/s/ William R. Gouger, Trustee of the Ergen Three-Year 2010 SATS GRAT, by Katherine M. Hanna, His Attorney-in-Fact					
**Signature of Reporting Person	Date				
/s/ William R. Gouger, Trustee of the Ergen Four-Year 2010 SATS GRAT, by Katherine M. Hanna, His Attorney-in-Fact					
**Signature of Reporting Person	Date				
/s/ William R. Gouger, Trustee of the Ergen Five-Year 2010 SATS GRAT, by Katherine M. Hanna, His Attorney-in-Fact	12/04/2013				
**Signature of Reporting Person	Date				

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#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On November 30, 2010, Charles W. Ergen established four GRATs, contributing 2,500,000 Class B shares to each, resulting in the transfer of a total of 10,000,000 Class B shares. Each GRAT may elect to convert any or all of its Class B shares into an equal number of Class A shares at any time for no additional consideration.
- Pursuant to the terms of the Ergen Three-Year 2010 SATS GRAT, 415,197 shares were distributed as an annuity to Mr. Ergen on
- (2) December 2, 2013, and the remaining 881,449 shares were distributed to a trust, the beneficiaries of which are members of Mr. Ergen's family. Following this distribution, the Ergen Three-Year 2010 SATS GRAT expired pursuant to its terms.
- (3) These securities were owned directly by the Ergen Three-Year 2010 SATS GRAT.
  - Pursuant to the terms of the Ergen Four-Year 2010 SATS GRAT, 284,902 shares were distributed as an annuity to Mr. Ergen on
- (4) December 2, 2013, with the Ergen Four-Year 2010 SATS GRAT retaining 1,389,373 shares. The Ergen Four-Year 2010 SATS GRAT expires on November 30, 2014.
- (5) These securities are owned directly by the Ergen Four-Year 2010 SATS GRAT.
  - Pursuant to the terms of the Ergen Five-Year 2010 SATS GRAT, 208,078 shares were distributed as an annuity to Mr. Ergen on
- (6) December 2, 2013, with the Ergen Five -Year 2010 SATS GRAT retaining 1,688,854 shares. The Ergen Five -Year 2010 SATS GRAT expires on November 30, 2015.
- (7) These securities are owned directly by the Ergen Five-Year 2010 SATS GRAT.
  - There is no formal agreement to vote or dispose of the shares owned by each GRAT in a particular manner, except that the trust agreement for each GRAT contains an irrevocable provision that provides that the trustee will not dispose of any shares of the issuer held
- (8) by the GRAT unless a Change of Control Event (as defined in Item 6 of the GRATs' Schedule 13D/A filed on December 4, 2013) occurs. The dispositive and voting power of the shares held by each of the GRATs is independent of each other, except to the extent that Mr. William R. Gouger is the trustee of each of the GRATs and in that respect is able to control the disposition and voting of the shares of Class B Common Stock owned by each such GRAT.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.