

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

FIRST TRUST MLP & ENERGY INCOME FUND

Form N-PX

July 20, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act File No. 811-22738

First Trust MLP and Energy Income Fund

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Exact Name of Registrant as Specified in Declaration of Trust

120 East Liberty Drive, Suite 400, Wheaton, Illinois 60187

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Address of Principal Executive Offices (Number, Street, City, State, Zip Code)

W. Scott Jardine  
First Trust Portfolios L.P.  
120 East Liberty Drive, Suite 400  
Wheaton, Illinois 60187

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Name and Address (Number, Street, City, State, Zip Code) of Agent for Service

(630) 765-8000

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Registrant's Telephone Number, including Area Code

Date of fiscal year end: October 31  
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Date of reporting period: July 1, 2015 - June 30, 2016  
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Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 100 F Street, N.E., Washington

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D.C. 20549. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. Section 3507.

## Item 1. Proxy Voting Record

### National Grid plc

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Ticker NG.  
Primary CUSIP G6375K151  
Meeting Date 21-Jul-15  
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PROPOSER	PROPOSAL TEXT
Management	Accept Financial Statements and Statutory Reports
Management	Approve Final Dividend
Management	Re-elect Sir Peter Gershon as Director
Management	Re-elect Steve Holliday as Director
Management	Re-elect Andrew Bonfield as Director
Management	Re-elect John Pettigrew as Director
Management	Elect Dean Seavers as Director
Management	Re-elect Nora Mead Brownell as Director
Management	Re-elect Jonathan Dawson as Director
Management	Re-elect Therese Esperdy as Director
Management	Re-elect Paul Golby as Director
Management	Re-elect Ruth Kelly as Director
Management	Re-elect Mark Williamson as Director
Management	Reappoint PricewaterhouseCoopers LLP as Auditors
Management	Authorize Board to Fix Remuneration of Auditors
Management	Approve Remuneration Report
Management	Authorize Issue of Equity with Pre-emptive Rights
Management	Authorize Issue of Equity without Pre-emptive Rights
Management	Authorize Market Purchase of Ordinary Shares
Management	Authorize the Company to Call EGM with 14 Working Days' Notice

### Enbridge Income Fund Holdings Inc.

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Ticker ENF  
Primary CUSIP 29251R105  
Meeting Date 20-Aug-15  
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PROPOSER	PROPOSAL TEXT
Management	Approve Acquisition of Liquids Pipelines and Renewable Assets through Enbridge Income Partners LP, an Indirect Subsidiary of Enbridge Income Fund

### Golar LNG Partners LP

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Ticker GMLP  
Primary CUSIP Y2745C102  
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Meeting Date 23-Sep-15

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PROPOSER	PROPOSAL TEXT
Management	Elect Director Andrew J.D. Whalley
Management	Elect Director Paul Leand Jr.

Hoegh LNG Partners LP

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Ticker	HMLP
Primary CUSIP	Y3262R100
Meeting Date	09-Oct-15

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PROPOSER	PROPOSAL TEXT
Management	Elect Director Andrew Jamieson

Piedmont Natural Gas Company, Inc.

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Ticker	PNY
Primary CUSIP	720186105
Meeting Date	22-Jan-16

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PROPOSER	PROPOSAL TEXT
Management	Approve Merger Agreement
Management	Advisory Vote on Golden Parachutes
Management	Adjourn Meeting

UGI Corporation

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Ticker	UGI
Primary CUSIP	902681105
Meeting Date	28-Jan-16

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PROPOSER	PROPOSAL TEXT
Management	Elect Director M. Shawn Bort
Management	Elect Director Richard W. Gochnauer
Management	Elect Director Frank S. Hermance
Management	Elect Director Ernest E. Jones
Management	Elect Director Anne Pol
Management	Elect Director Marvin O. Schlanger
Management	Elect Director James B. Stallings, Jr.
Management	Elect Director Roger B. Vincent
Management	Elect Director John L. Walsh
Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Management	Ratify Ernst & Young LLP as Auditors

Atmos Energy Corporation

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Ticker	ATO
Primary CUSIP	049560105
Meeting Date	03-Feb-16

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PROPOSER	PROPOSAL TEXT
Management	Elect Director Robert W. Best

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Management	Elect Director Kim R. Cocklin
Management	Elect Director Richard W. Douglas
Management	Elect Director Ruben E. Esquivel
Management	Elect Director Richard K. Gordon
Management	Elect Director Robert C. Grable
Management	Elect Director Michael E. Haefner
Management	Elect Director Thomas C. Meredith
Management	Elect Director Nancy K. Quinn
Management	Elect Director Richard A. Sampson
Management	Elect Director Stephen R. Springer
Management	Elect Director Richard Ware II
Management	Amend Omnibus Stock Plan
Management	Amend Executive Incentive Bonus Plan
Management	Ratify Ernst & Young LLP as Auditors
Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Management	Advisory Vote on Say on Pay Frequency

Targa Resources Partners LP

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Ticker	NGLS
Primary CUSIP	87611X105
Meeting Date	12-Feb-16

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PROPONENT	PROPOSAL TEXT
Management	Approve Merger Agreement
Management	Advisory Vote on Golden Parachutes

Public Service Enterprise Group Incorporated

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Ticker	PEG
Primary CUSIP	744573106
Meeting Date	19-Apr-16

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PROPONENT	PROPOSAL TEXT
Management	Elect Director Willie A. Deese
Management	Elect Director Albert R. Gamper, Jr.
Management	Elect Director William V. Hickey
Management	Elect Director Ralph Izzo
Management	Elect Director Shirley Ann Jackson
Management	Elect Director David Lilley
Management	Elect Director Thomas A. Renyi
Management	Elect Director Hak Cheol (H.C.) Shin
Management	Elect Director Richard J. Swift
Management	Elect Director Susan Tomasky
Management	Elect Director Alfred W. Zollar
Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Management	Ratify Deloitte & Touche LLP as Auditors

Magellan Midstream Partners, L.P.

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Ticker	MMP
Primary CUSIP	559080106
Meeting Date	21-Apr-16

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PROPONENT	PROPOSAL TEXT
Management	Elect Director Walter R. Arnheim

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Management	Elect Director Patrick C. Eilers (Withdrawn)
Management	Amend Restricted Stock Plan
Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Management	Ratify Ernst & Young LLP as Auditors

American Electric Power Company, Inc.

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Ticker	AEP
Primary CUSIP	025537101
Meeting Date	26-Apr-16

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PROPOSER	PROPOSAL TEXT
Management	Elect Director Nicholas K. Akins
Management	Elect Director David J. Anderson
Management	Elect Director J. Barnie Beasley, Jr.
Management	Elect Director Ralph D. Crosby, Jr.
Management	Elect Director Linda A. Goodspeed
Management	Elect Director Thomas E. Hoaglin
Management	Elect Director Sandra Beach Lin
Management	Elect Director Richard C. Notebaert
Management	Elect Director Lionel L. Nowell ,III
Management	Elect Director Stephen S. Rasmussen
Management	Elect Director Oliver G. Richard, III
Management	Elect Director Sara Martinez Tucker
Management	Ratify Deloitte & Touche LLP as Auditors
Management	Advisory Vote to Ratify Named Executive Officers' Compensation

Exelon Corporation

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Ticker	EXC
Primary CUSIP	30161N101
Meeting Date	26-Apr-16

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PROPOSER	PROPOSAL TEXT
Management	Elect Director Anthony K. Anderson
Management	Elect Director Ann C. Berzin
Management	Elect Director Christopher M. Crane
Management	Elect Director Yves C. de Balmann
Management	Elect Director Nicholas DeBenedictis
Management	Elect Director Nancy L. Gioia
Management	Elect Director Linda P. Jojo
Management	Elect Director Paul L. Joskow
Management	Elect Director Robert J. Lawless
Management	Elect Director Richard W. Mies
Management	Elect Director John W. Rogers, Jr.
Management	Elect Director Mayo A. Shattuck, III
Management	Elect Director Stephen D. Steinour
Management	Ratify PricewaterhouseCoopers LLP as Auditors
Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Management	Provide Proxy Access Right

Spectra Energy Corp

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Ticker	SE
Primary CUSIP	847560109
Meeting Date	26-Apr-16

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PROPOSER	PROPOSAL TEXT
Management	Elect Director Gregory L. Ebel
Management	Elect Director F. Anthony Comper
Management	Elect Director Austin A. Adams
Management	Elect Director Joseph Alvarado
Management	Elect Director Pamela L. Carter
Management	Elect Director Clarence P. Cazalot, Jr.
Management	Elect Director Peter B. Hamilton
Management	Elect Director Miranda C. Hubbs
Management	Elect Director Michael McShane
Management	Elect Director Michael G. Morris
Management	Elect Director Michael E. J. Phelps
Management	Ratify Deloitte & Touche LLP as Auditors
Management	Amend Omnibus Stock Plan
Management	Amend Executive Incentive Bonus Plan
Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Share Holder	Report on Political Contributions
Share Holder	Report on Lobbying Payments and Policy

### SCANA Corporation

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Ticker	SCG
Primary CUSIP	80589M102
Meeting Date	28-Apr-16

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PROPOSER	PROPOSAL TEXT
Management	Elect Director Gregory E. Aliff
Management	Elect Director Sharon A. Decker
Management	Elect Director Kevin B. Marsh
Management	Elect Director James M. Micali
Management	Ratify Deloitte & Touche LLP as Auditors
Management	Amend Outside Director Stock Awards in Lieu of Cash
Management	Amend Outside Director Stock Awards in Lieu of Cash
Management	Declassify the Board of Directors

### TransCanada Corporation

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Ticker	TRP
Primary CUSIP	89353D107
Meeting Date	29-Apr-16

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PROPOSER	PROPOSAL TEXT
Management	Elect Director Kevin E. Benson
Management	Elect Director Derek H. Burney
Management	Elect Director Russell K. Girling
Management	Elect Director S. Barry Jackson
Management	Elect Director John E. Lowe
Management	Elect Director Paula Rosput Reynolds
Management	Elect Director John Richels
Management	Elect Director Mary Pat Salomone
Management	Elect Director Indira V. Samarasekera
Management	Elect Director D. Michael G. Stewart
Management	Elect Director Siim A. Vanaselja
Management	Elect Director Richard E. Waugh
Management	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration
Management	Advisory Vote on Executive Compensation Approach

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Management Amend Stock Option Plan  
Management Approve Shareholder Rights Plan

### Eversource Energy

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Ticker ES  
Primary CUSIP 30040W108  
Meeting Date 04-May-16

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PROPONENT	PROPOSAL TEXT
Management	Elect Director John S. Clarkeson
Management	Elect Director Cotton M. Cleveland
Management	Elect Director Sanford Cloud, Jr.
Management	Elect Director James S. DiStasio
Management	Elect Director Francis A. Doyle
Management	Elect Director Charles K. Gifford
Management	Elect Director Paul A. La Camera
Management	Elect Director Kenneth R. Leibler
Management	Elect Director Thomas J. May
Management	Elect Director William C. Van Faasen
Management	Elect Director Frederica M. Williams
Management	Elect Director Dennis R. Wraase
Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Management	Ratify Deloitte & Touche LLP as Auditors

### CMS Energy Corporation

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Ticker CMS  
Primary CUSIP 125896100  
Meeting Date 06-May-16

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PROPONENT	PROPOSAL TEXT
Management	Elect Director Jon E. Barfield
Management	Elect Director Deborah H. Butler
Management	Elect Director Kurt L. Darrow
Management	Elect Director Stephen E. Ewing
Management	Elect Director Richard M. Gabrys
Management	Elect Director William D. Harvey
Management	Elect Director Philip R. Lochner, Jr.
Management	Elect Director Patricia K. Poppe
Management	Elect Director John G. Russell
Management	Elect Director Myrna M. Soto
Management	Elect Director John G. Sznewajs
Management	Elect Director Laura H. Wright
Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Management	Ratify PricewaterhouseCoopers LLP as Auditors

### Kinder Morgan, Inc.

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Ticker KMI  
Primary CUSIP 49456B101  
Meeting Date 10-May-16

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PROPONENT	PROPOSAL TEXT
Management	Elect Director Richard D. Kinder
Management	Elect Director Steven J. Kean

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Management	Elect Director Ted A. Gardner
Management	Elect Director Anthony W. Hall, Jr.
Management	Elect Director Gary L. Hultquist
Management	Elect Director Ronald L. Kuehn, Jr.
Management	Elect Director Deborah A. Macdonald
Management	Elect Director Michael C. Morgan
Management	Elect Director Arthur C. Reichstetter
Management	Elect Director Fayez Sarofim
Management	Elect Director C. Park Shaper
Management	Elect Director William A. Smith
Management	Elect Director Joel V. Staff
Management	Elect Director Robert F. Vagt
Management	Elect Director Perry M. Waughtal
Management	Ratify PricewaterhouseCoopers LLP as Auditors
Share Holder	Report on Capital Expenditure Strategy with Respect to Climate Change Policy
Share Holder	Report on Methane Emissions Management
Share Holder	Report on Sustainability, Including Human Rights
Share Holder	Report on Steps Taken to Increase Board Diversity

Enbridge Inc.

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Ticker	ENB
Primary CUSIP	29250N105
Meeting Date	12-May-16

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PROPOSER	PROPOSAL TEXT
Management	Elect Director David A. Arledge
Management	Elect Director James J. Blanchard
Management	Elect Director Marcel R. Coutu
Management	Elect Director J. Herb England
Management	Elect Director Charles W. Fischer
Management	Elect Director V. Maureen Kempston Darkes
Management	Elect Director Al Monaco
Management	Elect Director George K. Petty
Management	Elect Director Rebecca B. Roberts
Management	Elect Director Dan C. Tutcher
Management	Elect Director Catherine L. Williams
Management	Ratify PricewaterhouseCoopers LLP as Auditors
Management	Advisory Vote on Executive Compensation Approach
Share Holder	Require Audit Committee to Request Proposals For The Audit Engagement No Less Than Every 8 Years

Sempra Energy

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Ticker	SRE
Primary CUSIP	816851109
Meeting Date	12-May-16

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PROPOSER	PROPOSAL TEXT
Management	Elect Director Alan L. Boeckmann
Management	Elect Director Kathleen L. Brown
Management	Elect Director Pablo A. Ferrero
Management	Elect Director William D. Jones
Management	Elect Director William G. Ouchi
Management	Elect Director Debra L. Reed
Management	Elect Director William C. Rusnack
Management	Elect Director William P. Rutledge



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Management	Elect Director Lynn Schenk
Management	Elect Director Jack T. Taylor
Management	Elect Director James C. Yardley
Management	Ratify Deloitte & Touche LLP as Auditors
Management	Advisory Vote to Ratify Named Executive Officers' Compensation

### CorEnergy Infrastructure Trust, Inc.

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Ticker	CORR
Primary CUSIP	21870U502
Meeting Date	18-May-16

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PROPONENT	PROPOSAL TEXT
Management	Elect Director Catherine A. Lewis
Management	Elect Director Richard C. Green
Management	Ratify Ernst & Young LLP as Auditors

### InfraREIT, Inc.

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Ticker	HIFR
Primary CUSIP	45685L100
Meeting Date	18-May-16

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PROPONENT	PROPOSAL TEXT
Management	Elect Director David A. Campbell
Management	Elect Director Storrow M. Gordon
Management	Elect Director Trudy A. Harper
Management	Ratify Ernst & Young LLP as Auditors

### NextEra Energy, Inc.

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Ticker	NEE
Primary CUSIP	65339F101
Meeting Date	19-May-16

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PROPONENT	PROPOSAL TEXT
Management	Elect Director Sherry S. Barrat
Management	Elect Director James L. Camaren
Management	Elect Director Kenneth B. Dunn
Management	Elect Director Naren K. Gursahaney
Management	Elect Director Kirk S. Hachigian
Management	Elect Director Toni Jennings
Management	Elect Director Amy B. Lane
Management	Elect Director James L. Robo
Management	Elect Director Rudy E. Schupp
Management	Elect Director John L. Skolds
Management	Elect Director William H. Swanson
Management	Elect Director Hansel E. Tookes, II
Management	Ratify Deloitte & Touche LLP as Auditors
Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Management	Amend Omnibus Stock Plan
Share Holder	Report on Political Contributions
Share Holder	Adopt Proxy Access Right
Share Holder	Report on Risks and Costs of Sea Level Rise

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ONEOK, Inc.

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 Ticker OKE  
 Primary CUSIP 682680103  
 Meeting Date 25-May-16  
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PROPOSER	PROPOSAL TEXT
Management	Elect Director Brian L. Derksen
Management	Elect Director Julie H. Edwards
Management	Elect Director John W. Gibson
Management	Elect Director Randall J. Larson
Management	Elect Director Steven J. Malcolm
Management	Elect Director Kevin S. McCarthy
Management	Elect Director Jim W. Mogg
Management	Elect Director Pattye L. Moore
Management	Elect Director Gary D. Parker
Management	Elect Director Eduardo A. Rodriguez
Management	Elect Director Terry K. Spencer
Management	Ratify PricewaterhouseCoopers LLP as Auditors
Management	Advisory Vote to Ratify Named Executive Officers' Compensation

The Southern Company

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 Ticker SO  
 Primary CUSIP 842587107  
 Meeting Date 25-May-16  
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PROPOSER	PROPOSAL TEXT
Management	Elect Director Juanita Powell Baranco
Management	Elect Director Jon A. Boscia
Management	Elect Director Henry A. 'Hal' Clark, III
Management	Elect Director Thomas A. Fanning
Management	Elect Director David J. Grain
Management	Elect Director Veronica M. Hagen
Management	Elect Director Warren A. Hood, Jr.
Management	Elect Director Linda P. Hudson
Management	Elect Director Donald M. James
Management	Elect Director John D. Johns
Management	Elect Director Dale E. Klein
Management	Elect Director William G. Smith, Jr.
Management	Elect Director Steven R. Specker
Management	Elect Director Larry D. Thompson
Management	Elect Director E. Jenner Wood, III
Management	Provide Proxy Access Right
Management	Reduce Supermajority Vote Requirement
Management	Eliminate Fair Price Provision
Management	Permit Board to Amend Bylaws Without Shareholder Consent
Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Management	Amend Omnibus Stock Plan
Management	Ratify Deloitte & Touche as Auditors
Share Holder	Report on Strategy for Aligning with 2 Degree Scenario
Share Holder	Report on Financial Risks of Stranded Carbon Assets

Buckeye Partners, L.P.

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 Ticker BPL  
 Primary CUSIP 118230101  
 Meeting Date 07-Jun-16  
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PROPONENT	PROPOSAL TEXT
Management	Elect Director Oliver 'Rick' G. Richard, III
Management	Elect Director Clark C. Smith
Management	Elect Director Frank S. Sowinski
Management	Ratify Deloitte & Touche LLP as Auditors

The Williams Companies, Inc.

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Ticker	WMB
Primary CUSIP	969457100
Meeting Date	27-Jun-16

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PROPONENT	PROPOSAL TEXT
Management	Approve Merger Agreement
Management	Advisory Vote on Golden Parachutes
Management	Adjourn Meeting

SIGNATURES

[See General Instruction F]

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) FIRST TRUST MLP AND ENERGY INCOME FUND

By (Signature and Title)\* /s/ James M. Dykas

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James M. Dykas, President and  
Chief Executive Officer

Date July 20, 2016

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\* Print the name and title of each signing officer under his or her signature.