Mallinckrodt plc Form 4/A November 03, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

08/14/2014

08/14/2014

Shares

Shares

Ordinary

1. Name and Address of Reporting Person * BAILEY DON M			Symbol	2. Issuer Name and Ticker or Trading Symbol Mallinckrodt plc [MNK]			5. Relationship of Reporting Person(s) to Issuer			
	(Last)	(First) (M		Earliest Tr			(Che	eck all applicable	e)	
	(Last)	(1 1131) (14)	, 5.2400		ansaction		X Director	10%	Owner	
675 MCDONNELL BLVD.			`	(Month/Day/Year) 08/14/2014					er (specify	
(Street)			4. If Ame	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
			Filed(Mor	Filed(Month/Day/Year)			Applicable Line)			
	HAZELWO	OD, MO 63042	08/18/2	08/18/2014			_X_ Form filed by One Reporting Person Form filed by More than One Reporting			
		02,1110 030 12					Person			
	(City)	(State) (	(Zip) Tabl	e I - Non-D	erivative S	ecurities Ac	quired, Disposed	of, or Beneficial	lly Owned	
	1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securiti	ies Acquired	5. Amount of	6. Ownership	7. Nature of	
	Security	(Month/Day/Year) Execution Date, if Transaction(A) or D		sposed of	Securities	Form: Direct Indirect				
	(Instr. 3)		any	Code	(D)		• • • • • • • • • • • • • • • • • • • •	Beneficial		
			(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4	(A) or	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
	0.11			Code V	Amount	(D) Price	(======================================			
	Ordinary	08/14/2014	08/14/2014	Δ	51 971	Δ (1)	3/17 //13 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

A

08/14/2014

08/14/2014

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347,413 <sup>(2)</sup>

357,782

D

D

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

51,971 A

10,369 A

<u>(1)</u>

(3)

#### Edgar Filing: Mallinckrodt plc - Form 4/A

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	rities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						`
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
						Ziicicisaoie	2		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
BAILEY DON M							
675 MCDONNELL BLVD.	X						
HAZELWOOD, MO 63042							

# **Signatures**

Miriam Rogers Singer, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This amendment is being filed to correct the following errors and omissions in the August 18, 2014 Form 4 of the reporting person. This new line item reflects the acquisition of an additional 57,971 ordinary shares of Mallinckrodt plc ("Mallinckrodt") by the reporting person in connection with the conversion of his vested restricted stock of Questcor Pharmaceuticals, Inc. ("Questcor") as a result of the acquisition of Questcor by Mallinckrodt on August 14, 2014 pursuant to the Agreement and Plan of Merger, dated as of April 5, 2014 by

- (1) and among Mallinckrodt, Questcor and Quincy Merger Sub, Inc. (the "Merger"). Such shares were inadvertently omitted from the reporting person's Form 4 filed on August 18, 2014, Form 4/A filed September 8, 2014, and Form 4, filed September 9, 2014. In connection with the Merger each share of vested Questcor restricted stock held by Questcor officers (including the reporting person) converted into a right to receive (a) \$30.00 in cash and (b) 0.897 of an ordinary share of Mallinckrodt (together, the "Merger Consideration") with respect to each share of Questcor restricted stock.
- The amount shown reflects a reduction of 88,803 ordinary shares that were inadvertently reported as owned indirectly by the reporting (2) person and held in the Bailey 1995 Family Trust in the reporting person's Form 4, filed August 18, 2014, Form 4/A, filed September 8, 2014, and Form 4, filed September 9, 2014. The Bailey 1995 Family Trust had disposed of these shares prior to August 14, 2014.
- This new line item reflects the acquisition of an additional 10,369 ordinary shares of Mallinckrodt by the reporting person that were held in the Questcor Employee Stock Purchase Plan ("ESPP"), which shares were inadvertently omitted from the reporting person's Form 4 filed on August 18, 2014, Form 4/A filed September 8, 2014, and Form 4, filed September 9, 2014. In connection with the Merger, the shares held in the ESPP received the Merger Consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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