Oak Valley Bancorp Form 8-K January 05, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934.

**Date of Report: January 4, 2016** (Date of earliest event reported)

### Oak Valley Bancorp

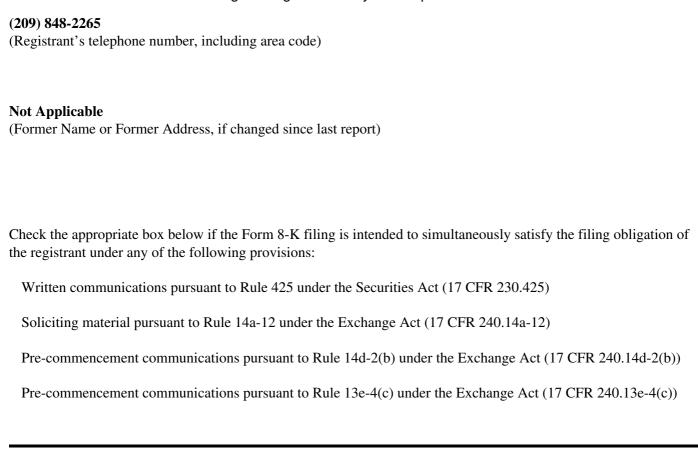
(Exact name of registrant as specified in its charter)

CA 001-34142 26-2326676
(State or other jurisdiction of incorporation) Number) Identification Number)

125 N. Third Ave., Oakdale, CA (Address of principal executive offices) 95361 (Zip Code)

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#### **Section 5 – Corporate Governance and Management**

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On January 4, 2016, Rick McCarty was appointed Senior Executive Vice President and Chief Administrative Officer of each of Oak Valley Bancorp (the "Company") and its subsidiary Oak Valley Community Bank (the "Bank"), and Jeff Gall was appointed Senior Vice President, Chief Financial Officer of each of the Company and the Bank.

The Senior Executive title recognizes McCarty's continued oversight of the Company's and Bank's mission critical functions and signals the integral role he plays within the two organizations' Executive Management Teams. McCarty, age 44, previously held position of Chief Financial Officer of the Bank since 2000 and of the Company since it was formed in 2008. McCarty also held the position of Chief Administrative Officer of each of the Company and the Bank since 2008.

Gall, age 40, was previously the Vice President of Finance and Accounting of the Bank since 2006 and of the Company since it was formed in 2008.

A copy of the press release announcing the promotions of Mr. McCarty and Mr. Gall is filed as Exhibit 99.1 hereto, which is incorporated herein by reference.

# Section 9 - Financial Statements and Exhibits

#### Item 9.01 Financial Statements and Exhibits

#### (d) Exhibits

**Exhibit** 

**Number Description** 

99.1 Press Release dated January 4, 2016

# **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: January 5, 2016

# OAK VALLEY BANCORP

By: /s/ Jeffrey A. Gall

Jeffrey A. Gall

Senior Vice President and Chief

Financial Officer

(Principal Financial Officer and duly

authorized signatory)

# **Exhibit Index**

# Exhibit No. Description

99.1 Press Release of Oak Valley Bancorp dated January 4, 2016