Edgar Filing: ENTROPIC COMMUNICATIONS INC - Form 4

ENTROPIC Form 4 April 15, 201	COMMUNICAT 4	TIONS IN	íC									
FORM						OMB APPROVAL						
	STATES	SECURITIES AND EXCHANGE C Washington, D.C. 20549					COMMISSION	OMB Number:	3235-0287			
Check thi if no long subject to Section 1 Form 4 or	er STATEN 6.	box						NERSHIP OF	Expires: January 3 200 Estimated average burden hours per response 0			
Form 5 obligatior may conti <i>See</i> Instru 1(b).	inue. Section 17(a) of the 1	t to Section 16(a) of the Securities Exchange Act of 1934, the Public Utility Holding Company Act of 1935 or Section 0(h) of the Investment Company Act of 1940						·	0.0		
(Print or Type R	Responses)											
FARESE MICHAEL J. Symbol			Symbol	r Name and Ticker or Trading DPIC COMMUNICATIONS				5. Relationship of Reporting Person(s) to Issuer				
			INC [EN					(Check all applicable)				
(Last) (First) (Middle) 3. Date of 6290 SEQUENCE DRIVE 04/11/20				-				Director 10% Owner X Officer (give title Other (specify below) below) Chief Technology Officer				
	(Street)	,			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SAN DIEGO	D, CA 92121							Form filed by M Person				
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ities Acq	uired, Disposed of	, or Beneficial	lly Owned		
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, i any (Month/Day/Year)		n Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A)		Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)						
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	04/13/2014			М	2,400	А	\$0	21,729	D			
Common Stock	04/13/2014			F <u>(1)</u>	1,053	D	\$ 3.86	20,676	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(2)</u>	04/11/2014		А	20,000		(3)	(3)	Common Stock	20,000
Restricted Stock Unit	<u>(4)</u>	04/13/2014		М		2,400	(5)	(5)	Common Stock	2,400

Reporting Owners

Reporting Owner Name / Address			Relationships	elationships		
F B	Director 10% Ow		Officer	Other		
FARESE MICHAEL J. 6290 SEQUENCE DRIVE SAN DIEGO, CA 92121			Chief Technology Officer			
Signatures						
By: Lance W. Bridges, as attorn Farese	ael R.	04/15/2014				

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares have been surrendered to the issuer to satisfy the reporting person's tax withholding obligation due on vesting of restricted stock units.

Date

- (2) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock.
- (3) The shares vest 100% on the first quarterly vesting date following the 1st anniversary of the grant date. Additionally, grant is subject to accelerated vesting on the terms and conditions set forth in such individual's Change of Control Agreement.
- (4) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock.
- (5) The shares vest in four equal annual installments that began one year after the Vesting Commencement Date of June 1, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.