Clough Global Equity Fund Form N-PX August 23, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21712

CLOUGH GLOBAL EQUITY FUND

(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203

(Address of principal executive offices) (Zip code)

Sareena Khwaja-Dixon, Esq.
Clough Global Equity Fund
1290 Broadway, Suite 1100
Denver, Colorado 80203
(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2016 – June 30, 2017

Item 1 – Proxy Voting Record.

Vote Su AKOR	-							
Security	y	009728106			Meeting	Type	Annual	
Ticker S	Symbol	AKRX			Meeting	Date	01-Jul-2016	
ISIN		US0097281069			Agenda		934429437 - N	Management
Record	Date	11-May-2016			Holding Date	Recon	11-May-2016	
City /	Countr	V /	nited ates		Vote Dea Date	adline	30-Jun-2016	
SEDOL	L(s)				Quick Co	ode		
Item	Propos	al		Proposed by	Vote		gainst gement	
1.	DIREC	TOR		Management				
	1 J	OHN KAPOOR, PHD			For	For		
	2 1	KENNETH ABRAMOW	TTZ		For	For		
	3	ADRIENNE GRAVES, I	PHD		For	For		
	4 I	RONALD JOHNSON			For	For		
	5 5	STEVEN MEYER			For	For		
	6	TERRY ALLISON RAP	PUHN		For	For		
	7 I	BRIAN TAMBI			For	For		
	8	ALAN WEINSTEIN			For	For		
2.	APPOI AS TH INDEP PUBLI	DSAL TO RATIFY THE NTMENT OF BDO USA E COMPANY'S ENDENT REGISTERE C ACCOUNTING FIRM ENDING DECEMBER	A, LLP D M FOR	Management	For	For		
3.	THRO ADVIS COMP COMP DESCH	DSAL TO APPROVE, UGH A NON- BINDING SORY VOTE, THE ANY'S EXECUTIVE ENSATION PROGRAM RIBED IN THE COMPA ROXY STATEMENT.	I AS	Management	For	For		
Accoun Number		Account Name	Internal Account	Ballot estodian Shares		Unavaila Shares	ble ote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE	997A S 4 S7	SATE 9,000 TREET		18,800 2	21-May-2016	21-May-2016

BANK

STATE STREET 997AL47 GLQ BNP PLEDGE 997A A 5,500 TRUST CO STATE STREET BANK					z TRUST CO				
STREET	997AL4	47	GLQ BNP PLEDGE	S 997AL T	TREET SANK 47 5,500 TRUST		46,700	21-May-2016	21-May-2016
997AL49 GLO BNP PLEDGE 997A A 5,200 111,10021-May-2016 21-May-2016 TRUST CO	997AL4	49	GLO BNP PLEDGE	S 997AL T	TREET ANK 49 5,200 TRUST		111,100	21-May-2016	21-May-2016
HERCULES CAPITAL INC	HERCU	JLES CA	APITAL INC						
Security 427096508 Meeting Type Annual	Security	y	427096508			Meeting	Type	Annual	
Ticker Symbol HTGC Meeting Date 07-Jul-2016	Ticker S	Symbol	HTGC			Meeting	Date	07-Jul-2016	
ISIN US4270965084 Agenda 934423079 - Management	ISIN		US4270965084			Agenda		934423079 - 1	Management
Record Date 15-Apr-2016 Holding Recon Date 15-Apr-2016	Record	Date	15-Apr-2016			_	Recon	15-Apr-2016	
City / Country / United Vote Deadline Date 06-Jul-2016	City /	Country	V /				adline	06-Jul-2016	
SEDOL(s) Quick Code	SEDOL	L(s)				Quick C	Code		
Item Proposal Proposed by Vote For/Against Management	Item	Proposa	al		•	Vote		-	
1A. ELECTION OF DIRECTOR: Management For For	1A.				Management	For	For		
1B. ELECTION OF DIRECTOR: JOSEPH Management For For	1B.			OSEPH	Management	For	For		
APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S NAMED EXECUTIVE OFFICER COMPENSATION. Management For For	2.	BASIS EXECU	, THE COMPANY'S NA UTIVE OFFICER		Management	For	For		
RATIFICATION OF PRICEWATERHOUSECOOPERS LLP TO SERVE AS OUR INDEPENDENT PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. For For	3.	PRICE TO SEI PUBLI THE FI	WATERHOUSECOOPI RVE AS OUR INDEPE C ACCOUNTING FIRM ISCAL YEAR ENDING	NDENT M FOR		For	For		
Account Name Internal Ballot Unavailable Vote Date Custodian Shares Shares Date Confirmed		4	Account Name	Interna	l Ballot Sustodian		Unavail Shares	able Vote Date	
997GLV GLV 997G SV ATE 37,900 0 11-May-2016 08-Jul-2016			GLV				Silares		

997GL0	Q	GLQ	997GL T	RUST CO TATE TREE			0	11-May-2016	08-Jul-2016
ARMS	TRON	G WORLD INDUSTR	RIES, INC.						
Security	y	04247X102				Meeting	Гуре	Annual	
Ticker S	Symbo	l AWI				Meeting 1	Date	08-Jul-2016	
ISIN		US04247X1028				Agenda		934420237 -	Management
Record	Date	15-Apr-2016				Holding I Date	Recon	15-Apr-2016	
City /	Cour	atry	/ United States			Vote Dea Date	dline	07-Jul-2016	
SEDOL	L(s)					Quick Co	de		
Item	Prop	osal		Propo by	osed	Vote		/Against nagement	
1.	DIRI	ECTOR		Mana	igement				
	1	STAN A. ASKREN				For	For		
	2	VICTOR D. GRIZZI	LE			For	For		
	3	TAO HUANG				For	For		
	4	LARRY S. MCWILI	LIAMS			For	For		
	5	JAMES C. MELVIL	LE			For	For		
	6	JAMES J. O'CONNO	OR			For	For		
	7	JOHN J. ROBERTS				For	For		
	8	GREGORY P. SPIV	Y			For	For		
	9	CHERRYL T. THOM	MAS			For	For		
2.	KPM REG	ATIFY THE SELECT IG LLP AS OUR INDI ISTERED PUBLIC OUNTING FIRM FOI	EPENDENT	Mana	igement	For	For		
3.	ARM IND	APPROVE OF THE ISTRONG WORLD USTRIES, INC. 2016 ECTORS' STOCK UN	IT PLAN.	Mana	agement	For	For		
4.	ARM	APPROVE OF THE ISTRONG WORLD USTRIES, INC. 2016		Mana	igement	For	For		

LONG-TERM INCENTIVE PLAN.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	ilable Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GLO 113,400 TRUST CO	0	07-May-2016	11-Jul-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997A AS 27,400 TRUST CO	0	07-May-2016	11-Jul-2016
997AL <i>4</i> 7	GLQ BNP PLEDGE	STATE STREET 997A ANK 45,200 TRUST CO	0	07-May-2016	11-Jul-2016
CHINA LON	GYUAN POWER GROU	JP CORPORATION LTD			
Security	Y1501T101		Meeting Type	ExtraOrdinar Meeting	y General
Ticker Symbo	ol		Meeting Date	12-Aug-2016	
ISIN	CNE100000HD4		Agenda	707215744 -	Management
Record Date	11-Jul-2016		Holding Recon Date	11-Jul-2016	
City / Cou	ntry BEIJING / C	China	Vote Deadline Date	08-Aug-2016	
SEDOL(s)	B3MFW30 - B4Q2TX B4XWG35 - BP3RS8		Quick Code		
Item Prop	osal ASE NOTE THAT THE	Proposed by	VOIE	/Against nagement	
CMMT FOR CLIC http: 0624 http:	L/LTN20160624371.pdf-,-	Y NKS:- Non-Voting dco/listconews/SEHK/2016/			
1		Management	For For		

TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. LUAN **BAOXING AS A NONEXECUTIVE** DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY RESIGNATION OF MR. SHAO GUOYONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY (THE "BOARD"). MEANWHILE. TO AUTHORIZE THE REMUNERATION AND ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM

THE EGM

TO CONSIDER AND APPROVE THE Management For For APPOINTMENT OF MR. YANG
XIANGBIN AS A NONEXECUTIVE
DIRECTOR OF THE COMPANY TO
FILL THE VACANCY LEFT BY
RESIGNATION OF MR. CHEN
JINGDONG, WITH EFFECT FROM
THE DATE OF THE EGM WHEN
THE NOMINATION IS APPROVED
BY THE SHAREHOLDERS OF THE
COMPANY AND UNTIL THE

EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD. MEANWHILE, TO AUTHORIZE THE REMUNERATION AND

ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unav Share	railable Vote Date es	Date Confirmed
GLV	GLV	STATE STREET771,000 BANK	0	25-Jun-2016	10-Aug-2016
GLQ	GLQ	STATE STREET 1,253,000 BANK	0	25-Jun-2016	10-Aug-2016
GLO	GLO	STATE STREET 3,161,000 BANK	0	25-Jun-2016	10-Aug-2016
LIBERTY ME	DIA CORPORATION				
Security	531229409		Meeting Type	Annual	
Ticker Symbol	LSXMA		Meeting Date	23-Aug-2016	6
ISIN	US5312294094		Agenda	934458870 -	Management
Record Date	01-Jul-2016		Holding Record	n 01-Jul-2016	
City / Count	ry /			22-Aug-2016	Ó

			nited ates			Vote Dea Date	dline		
SEDOL	(s)					Quick Co	de		
Item	Propos	al		Propo by	sed	Vote		:/Against .nagement	
1.	DIREC	CTOR		Mana	gement				
	1 .	IOHN C. MALONE				For	For	•	
	2 1	ROBERT R. BENNETT				For	For	•	
	3 1	M. IAN G. GILCHRIST				For	For	•	
2.	SELECTION SELECT	POSAL TO RATIFY THE CTION OF KPMG LLP APENDENT AUDITORS I ISCAL YEAR ENDING MBER 31, 2016.	AS OUR FOR	Mana	gement	For	For	:	
Account Number		Account Name		ustodia rate	Ballot Nares	1	Unava Shares	uilable Vote Date	Date Confirmed
997AL4	19	GLO BNP PLEDGE	S7 997AL	FREET ANK 19 RUST	1,656	1	113,80	0009-Jul-2016	24-Aug-2016
997AL6	51	GLQ MS PLEDGE	S7 997AL	RUST	9,168	(0	09-Jul-2016	24-Aug-2016
LIBERT	ΓΥ ΙΝΤΙ	ERACTIVE CORPORAT	ΓΙΟΝ						
Security	7	53071M880				Meeting 7	Гуре	Annual	
Ticker S	Symbol	LVNTA				Meeting I	Date	23-Aug-2016	
ISIN		US53071M8800				Agenda		934458882 - 1	Management
Record	Date	01-Jul-2016				Holding F Date	Recon	01-Jul-2016	
City /	Countr	v /	nited tates			Vote Dea Date	dline	22-Aug-2016	
SEDOL	(s)					Quick Co	de		
Item	Propos	al		Propo by	sed	Vote		:/Against nagement	
1.	DIREC	CTOR		Mana	gement				
	1 J	IOHN C. MALONE				For	For	:	
	2 1	M. IAN G. GILCHRIST				For	For	•	

	3 MARK C. VADON		For	For
	4 ANDREA L. WONG		For	For
2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	A PROPOSAL TO ADOPT THE LIBERTY INTERACTIVE CORPORATION 2016 OMNIBUS INCENTIVE PLAN.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 24,200 TRUST CO	0 09-Jul-2016	24-Aug-2016
997GLQ	GLQ	STATE STREET 997GLO 26,100 TRUST CO	0 09-Jul-2016	24-Aug-2016
997GLO	GLO	STATE STREET 997GLO 65,800 TRUST CO	0 09-Jul-2016	24-Aug-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 TRUST CO	0 09-Jul-2016	24-Aug-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 3,403 TRUST CO	64,000 09-Jul-2016	24-Aug-2016
997AL49	GLO BNP PLEDGE	997A K49 ATE 14,471 STREET BANK	109,90009-Jul-2016	24-Aug-2016

		3	•	,	, ,				
			& T	RUST					
			C						
			S	ГАТЕ					
				TREE?	Γ				
997AL	60	GLV MS PLEDGE	997AL	ANK 50	24,000		0	09-Jul-2016	24-Aug-2016
				RUST					
			C	O					
VIASA	T, INC.								
Securit	У	92552V100				Meetin	g Type	Annual	
Ticker	Symbol	VSAT				Meetin	g Date	08-Sep-2016	
ISIN		US92552V1008				Agenda	ı	934463643 -	Management
Record	Date	15-Jul-2016				Holding Date	g Recon	15-Jul-2016	
City /	Countr	X 7 /	Jnited States			Vote D Date	eadline	07-Sep-2016	
SEDOI	$\mathcal{L}(s)$					Quick (Code		
Item	Propos	al		Propo by	osed	Vote		/Against nagement	
1.	DIREC	CTOR		Mana	agement				
	1 l	RICHARD BALDRIDG	iΕ			For	For		
	2 1	B. ALLEN LAY				For	For		
	3 1	DR. JEFFREY NASH				For	For		
		TCATION OF APPOIN							
		ICEWATERHOUSECC							
2.		S VIASAT'S INDEPEN TERED PUBLIC	DENI	Mana	agement	For	For		
		UNTING FIRM FOR F	ISCAL						
	YEAR								
3.		SORY VOTE ON EXEC ENSATION	CUTIVE	Mana	agement	For	For		
	COMI	ENSATION							
Accour	nt		Internal		Rallot		Unavai	ilable	Date
Numbe		Account Name	Accoun	ustodia it	Ballot Shares		Shares	ilable Vote Date	Confirmed
			S	ГАТЕ					
				TREE?	Γ				
997AL	45	GLV BNP PLEDGE	997AF	ANK 15	149		34,500	26-Jul-2016	09-Sep-2016
				RUST					
			C						
997AL	47	GLQ BNP PLEDGE	997A S 2	FATE FREE			63,600	26-Jul-2016	09-Sep-2016
				ANK	1				

145,60026-Jul-2016

09-Sep-2016

&
TRUST
CO
STATE
STREET
BANK

997AL49 GLO BNP PLEDGE 997AL49 135

TRUST CO

GOLDMAN SACHS BDC, INC.

Security 38147U107 Meeting Type Annual
Ticker Symbol GSBD Meeting Date 29-Sep-2016

ISIN US38147U1079 Agenda 934387362 - Management

Record Date 04-Apr-2016 Holding Recon 04-Apr-2016

Date

City / Country / United Vote Deadline 28-Sep-2016

States Date

SEDOL(s) Quick Code

Item Proposal Proposed by Vote For/Against Management

1A. ELECTION OF CLASS II DIRECTOR: Management For For

1B. ELECTION OF CLASS II DIRECTOR: Management For For

1C. ELECTION OF CLASS II DIRECTOR: Management For For

TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF THE COMPANY'S BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF THE COMPANY'S COMMON STOCK (DURING THE 12 MONTHS

2. FOLLOWING SUCH

2. Management For For

AUTHORIZATION) AT A PRICE BELOW THE THEN- CURRENT NET ASSET VALUE PER SHARE,

PROVIDED THAT THE NUMBER OF

SHARES ISSUED DOES NOT

EXCEED 25% OF THE COMPANY'S

THEN- OUTSTANDING COMMON STOCK

STOCK.

Account Name Account Name Internal Ballot Custodian Shares Unavailable Shares Date Confirmed

997AL4	.7	GLQ BNP PLEDGE	E 997AI	STATE STREET BANK &47 TRUST CO	53,000		0	13-Apr-2016	30-Sep-2016
HILTO	N WORL	LDWIDE HOLDING	S INC.						
Security	7	43300A104				Meeting	Type	Special	
Ticker S	Symbol	HLT				Meeting	Date	04-Oct-2016	
ISIN		US43300A1043				Agenda		934479216 - 1	Management
Record	Date	26-Aug-2016				Holding Date	Recon	26-Aug-2016	
City /	Country	,	United States			Vote De Date	eadline	03-Oct-2016	
SEDOL	(s)					Quick C	Code		
Item	Proposa	1		Propo by	osed	Vote		'Against nagement	
1.	AMENI CERTII INCOR REVER	PROVE AND ADOP DMENT TO THE CO FICATE OF PORATION TO EFF ASE STOCK SPLIT A EASE AUTHORIZED	OMPANY FECT A AND	Mana	gement	For	For		
2.		PROVE AN ADJOUI E SPECIAL MEETIN		Mana	gement	For	For		
Account Number	Δ	Account Name		L'iistodia	Ballot Shares		Unavai Shares	lable Vote Date	Date Confirmed
997GLV	V	GLV	997GI	STREET BANK & TRUST CO	20,000		0	09-Sep-2016	05-Oct-2016
997GL(GLQ	997Gl	STATE STREET BANK & TRUST CO	30,000		0	09-Sep-2016	05-Oct-2016
997GLC)	GLO]	S O ATE STREET BANK & TRUST			0	09-Sep-2016	05-Oct-2016

	9		,		
		CO			
		STATE STREET			
997AL49	GLO BNP PLEDGE	997A ANK 100	159.90	0009-Sep-2016	05-Oct-2016
))/ALA)	OLO BIVI TLLDOL	TRUST	137,70	ш <i>у-</i> Бер-2010	03-001-2010
		CO			
		STATE STREET			
997AL60	GLV MS PLEDGE	997AL60 19,800	0	09-Sep-2016	05-Oct-2016
))/AL00	GEV MSTEEDGE	TRUST	V	07-3ср-2010	03-001-2010
		CO			
		STATE STREET			
997AL61	GLQ MS PLEDGE	997A & 34,300	0	09-Sep-2016	05-Oct-2016
))//ILO1	CEQ MISTELL CE	TRUST	v	0) Sep 2010	03 001 2010
		СО			
SINOPHARN	I GROUP CO. LTD.			- O !!	~ ·
Security	Y8008N107		Meeting Type	ExtraOrdinar Meeting	y General
Ticker Symbo	ol .		Meeting Date	18-Oct-2016	
ISIN	CNE100000FN7		Agenda	707423264 -	Management
Record Date	15-Sep-2016		Holding Recon Date	15-Sep-2016	
City / Cour	ntry SHANGH AI / C	China	Vote Deadline Date	04-Oct-2016	
SEDOL(s)	B3ZVDV0 - B4M8B7 B5NVZ21 - BP3RXT2		Quick Code		
Item Prop	osal	Proposed by	VATA	/Against nagement	
	ASE NOTE THAT THIS I	·-			
	ENDMENT TO MEETING 00 DUE TO ADDITION (
	OLUTION 2. ALL VOTE EIVED ON THE PREVIO				
	TING WILL BE	OUS Non-Voting			
	REGARDED-AND YOU V D TO REINSTRUCT ON				
	TING NOTICE. THANK				
	E THAT THE COMPAN	C			
	TICE AND PROXY FORM AILABLE BY CLICKING				

THE-URL LINKS:-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

930/ltn20160930476.pdf,-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

930/ltn20160930421.pdf,-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

901/ltn201609011181.pdf-AND-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

901/ltn201609011318.pdf

PLEASE NOTE IN THE HONG

KONG MARKET THAT A VOTE OF

CMMT "ABSTAIN" WILL BE

Non-Voting

For

TREATED-THE SAME AS A "TAKE

NO ACTION" VOTE

TO CONSIDER AND, IF THOUGHT

FIT, TO APPROVE: "THAT (I) THE

ADOPTION OF THE SCHEME (AS

DEFINED IN THE CIRCULAR

DATED 1 SEPTEMBER 2016

DESPATCHED TO THE

SHAREHOLDERS OF THE

COMPANY) BY THE COMPANY

AND THE DELEGATION OF

AUTHORIZATION TO ANY

DIRECTOR OF THE COMPANY OR

AUTHORIZED REPRESENTATIVE

OF THE BOARD TO EXECUTE ANY

DOCUMENTS AND INSTRUMENTS

AS MAY BE NECESSARY OR

1 INCIDENTAL TO THE ADOPTION Management For

OF THE SCHEME AND TO DO ALL

SUCH ACTS AND THINGS AS THEY

CONSIDER NECESSARY OR

EXPEDIENT OR DESIRABLE IN

CONNECTION WITH THE

IMPLEMENTATION OF THE

SCHEME; AND (II) THE BOARD BE

AND IS HEREBY AUTHORIZED TO

IMPLEMENT THE SCHEME AS

WELL AS TO APPROVE GRANTS

OF RESTRICTED SHARES UNDER

THE SCHEME FROM TIME TO

TIME (INCLUDING BUT NOT

LIMITED TO THE INITIAL GRANT

OF THE SCHEME)"

TO CONSIDER AND, IF THOUGHT Management For For

FIT, TO APPROVE THE

APPOINTMENT OF MR. MA PING

AS A NON-EXECUTIVE DIRECTOR

OF THE COMPANY, TO
AUTHORISE THE BOARD OF
DIRECTORS OF THE COMPANY TO
DETERMINE HIS REMUNERATION,
AND TO AUTHORISE ANY
EXECUTIVE DIRECTOR OF THE
COMPANY TO EXECUTE A
SERVICE CONTRACT OR SUCH
OTHER DOCUMENTS OR
SUPPLEMENTAL AGREEMENTS
OR DEEDS ON BEHALF OF THE
COMPANY

DISREGARDED-IF VOTE

Account Number	Account Name	Internal Ballot Custodian Shares	Unava Share	ailable Vote Date s	Date Confirmed
GLV	GLV	STATE STREET 142,400 BANK	0	01-Oct-2016	12-Oct-2016
GLQ	GLQ	STATE STREET 236,800 BANK	0	01-Oct-2016	12-Oct-2016
GLO	GLO	STATE STREET 583,600 BANK	0	01-Oct-2016	12-Oct-2016
BYD COMPA	ANY LTD, SHENZHEN				
Security	Y1023R104		Meeting Type	ExtraOrdinar Meeting	ry General
Ticker Symbo	ol		Meeting Date	01-Nov-2016	Ó
ISIN	CNE100000296		Agenda	707516110 -	Management
Record Date	30-Sep-2016		Holding Recon	30-Sep-2016	
City / Cour	ntry SHENZH EN / C	hina	Vote Deadline Date	04-Oct-2016	
SEDOL(s)	6536651 - B01XKF2 - B0WVS95 - BD8GJR		Quick Code		
Item Prop	osal	Proposed by		r/Against anagement	
AMI 6817 RES REC	ASE NOTE THAT THIS I ENDMENT TO MEETING (15 DUE TO ADDITION O OLUTION 3. ALL VOTE EIVED ON THE PREVIO ETING WILL BE	G ID OF- S			

DEADLINE EXTENSIONS ARE GRANTED. THEREFORE PLEASE REINSTRUCT ON THIS- MEETING NOTICE ON THE NEW JOB. IF HOWEVER VOTE DEADLINE **EXTENSIONS ARE NOT-GRANTED** IN THE MARKET, THIS MEETING WILL BE INACTIVATED AND YOUR VOTE-INTENTIONS ON THE ORIGINAL MEETING WILL BE APPLICABLE. PLEASE ENSURE **VOTING-IS SUBMITTED PRIOR TO** CUTOFF ON THE ORIGINAL MEETING, AND AS SOON AS POSSIBLE-ON THIS NEW AMENDED MEETING. THANK YOU. PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:http://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 0913/LTN20160913500.pdf,-**CMMT** http://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 1019/LTN20161019505.pdf-ANDhttp://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 0913/LTN20160913545.pdf,http://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 1019/LTN20161019509.pdf TO CONSIDER AND APPROVE THE INTERIM PROFIT DISTRIBUTION Management For For PLAN OF THE COMPANY FOR THE SIX MONTHS ENDED 30 JUNE 2016 TO CONSIDER AND APPROVE THE PROPOSED CHANGE OF THE COMPANY'S BUSINESS SCOPE Management For For AND AMENDMENT TO THE ARTICLES OF ASSOCIATION OF THE COMPANY: ARTICLE 11 TO CONSIDER AND APPROVE THE PROPOSED FURTHER CHANGE OF THE COMPANY'S BUSINESS SCOPE Management For For AND AMENDMENT TO THE

1

2

3

ARTICLES OF ASSOCIATION OF THE COMPANY: ARTICLE 11

Account	A account Nomes	Internal Ballot	Unavailable Data	Date
Number	Account Name	Account Shares	Shares Vote Date	Confirmed

		9	Ü	. ,				
GLQ		GLQ		STATE STREET 150,500 BANK	0)	20-Oct-2016	27-Oct-2016
GLO		GLO		STATE STREET 380,000 BANK	0)	20-Oct-2016	27-Oct-2016
LIBER'	TY INTE	ERACTIVE CORF	PORATION					
Security	y	53071M880			Meeting T	ype	Special	
Ticker	Symbol	LVNTA			Meeting D	ate	01-Nov-201	6
ISIN		US53071M8800			Agenda		934488152 -	Management
Record	Date	28-Sep-2016			Holding R Date	lecon	28-Sep-2016	Ó
City /	Country	y	/ United States		Vote Dead Date	lline	31-Oct-2016)
SEDOL	$\mathcal{L}(s)$				Quick Cod	de		
Item	Proposa	al		Proposed by	Vote		/Against nagement	
1.	REDEMINTER A POR OUTST LIBER STOCK OUTST LIBER INC., V LIBER CORPO AND V (DUE T	POSAL TO APPROMPTION BY LIBIT ACTIVE CORPOTION OF THE FANDING SHARD FOR ALL OF THE EXPEDIA HOWALD FOR ATION'S OWN FOR ATION FOR	ERTY RATION OF ES OF COMMON HE ES OF OLDINGS, HOLD /E NERSHIP STS IN CS, SEE	Management	For	For		
2.	ADJOU MEETI INTER PERMI OF PRO APPRO VOTES AT TH APPRO TO BE	POSAL TO AUTI JRNMENT OF TH ING BY LIBERTY ACTIVE CORPO IT FURTHER SOI OXIES, IF NECES OPRIATE, IF SUF IS ARE NOT REPH E SPECIAL MEE OVE THE OTHER PRESENTED AT AL MEETING.	HE SPECIAL Y RATION TO LICITATION SSARY OR FICIENT RESENTED TING TO L PROPOSAL	Management	For	For		

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Share	nilable Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G _{&} V 1,572 TRUST CO	0	05-Oct-2016	02-Nov-2016
997GLQ	GLQ	STATE STREET 997GLO 4,200 TRUST CO	0	05-Oct-2016	02-Nov-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997A _{&} 47 15,003 TRUST CO	44,000	0 05-Oct-2016	02-Nov-2016
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 3,871 TRUST CO	109,90	0005-Oct-2016	02-Nov-2016
997AL60	GLV MS PLEDGE	STATE STREET 997A & 24,000 TRUST CO	0	05-Oct-2016	02-Nov-2016
THE WILL	IAMS COMPANIES, INC.				
Security	969457100		Meeting Type	Annual	
Ticker Sym	bol WMB		Meeting Date	23-Nov-2016	5
ISIN	US9694571004		Agenda		Management
Record Dat	e 07-Oct-2016		Holding Recon Date	07-Oct-2016	
City / Co	aintex/	Jnited States	Vote Deadline Date	22-Nov-2016	5
SEDOL(s)			Quick Code		
Item Pro	oposal	Proposed by	VOIE	r/Against nnagement	
1 /\	ECTION OF DIRECTOR: A	ALAN S. Management	For Fo	r	

Edgar Filing: Clough Global Equity Fund - Form N-PX

	ELECTION OF DIRECTOR:					
1B.	STEPHEN W. BERGSTROM		Management	For	For	
1C.	ELECTION OF DIRECTOR: STEPHEN I. CHAZEN		Management	For	For	
1D.	ELECTION OF DIRECTOR: KATHLEEN B. COOPER		Management	For	For	
1E.	ELECTION OF DIRECTOR: F A. RAGAUSS	PETER	Management	For	For	
1F.	ELECTION OF DIRECTOR: S D. SHEFFIELD	SCOTT	Management	For	For	
1G.	ELECTION OF DIRECTOR: MURRAY D. SMITH		Management	For	For	
1H.	ELECTION OF DIRECTOR: WILLIAM H. SPENCE		Management	For	For	
1I.	ELECTION OF DIRECTOR: J D. STONEY	ANICE	Management	For	For	
2.	RATIFICATION OF ERNST & YOUNG LLP AS AUDITORS 2016.		Management	For	For	
3.	APPROVAL, BY NONBINDINATION ADVISORY VOTE, OF THE COMPANY'S EXECUTIVE COMPENSATION.	NG	Management	For	For	
Accour Numbe	Account Name	Internal Accour	l Ballot ustodian t Shares		Unavailable Vote Date Shares	Date Confirmed
997AL	47 GLQ BNP PLEDGE	997AL T	TATE TREET ANK 100 RUST		63,100 20-Oct-2016	20-Oct-2016
997AL	49 GLO BNP PLEDGE	S' 997A T	TATE TREET ANK 49 100 RUST		159,70@0-Oct-2016	20-Oct-2016
997AL	60 GLV MS PLEDGE	S' 997A & T	TATE TREET ANK 50 39,100 RUST O		0 20-Oct-2016	20-Oct-2016

AMSURG CORP.

Security	y	03232P405			Meeting	Type	Special	
Ticker	Symbol	AMSG			Meeting	Date	28-Nov-2016	•
ISIN		US03232P4054			Agenda		934494826 -	Management
Record	Date	07-Oct-2016			Holding Date	Recon	07-Oct-2016	
City /	Countr	y /	, United States		Vote De Date	adline	25-Nov-2016	,
SEDOI	$\mathcal{L}(\mathbf{s})$				Quick C	ode		
Item	Propos	al		Proposed by	Vote		Against agement	
1.	PROPO AGRE MERG 2016 (* AGRE ENVIS HOLD AND N THE T	DNSIDER AND VOT DSAL TO APPROVE EMENT AND PLAN ER, DATED AS OF THE "MERGER EMENT"), BY AND SION HEALTHCARE INGS, INC., AMSUR NEW AMETHYST C RANSACTIONS EMPLATED BY THE FER AGREEMENT.	E THE I OF JUNE 15, AMONG E RG CORP. ORP., AND	Management	For	For		
2.	NON-I PROPO COMP BECO NAME CONN	ONSIDER AND VOT BINDING, ADVISOR OSAL TO APPROVE ENSATION THAT I ME PAYABLE TO A ED EXECUTIVE OFF ECTION WITH THE UMMATION OF THE	RY E THE MAY AMSURG'S FICERS IN	Management	For	For		
3.	PROPO MOTIO AMSU NECES	ONSIDER A VOTE COSAL TO APPROVE ON TO ADJOURN TO RESERVED APPROPOLICIT ADDITIONA IES.	E ANY THE TING IF PRIATE,	Management	For	For		
Accour Numbe		Account Name	Interna Accour	l Ballot Justodian Shares		Unavail. Shares	able Vote Date	Date Confirmed

997G**SO**ATE 6,400

STREET BANK & TRUST 0

26-Oct-2016

997GLO

GLO

29-Nov-2016

	9 9	J ,	,		
	(CO			
	S	STATE STREET			
997AL45	GLV BNP PLEDGE 997A	BANK 45 2,200	6,200	26-Oct-2016	29-Nov-2016
		ΓRUST CO			
		STATE			
		STREET			
997AL47	GLQ BNP PLEDGE 997A	BANK 47 2,700	13,600	26-Oct-2016	29-Nov-2016
		ΓRUST CO			
		STATE			
		STREET BANK			
997AL49		BANK 49 100	34,500	26-Oct-2016	29-Nov-2016
		ΓRUST CO			
CHR. HANSE	N HOLDING A/S				
Security	K1830B107		Meeting Type	Annual Gene	ral Meeting
Ticker Symbol			Meeting Date	29-Nov-2016	,)
ISIN	DK0060227585		Agenda	707583793 -	Management
Record Date	22-Nov-2016		Holding Recon Date	22-Nov-2016	Ó
City / Count	ry HORSHO LM / Denmark		Vote Deadline Date	18-Nov-2016	,
SEDOL(s)	B3LL574 - B3SMD32 - B573M11 - B63NJ00 - BHZLC88		Quick Code		
Item Propos	sal	Proposed by	VATA	Against agement	
CMMT IN TH	E MAJORITY OF MEETINGS	Non-Voting		C	
	OTES ARE CAST WITH THE	Tion voting			
	STRAR WHO WILL-FOLLOW				
	NT INSTRUCTIONS. IN A LL PERCENTAGE OF				
	TINGS THERE IS				
	EGISTRAR AND CLIENTS				
	S MAY BE CAST BY THE				
	RMAN OF THE BOARD OR ARD MEMBER AS PROXY.				
	NTS CAN ONLY EXPECT				
	TO ACCEPT				
PRO-I	MANAGEMENT-VOTES. THE				

ONLY WAY TO GUARANTEE THAT ABSTAIN AND/OR AGAINST **VOTES ARE-REPRESENTED AT** THE MEETING IS TO SEND YOUR OWN REPRESENTATIVE OR ATTEND THE-MEETING IN PERSON. THE SUB CUSTODIAN BANKS OFFER REPRESENTATION SERVICES FOR- AN ADDED FEE IF REQUESTED. THANK YOU PLEASE BE ADVISED THAT SPLIT AND PARTIAL VOTING IS NOT AUTHORISED FOR A-BENEFICIAL CMMT OWNER IN THE DANISH MARKET. Non-Voting PLEASE CONTACT YOUR GLOBAL **CUSTODIAN-FOR FURTHER** INFORMATION. IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING-CMMT INSTRUCTIONS IN THIS MARKET. Non-Voting ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY **OUESTIONS, PLEASE CONTACT** YOUR CLIENT SERVICE-REPRESENTATIVE PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR **CMMT** Non-Voting 'ABSTAIN'-ONLY FOR RESOLUTIONS 6.A.A. 6.B.A TO 6.B.F AND 7.A. THANK YOU REPORT ON THE COMPANY'S Non-Voting **ACTIVITIES** APPROVAL OF THE 2015/16 Management For For ANNUAL REPORT RESOLUTION ON THE APPROPRIATION OF PROFIT OR For Management For **COVERING OF LOSS: DKK 5.23 PER** SHARE DECISION ON REMUNERATION OF MEMBERS OF THE BOARD OF Management For For **DIRECTORS**

Management

For

For

1

2

3

4

5.A

	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT COMPUTERSHARE A/S AS NEW COMPANY REGISTRAR			
5.B	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT LEGAL NAME CHANGE OF NASDAQ OMX COPENHAGEN A/S	Management	For	For
6.A.A	RE-ELECTION OF CHAIRMAN OF THE BOARD OF DIRECTOR: OLE ANDERSEN	Management	For	For
6.B.A	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: FREDERIC STEVENIN	Management	For	For
6.B.B	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: MARK WILSON	Management	For	For
6.B.C	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: DOMINIQUE REINICHE	Management	For	For
6.B.D	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: TIINA MATTILA-SANDHOLM	Management	For	For
6.B.E	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: KRISTIAN VILLUMSEN	Management	For	For
6.B.F	ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: LUIS CANTARELL ROCAMORA	Management	For	For
7.A	RE-ELECTION OF PRICEWATERHOUSECOOPERS STATSAUTORISERET REVISIONSPARTNERSELSKAB	Management	For	For
8	AUTHORIZATION OF THE CHAIRMAN OF THE ANNUAL GENERAL MEETING	Management	For	For
CMMT	07 NOV 2016: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN-UNLESS YOU DECIDE TO AMEND YOUR	Non-Voting		

ORIGINAL INSTRUCTIONS. THANK YOU.

Accour Numbe		Account Name	Interna Accoun	al Ball Custodian nt Sha	lot res	U S	Jnavai Shares	ilable Vote Date	Date Confirmed
GLV		GLV	S S	STATE STREET 19,2 BANK		C)	05-Nov-2016	22-Nov-2016
GLQ		GLQ	S	STATE STREET 31,4 BANK	109	C)	05-Nov-2016	22-Nov-2016
GLO		GLO	S S	STATE STREET 78,0 BANK	002	C)	05-Nov-2016	22-Nov-2016
MICRO	OSOFT C	CORPORATION							
Security	y	594918104				Meeting T	Гуре	Annual	
Ticker	Symbol	MSFT				Meeting I		30-Nov-2016	
ISIN		US5949181045				Agenda		934491224 -	Management
Record	Date	30-Sep-2016				Holding R Date	Recon	30-Sep-2016	
City /	Countr	у	/ United States			Vote Dead Date	dline	29-Nov-2016	
SEDOI	L(s)					Quick Co	de		
Item	Propos	al		Proposed by		Vote		/Against nagement	
1A.		ΓΙΟΝ OF DIRECTO AM H. GATES III	R:	Manageme	ent	For	For		
1B.	ELECT	ΓΙΟΝ OF DIRECTO STOLL	R: TERI L.	Manageme	ent	For	For		
1C.		ΓΙΟΝ OF DIRECTO N MORFIT	R: G.	Managemo	ent	For	For		
1D.	ELECT NADE	ΓΙΟΝ OF DIRECTO LLA	R: SATYA	Managemo	ent	For	For		
1E.		ΓΙΟΝ OF DIRECTO LES H. NOSKI	R:	Managemo	ent	For	For		
1F.		ΓΙΟΝ OF DIRECTO UT PANKE	R:	Managemo	ent	For	For		
1G.		ΓΙΟΝ OF DIRECTO RA E. PETERSON	R:	Managemo	ent	For	For		
1H.		ΓΙΟΝ OF DIRECTO LES W. SCHARF	R:	Managemo	ent	For	For		
1I.	ELECT STAN	ΓΙΟΝ OF DIRECTO ΓΟΝ	R: JOHN W	Managemo	ent	For	For		

	•				
1J.	ELECTION OF DIRECTOR: JO THOMPSON	OHN W. Managen	nent For	For	
1K.	ELECTION OF DIRECTOR: PADMASREE WARRIOR	Managen	nent For	For	
2.	ADVISORY VOTE TO APPRO NAMED EXECUTIVE OFFICE COMPENSATION		nent For	For	
3.	RATIFICATION OF DELOITT TOUCHE LLP AS OUR INDEPENDENT AUDITOR FO FISCAL YEAR 2017	Managen	nent For	For	
4.	APPROVAL OF AMENDMEN OUR AMENDED AND RESTA ARTICLES OF INCORPORAT	ATED Managem	nent For	For	
5.	APPROVAL OF FRENCH SUB UNDER THE 2001 STOCK PL	Managen	nent For	For	
6.	SHAREHOLDER PROPOSAL REQUESTING CERTAIN PRO ACCESS BYLAW AMENDME	OXY Sharehold	der Against	For	
Account Number	Account Name	Internal Ba Custodian Account Sh	llot ares	Unavailable Shares	Date Confirmed
997AL4	45 GLV BNP PLEDGE	STATE STREET 997AL45 100 TRUST CO		39,600 20-Oct-2016	01-Dec-2016
997AL4	47 GLQ BNP PLEDGE	STATE STREET BANK 997AL47 100 TRUST CO	0	48,500 20-Oct-2016	01-Dec-2016
997AL4	49 GLO BNP PLEDGE	STATE STREET 997AL49 100 TRUST CO	0	121,30@0-Oct-2016	01-Dec-2016
997AL6	60 GLV MS PLEDGE	STATE STREET 997AL 60 20, TRUST CO	,400	0 20-Oct-2016	01-Dec-2016

Security 25278X109 Meeting Type Special

Ticker Symbol **FANG** Meeting Date 07-Dec-2016

934506392 - Management **ISIN** US25278X1090 Agenda

Holding Recon Record Date 11-Nov-2016 11-Nov-2016

Date

United Vote Deadline City / Country 06-Dec-2016 States

Date

SEDOL(s) Quick Code

For/Against Proposed Item Proposal Vote Management by

PROPOSAL TO APPROVE AN AMENDMENT TO DIAMONDBACK ENERGY, INC.'S AMENDED AND RESTATED CERTIFICATE OF

1. INCORPORATION TO INCREASE For For Management

THE TOTAL NUMBER OF **AUTHORIZED SHARES OF** COMMON STOCK FROM 100,000,000 TO 200,000,000.

Unavailable Vote Date Internal Ballot Custodian Shares Account Date Account Name Number Confirmed

> **STATE STREET**

BANK GLQ BNP PLEDGE 2,500 11,300 19-Nov-2016 08-Dec-2016 997AL47

> **TRUST** CO

STATE

STREET

6,100 997AL49 GLO BNP PLEDGE 28,800 19-Nov-2016 08-Dec-2016

TRUST

CO

AGNC INVESTMENT CORP

Security 00123Q104 Meeting Type Special

Ticker Symbol **AGNC** Meeting Date 09-Dec-2016

ISIN Agenda 934498127 - Management

Holding Recon Record Date 24-Oct-2016 24-Oct-2016

Date

08-Dec-2016

United Vote Deadline City / Country States

Date

SEDOL(s) Quick Code

Item	Proposa	al		Propo by	osed	Vote		r/Against anagement	
1.	INVES	OVAL OF THE AGNC TMENT CORP. 2016 E NCENTIVE COMPENS		Mana	ngement	For	Fo	r	
Accoun		Account Name	Internal Accoun	l ustodi: it	Ballot an Shares	1	Unava Share	ailable Vote Date	Date Confirmed
997AL-	45	GLV BNP PLEDGE	997AL	TATE TREET ANK TO RUST O	Г 165,300	(0	04-Nov-2016	12-Dec-2016
997AL4	47	GLQ BNP PLEDGE	997AL	TATE TREET ANK 47 RUST O	Γ 269,600	(0	04-Nov-2016	12-Dec-2016
997AL-	49	GLO BNP PLEDGE	997AL	TATE TREET ANK TENE TENE TENE TENE TENE TENE TENE TE	Γ 679,599	,	0	04-Nov-2016	12-Dec-2016
ARES (CAPITA	L CORPORATION							
Security	y	04010L103				Meeting	Гуре	Special	
Ticker S	Symbol	ARCC				Meeting l	Date	15-Dec-2016	
ISIN		US04010L1035				Agenda		934494864 - 1	Management
Record	Date	17-Oct-2016				Holding I Date	Recon	17-Oct-2016	
City /	Countr	V /	nited tates			Vote Dea Date	dline	14-Dec-2016	
SEDOL	$\mathcal{L}(s)$					Quick Co	de		
Item	Propos	al		Propo by	osed	Vote		r/Against nnagement	
1.	THE SECOMM PURSU AND P	PROVE THE ISSUANCE HARES OF ARES CAPITION STOCK TO BE ISSUANT TO THE AGREED LAN OF MERGER, AS EMENT MAY BE AMETIME TO TIME, DATE	ITAL SUED MENT SUCH NDED	Mana	agement	For	Fo	r	

OF MAY 23, 2016 (THE "MERGER AGREEMENT"), BY AND AMONG ARES CAPITAL, AMERICAN CAPITAL, LTD., ORION ACQUISITION SUB, INC., IVY HILL ASSET MANAGEMENT, L.P. ("IHAM"), IVY HILL ASSET MANAGEMENT GP, LLC, IN ITS CAPACITY AS GENERAL PARTNER OF IHAM, AMERICAN CAPITAL ASSET MANAGEMENT, LLC, AND SOLELY FOR THE ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).

TO APPROVE THE ISSUANCE OF THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED

2. PURSUANT TO THE MERGER AGREEMENT IN ACCORDANCE WITH NASDAQ LISTING RULE REQUIREMENTS.

REQUIREMENTS.

TO APPROVE THE ADJOURNMENT OF THE ARES CAPITAL SPECIAL MEETING, IF NECESSARY OR

3. ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE ARES CAPITAL SPECIAL MEETING TO APPROVE PROPOSAL 1 OR PROPOSAL 2.

APPROPRIATE, TO SOLICIT

Management For For

Management For For

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Shares	Date Confirmed
997GLQ	GLQ	STATE STREET 997G&Q 57,900 TRUST CO	0 26-Oct-2016	16-Dec-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 291,600 TRUST CO	0 26-Oct-2016	16-Dec-2016
997AL47	GLQ BNP PLEDGE	997A S4 ATE 335,300 STREET	0 26-Oct-2016	16-Dec-2016

		ag a	g. 0.00	.g cc	ou. Equity		0111111		
				BANK					
				k ΓRUST					
				CO					
			S	STATE					
				STREET	Γ				
997AL4	49	GLO BNP PLEDGE	997AL	ANK	964,300		0	26-Oct-2016	16-Dec-2016
			Т	r TRUST					
			(CO					
				TATE					
				STREET BANK					
997AL	53	GLO MS PLEDGE	997AF	,53	80,000		0	26-Oct-2016	16-Dec-2016
				TRUST CO					
AKORI	N INC		(.0					
Security		009728106				Meeting	Type	Special	
•	y Symbol	AKRX				Meeting		16-Dec-2016	
ISIN	Symbol	US0097281069				Agenda		934505225 - 1	Management
						Holding			wianagement
Record	Date	28-Oct-2016				Date	, KCCOII	28-Oct-2016	
City /	Country	· /	Jnited tates			Vote De Date	eadline	15-Dec-2016	
SEDOL	L(s)					Quick C	Code		
Item	Propos	a1		Propo	osed	Vote	For	/Against	
псш	Tropos	aı		by		Voic	Ma	nagement	
1.		OSAL TO APPROVE T N, INC. 2016 EMPLOY		Mana	igement	For	For		
1.		K PURCHASE PLAN.	LL	Iviana	gement	1 01	101		
	PROPO	OSAL TO APPROVE T	HE						
2.		DMENT AND	ODN	Mana	igement	For	For	•	
		ATEMENT OF THE AK 014 STOCK OPTION P	-						
Accoun	nt	A a a a sum t Name a	Interna	J	Ballot Shares		Unava	ilable Vote Date	Date
Number	r	Account Name	Accou	nt	Shares		Shares	Vote Date	Confirmed
				TATE					
			F	STREET BANK					
997GL	V	GLV	997GĽ	X X	20,500		0	18-Nov-2016	19-Dec-2016
				TRUST CO					
007CI (\cap	GLQ			7 200		0	18-Nov-2016	19-Dec-2016
997GL0	Ų	ULQ		S Q ATE STREE			U	10-1107-2010	17-1000-2010

		o		, ,				
			BANK & TRUST					
			CO STATE					
			STREE					
997GL	O	GLO	997GLO	34,300	0		18-Nov-2016	19-Dec-2016
			TRUST CO	•				
			STATE					
997AL	47	GLQ BNP PLEDGE	STREE BANK 997AL47	5,800	3.	5 000	18-Nov-2016	19-Dec-2016
<i>))</i> //112	.,	OLQ DIVI TELEGE	TRUST		3.	5,000	10 100 2010	1) Dec 2010
			СО					
			STATE STREE	Т				
997AL	49	GLO BNP PLEDGE	997AL49	900	7	1,800	18-Nov-2016	19-Dec-2016
			TRUST CO	ı				
SINOP	HARM (GROUP CO. LTD.						
Security	y	Y8008N107			Meeting T	ype	ExtraOrdinar Meeting	y General
Ticker S	Symbol				Meeting D	ate	30-Dec-2016	
ISIN		CNE100000FN7			Agenda		707595990 -	Management
Record	Date	29-Nov-2016			Holding Robbits Date	econ	29-Nov-2016	
City /	Countr	y SHANGH AI / C	hina		Vote Dead Date	lline	16-Dec-2016	
SEDOL	L (s)	B3ZVDV0 - B4M8B73 B5NVZ21 - BP3RXT2			Quick Cod	le		
Τ.	D	1	Prop	osed	T 7	For/A	Against	
Item	Propos		by		Vote		agement	
		SE NOTE THAT THE ANY NOTICE AND PR	ROXY					
FORM ARE AVAILABLE BY								
CMMT	http://w	www.hkexnews.hk/listed	co/listconews/S	Voting EHK/2016/				
	http://w	TN20161114274.pdf-Alvww.hkexnews.hk/listed		SEHK/2016/				
1114/LTN20161114240.pdf								

Non-Voting

CMMT PLEASE NOTE IN THE HONG

KONG MARKET THAT A VOTE OF 'ABSTAIN' WILL BE TREATED-THE SAME AS A 'TAKE NO ACTION' VOTE

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE (I) THE ISSUE OF THE ACCOUNTS RECEIVABLE ASSET-BACKED SECURITIES IN THE PRC OF NO MORE THAN RMB8 BILLION IN SCALE (THE "ASSET-BACKED SECURITIES"); AND (II) THE AUTHORISATION TO

THE BOARD OF DIRECTORS OF THE COMPANY OR THE

Proposal

Item

Management For For

PERSON(S) AUTHORISED BY IT
DEAL WITH ALL SPECIFIC
MATTERS PURSUANT TO THE
PROPOSAL IN RESPECT OF THE
ISSUE OF THE ASSET-BACKED
SECURITIES AS SET OUT IN THE
NOTICE OF EGM OF THE

COMPANY DATED 14 NOVEMBER 2016

Account Number	Account Name	Inter Acco	nal Custodia ount	Ballot an Shares		Unava Shares	ilable Vote Date	Date Confirmed
GLV	GLV		STATE	Γ142,400		0	15-Nov-2016	22-Dec-2016
GLQ	GLQ		STATE STREET BANK	Γ236,800		0	15-Nov-2016	22-Dec-2016
GLO	GLO		STATE STREET BANK	Γ583,600		0	15-Nov-2016	22-Dec-2016
D.R. HORTON	I, INC.							
Security	23331A109				Meeting	Type	Annual	
Ticker Symbol	DHI				Meeting	Date	19-Jan-2017	
ISIN	US23331A1097				Agenda		934514147 -	Management
Record Date	28-Nov-2016				Holding Date	Recon	28-Nov-2016)
City / Count	ry	/ United States			Vote De Date	eadline	18-Jan-2017	
SEDOL(s)					Quick C	Code		
_	_		_	_		_		

Proposed

For/Against

Vote

		by		Management	
1A.	ELECTION OF DIRECTOR: DONALD R. HORTON	Management	For	For	
1B.	ELECTION OF DIRECTOR: BARBARA K. ALLEN	Management	For	For	
1C.	ELECTION OF DIRECTOR: B ANDERSON	BRAD S. Management	For	For	
1D.	ELECTION OF DIRECTOR: MICHAEL R. BUCHANAN	Management	For	For	
1E.	ELECTION OF DIRECTOR: MICHAEL W. HEWATT	Management	For	For	
2.	APPROVAL OF THE ADVISORESOLUTION ON EXECUTIVE COMPENSATION.		For	For	
3.	RATIFY THE APPOINTMENT PRICEWATERHOUSECOOPE AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.		For	For	
Accoun Number	Account Name	Internal Ballot Account Shares		Unavailable Shares	Date Confirmed
997AL4	5 GLV BNP PLEDGE	STATE STREET 997A 45 14,626 TRUST CO		61,500 13-Dec-2016	20-Jan-2017
997AL4	GLQ BNP PLEDGE	STATE STREET 997A 47 23,621 TRUST CO		104,40013-Dec-2016	20-Jan-2017
997AL4	9 GLO BNP PLEDGE	STATE STREET 997A AP 59,001 TRUST CO		256,30013-Dec-2016	20-Jan-2017
997AL6	51 GLQ MS PLEDGE	STATE STREET 997A&61 10,800 TRUST CO		0 13-Dec-2016	20-Jan-2017

GOLUB CAPITAL BDC, INC.

Security	y	38173M102			Meeting T	ype	Annual
Ticker S	Symbol	GBDC			Meeting D	ate	07-Feb-2017
ISIN		US38173M1027			Agenda		934516557 - Management
Record	Date	12-Dec-2016			Holding Ro Date	econ	12-Dec-2016
City /	Country	y	/ United States		Vote Dead Date	line	06-Feb-2017
SEDOL	L(s)				Quick Cod	le	
Item	Proposa	al		Proposed by	Vote		against gement
1.1		TION OF CLASS I I B. GOLUB	DIRECTOR:	Management	For	For	
1.2		TION OF CLASS I I R. ROSENBERG	DIRECTOR:	Management	For	For	
2.	ERNST AS THE INDEP PUBLIC THE FI	TIFY THE SELECT & YOUNG LLP TE E COMPANY'S ENDENT REGISTE C ACCOUNTING DE ESCAL YEAR END MBER 30, 2017.	O SERVE ERED FIRM FOR	Management	For	For	

Account Number	Account Name	Internal Custodia Account	Ballot Shares	Unava Shares	vote Date	Date Confirmed
997GLQ	GLQ	STATE STREE 997GLO TRUST CO		0	22-Dec-2016	08-Feb-2017
997AL45	GLV BNP PLEDGE	STATE STREE BANK 997AL45 TRUST CO	100	128,30	0022-Dec-2016	08-Feb-2017
997AL47	GLQ BNP PLEDGE	STATE STREE 997AL47 TRUST CO	150,809	0	22-Dec-2016	08-Feb-2017
997AL49	GLO BNP PLEDGE	997A S49 ATE STREE		454,30	0022-Dec-2016	08-Feb-2017

BANK & **TRUST** CO

RSP PERMIAN INC

Record Date

Security 74978Q105 Meeting Type Special

Ticker Symbol Meeting Date 24-Feb-2017 **RSPP**

ISIN US74978Q1058 Agenda 934528108 - Management

Holding Recon

Date

19-Jan-2017

Vote Deadline United City / Country

States Date 23-Feb-2017

SEDOL(s) Quick Code

Proposed For/Against Item **Proposal** Vote Management by

APPROVAL OF THE ISSUANCE OF

16,019,638 SHARES OF RSP PERMIAN, INC.'S (THE

19-Jan-2017

"COMPANY'S") COMMON STOCK

TO SILVER HILL ENERGY

PARTNERS II, LLC (THE "SHEP II SELLER"), PURSUANT TO AND

1. SUBJECT TO ADJUSTMENTS Management For For

PROVIDED IN THE MEMBERSHIP INTEREST PURCHASE AND SALE AGREEMENT, DATED AS OF OCTOBER 13, 2016, BY ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL

PROPOSAL).

APPROVAL OF THE ADJOURNMENT OR

POSTPONEMENT OF THE SPECIAL

MEETING, IF NECESSARY OR

2. APPROPRIATE TO SOLICIT Management For For

> ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING

TO APPROVE PROPOSAL 1.

Unavailable Vote Date Internal Ballot Custodian Shares Account Date Account Name Shares Confirmed Number 0 27-Feb-2017 997GLQ **GLQ** 997G**SQ**ATE 16,400 03-Feb-2017

> **STREET BANK**

&

TRUST CO

٨	D	D	T 1		T	N T	
А	.1	Γ.	L	_	ш	LN	C.

7 11 1 LL	inte.						
Security	y	037833100			Meeting T	ype	Annual
Ticker	Symbol	AAPL			Meeting D	ate	28-Feb-2017
ISIN		US0378331005			Agenda		934520556 - Management
Record	Date	30-Dec-2016			Holding R Date	econ	30-Dec-2016
City /	Country	y	/ United States		Vote Dead Date	lline	27-Feb-2017
SEDOI	$\mathcal{L}(s)$				Quick Cod	le	
Item	Propos	al		Proposed by	Vote		Against agement
1A.	ELECT BELL	TION OF DIRECTO	OR: JAMES	Management	For	For	
1B.	ELECT COOK	TION OF DIRECTO	OR: TIM	Management	For	For	
1C.	ELECT GORE	TION OF DIRECTO	OR: AL	Management	For	For	
1D.	ELECT IGER	TION OF DIRECTO	OR: BOB	Management	For	For	
1E.		TION OF DIRECTO EA JUNG	OR:	Management	For	For	
1F.	ELECT LEVIN	TION OF DIRECTO	OR: ART	Management	For	For	
1G.	ELECT SUGA	TION OF DIRECTO R	OR: RON	Management	For	For	
1H.	ELECT WAGN	TION OF DIRECTO VER	OR: SUE	Management	For	For	
2.	APPOI YOUN INDEP	ICATION OF THE NTMENT OF ERN G LLP AS APPLE' ENDENT REGIST C ACCOUNTING	IST & S ERED	Management	For	For	
3.		ORY VOTE TO A UTIVE COMPENS		Management	For	For	
4.	FREQU VOTES	SORY VOTE ON T JENCY OF SHARI S ON EXECUTIVE ENSATION	EHOLDER	Management	3 Years	Agair	nst
5.		REHOLDER PRO LED "CHARITAB		Shareholder	Against	For	

RECIPIENTS, INTENTS AND BENEFITS"

	BENEFITS"			
6.	A SHAREHOLDER PROPOSAL REGARDING DIVERSITY AMONG OUR SENIOR MANAGEMENT AND BOARD OF DIRECTORS	Shareholder	Against	For
7.	A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROXY ACCESS AMENDMENTS"	Shareholder	Against	For
8.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE COMPENSATION REFORM"	Shareholder	Against	For
9.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVES TO RETAIN SIGNIFICANT STOCK"	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GL 2,500 TRUST CO	0 07-Jan-2017	01-Mar-2017
997GLQ	GLQ	STATE STREET 997GLO 31,200 TRUST CO	0 07-Jan-2017	01-Mar-2017
997GLO	GLO	STATE STREET 997G&O 39,600 TRUST CO	0 07-Jan-2017	01-Mar-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997A&45 100 TRUST CO	27,500 07-Jan-2017	01-Mar-2017
997AL47	GLQ BNP PLEDGE	997A S 47ATE 100 STREET BANK & TRUST	32,400 07-Jan-2017	01-Mar-2017

CO **STATE STREET** BANK GLO BNP PLEDGE 100 997AL49 81,900 07-Jan-2017 01-Mar-2017 **TRUST** CO TRANSDIGM GROUP INCORPORATED Security 893641100 Meeting Type Annual Ticker Symbol Meeting Date 01-Mar-2017 **TDG** US8936411003 **ISIN** Agenda 934523451 - Management Holding Recon Record Date 03-Jan-2017 03-Jan-2017 Date Vote Deadline United Country 28-Feb-2017 City / States Date SEDOL(s) Quick Code Proposed For/Against Item **Proposal** Vote by Management 1. **DIRECTOR** Management 1 WILLIAM DRIES For For 2 MERVIN DUNN For For 3 MICHAEL GRAFF For For 4 **SEAN HENNESSY** For For 5 W. NICHOLAS HOWLEY For For RAYMOND LAUBENTHAL 6 For For 7 **DOUGLAS PEACOCK** For For 8 **ROBERT SMALL** For For 9 JOHN STAER For For TO APPROVE (IN AN ADVISORY VOTE) COMPENSATION PAID TO 2. Management For For THE COMPANY'S NAMED **EXECUTIVE OFFICERS** TO DETERMINE HOW OFTEN TO CONDUCT THE ADVISORY VOTE 3. REGARDING COMPENSATION Management 3 Years PAID TO THE COMPANY'S NAMED **EXECUTIVE OFFICERS** TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT 4. Management For

For

ACCOUNTANTS FOR THE FISCAL YEAR ENDING SEPTEMBER 30,

2017

Accoun Numbe		Account Name	Internal Accoun	l ustodia it	Ballot an Shares		Unava Shares	ilable Vote Date	Date Confirmed
997AL	47	GLQ BNP PLEDGE	S' S' 997A <mark>B</mark>	TATE TREE ANK 47 RUST	Γ 20,810		0	21-Jan-2017	02-Mar-2017
997AL4	49	GLO BNP PLEDGE	S' S' 997AL	TATE TREE ANK 49 RUST	33,850		0	21-Jan-2017	02-Mar-2017
HOLO	GIC, IN	NC.							
Security	y	436440101				Meeting	Type	Annual	
Ticker	Symbo	l HOLX				Meeting	Date	08-Mar-2017	,
ISIN		US4364401012				Agenda		934522423 -	Management
Record	Date	09-Jan-2017				Holding Date	Recon	09-Jan-2017	
City /	Coun	itrv /	nited tates			Vote Dea Date	adline	07-Mar-2017	,
SEDOI	L(s)					Quick Co	ode		
Item	Propo	osal		Propo by	osed	Vote		/Against nagement	
1.	DIRE	ECTOR		Mana	agement				
	1	CHRISTOPHER J. COU	GHLIN			For	For		
	2	SALLY W. CRAWFORI	D			For	For		
	3	SCOTT T. GARRETT				For	For		
	4	LAWRENCE M. LEVY				For	For		
	5	STEPHEN P. MACMILI				For	For		
	6	CHRISTIANA STAMOU	ULIS			For	For		
	7	ELAINE S. ULLIAN				For	For		
	8	AMY M. WENDELL				For	For		
2.	RESC	ON-BINDING ADVISORY OLUTION TO APPROVE CUTIVE COMPENSATIO	,	Mana	agement	For	For		
3.	NON FREC	RECOMMEND, BY I-BINDING VOTE, THE QUENCY OF EXECUTIV IPENSATION VOTES.	Έ	Mana	agement	3 Years	Aga	ainst	

4.		DMENT TO HOLOGI AMENDED AND RE WS.		Mana	gement	For	For		
5.	APPOI YOUNG INDEP	ICATION OF THE NTMENT OF ERNST G LLP AS OUR ENDENT REGISTERI C ACCOUNTING FIR L 2017.	ED	Mana	gement	For	For		
Accoun Number		Account Name	Interna Accour	l ustodia it	Ballot Shares		Unavaila Shares	able Vote Date	Date Confirmed
997GL0	Q	GLQ	S' 997GL T	TATE TREET ANK P RUST O	23,600		0 2	25-Jan-2017	09-Mar-2017
997AL4	1 7	GLQ BNP PLEDGE	997A T	TATE TREET ANK 47 RUST O	Г 100		28,500 2	25-Jan-2017	09-Mar-2017
997AL4	19	GLO BNP PLEDGE	S' 997AL T	TATE TREET ANK 19 RUST O	Γ 100		131,2002	25-Jan-2017	09-Mar-2017
GW PH	ARMAC	CEUTICALS PLC							
Security	/	36197T103				Meeting	Туре	Annual	
Ticker S	Symbol	GWPH				Meeting	Date	14-Mar-2017	
ISIN		US36197T1034				Agenda		934530660 - 1	Management
Record	Date	07-Feb-2017				Holding Date	Recon	07-Feb-2017	
City /	Country	<i>i</i> /	United States			Vote Dea Date	adline	06-Mar-2017	
SEDOL	$L(\mathbf{s})$					Quick Co	ode		
Item	Proposa	ıl		Propo by	osed	Vote		Against agement	
01	ADOPT AUDIT	CEIVE, CONSIDER A T THE DIRECTORS' A ORS' REPORTS AND MENT OF ACCOUN	AND	Mana	gement	For	For		

	THE YEAR ENDED 30 SEPTEMBER 2016 AND NOTE THAT THE DIRECTORS DO NOT RECOMMEND PAYMENT OF A DIVIDEND			
O2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For
О3	TO RE-ELECT GEOFFREY GUY AS A DIRECTOR	Management	For	For
O4	TO RE-ELECT THOMAS LYNCH AS A DIRECTOR	Management	For	For
O5	TO RE-ELECT CABOT BROWN AS A DIRECTOR	Management	For	For
O6	TO APPOINT DELOITTE LLP AS AUDITOR	Management	For	For
О7	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
S8	TO APPROVE THE ADOPTION OF THE COMPANY'S LONG-TERM INCENTIVE PLAN	Management	For	For
S9	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 551 OF THE 2006 ACT	Management	For	For
S10	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 570 OF THE 2006 ACT AS IF SECTION 561(1) OF THE 2006 ACT DID NOT APPLY TO SUCH ALLOTMENT	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997G&Q 1,600 TRUST CO	0 15-Feb-2017	08-Mar-2017
997GLO	GLO	STATE STREET 997 G_{∞}^{BANK} 3,100 TRUST CO	0 15-Feb-2017	08-Mar-2017

		•	5	3	' '				
997AL-	47	GLQ BNP PLEDGE	997A <mark>.</mark> 997A.	TATE TREET BANK 4,3 TRUST CO	00		0	15-Feb-2017	08-Mar-2017
997AL4	49	GLO BNP PLEDGE	997A <mark>.</mark> 997A.	TATE TREET SANK 49 8,3 RUST CO	00		0	15-Feb-2017	08-Mar-2017
SAMSU	JNG EL	ECTRONICS CO LTD,	SUWON	V					
Security	y	Y74718100				Meeting	Type	Annual Gener	al Meeting
Ticker S	Symbol					Meeting	Date	24-Mar-2017	
ISIN		KR7005930003				Agenda		707790499 - I	Management
Record	Date	31-Dec-2016				Holding Date	Recon	31-Dec-2016	
City /	Country	y SEOUL / R	Korea, Republic Of			Vote De Date	eadline	14-Mar-2017	
SEDOL	L(s)	6771720 - B19VC15 - B74V052				Quick C	Code		
Item	Propos	al		Proposed by		Vote		/Against nagement	
1		OVAL OF FINANCIAL EMENTS		Managem	nent	For	For		
2		OVAL OF REMUNERA IRECTOR	TION	Managem	nent	For	For		
Accoun Number	,	Account Name	Interna Accou	l Ba Sustodian nt Sha	llot ares		Unava Shares	ilable Vote Date	Date Confirmed
GLV		GLV	S S	TATE TREET 756 SANK			0	25-Feb-2017	16-Mar-2017
GLQ		GLQ	S	TATE TREET 1,1 BANK	97		0	25-Feb-2017	16-Mar-2017
GLO		GLO	S	TATE TREET 3,0 BANK	46		0	25-Feb-2017	16-Mar-2017
LINE C	ORPOR	ATION							
Security	y	53567X101				Meeting	Type	Annual	
Ticker S	Symbol	LN				Meeting	Date	30-Mar-2017	

ISIN		US53567X1019			Agenda	ı	934536268 -	Management
Record	Date	29-Dec-2016			Holding Date	g Recon	29-Dec-2016	
City /	Cour	ntry	/ Japan		Vote D Date	eadline	23-Mar-2017	
SEDOI	L(s)				Quick (Code		
Item	Prop	osal		Proposed by	Vote		Against agement	
1.		TIAL AMENDMEN' ICLES OF INCORPO		Management	For	Agai	nst	
2.	DIRI	ECTORS		Management				
	1	TAKESHI IDEZAV	VA		For	For		
	2	JUN MASUDA			For	For		
	3	JOONGHO SHIN			For	For		
	4	IN JOON HWANG			For	For		
	5	HAE-JIN LEE			For	For		
	6	TADASHI KUNIH	IRO		For	For		
	7	KOJI KOTAKA			For	For		
	8	REHITO HATOYA	MA		For	For		
3.	SUB	CTION OF ONE (1) STITUTE CORPORA DITOR: NAOKI WAT		Management	For	Agai	nst	
4.	AND (WA REM	ERMINATION OF ADDETAILS OF STOOM RRANTS) TO BE PARTION, ETC. ECTORS	CK OPTIONS AID AS	S Management	For	Agai	nst	
Accour Numbe		Account Name		d Ballot Lustodian nt Shares		Unavail. Shares	able Vote Date	Date Confirmed
997GL	Q	GLQ	S 997G <mark>.</mark> T	STATE STREET SANK 10,300 FRUST		0	04-Mar-2017	27-Mar-2017
997GL	O	GLO	997G 8	STATE STREET BANK		0	04-Mar-2017	27-Mar-2017

		_		TATE					
997AL4	17	GLQ BNP PLEDGE	S' 997AI	TREET ANK 47	10,800	9,	700 (04-Mar-2017	27-Mar-2017
			T	RUST O					
997AL4	19	GLO BNP PLEDGE		TATE TREET ANK 49	Г 9,800	28	3,300 (04-Mar-2017	27-Mar-2017
			T	RUST O					
BROAL	DCOM L	IMITED							
Security	I	Y09827109				Meeting Ty	ype	Annual	
Ticker S	Symbol	AVGO				Meeting Da	ate	05-Apr-2017	
ISIN		SG9999014823				Agenda		934531977 - 1	Management
Record	Date	08-Feb-2017				Holding Re Date	econ	08-Feb-2017	
City /	Country	/ N	I alaysia			Vote Deadl Date	line	03-Apr-2017	
SEDOL	$\iota(s)$					Quick Code	e		
Item	Proposa	ıl		Propo by	osed	Vote		Against agement	
Item	ELECT	al TON OF DIRECTOR: N E. TAN	MR.	by	gement	Vote For		-	
	ELECT HOCK ELECT	TON OF DIRECTOR: N		by Mana			Mana	-	
1A.	ELECT HOCK ELECT JAMES ELECT	TON OF DIRECTOR: N E. TAN TON OF DIRECTOR: N	MR.	by Mana Mana	gement	For	Mana For	-	
1A. 1B.	ELECT HOCK ELECT JAMES ELECT LEWIS ELECT	TION OF DIRECTOR: NE. TAN TION OF DIRECTOR: NEW TION OF DIRECTOR:	MR. MR.	Mana Mana Mana	gement gement	For	Mana For For	-	
1A. 1B. 1C.	ELECT HOCK ELECT JAMES ELECT LEWIS ELECT KENNE ELECT	TION OF DIRECTOR: ME. TAN TION OF DIRECTOR: ME. TON OF DIRECTOR: ME. TION OF DIRECTOR: ME. C. EGGEBRECHT	MR. MR. MR.	Mana Mana Mana Mana	gement gement gement	For For	For For	-	
1A. 1B. 1C. 1D.	ELECT HOCK ELECT JAMES ELECT LEWIS ELECT KENNIE ELECT EDDY ELECT	TION OF DIRECTOR: ME. TAN TION OF DIRECTOR: ME. TON OF DIRECTOR: ME. C. EGGEBRECHT TION OF DIRECTOR: METH Y. HAO TION OF DIRECTOR: METH Y. HAO	MR. MR. MR.	Mana Mana Mana Mana Mana	gement gement gement gement	For For	For For For	-	
1A. 1B. 1C. 1D. 1E.	ELECT HOCK ELECT JAMES ELECT LEWIS ELECT KENNI ELECT EDDY ELECT CHECK ELECT	TION OF DIRECTOR: ME. TAN TION OF DIRECTOR: ME. TON OF DIRECTOR: ME. TON OF DIRECTOR: METH Y. HAO TION OF DIRECTOR: METH Y. HARTENSTEIN TION OF DIRECTOR: METH Y. HARTENSTEIN	MR. MR. MR. MR.	Mana Mana Mana Mana Mana Mana	gement gement gement gement gement	For For For	For For For For	-	
1A. 1B. 1C. 1D. 1E. 1F.	ELECT HOCK ELECT JAMES ELECT LEWIS ELECT KENNI ELECT ELECT CHECK ELECT DONAL ELECT	TION OF DIRECTOR: ME. TAN TION OF DIRECTOR: ME. TON OF DIRECTOR: ME. TON OF DIRECTOR: METH Y. HAO TION OF DIRECTOR: METH Y. HARTENSTEIN TION OF DIRECTOR: METHOD O	MR. MR. MR. MR. MR.	by Mana Mana Mana Mana Mana Mana Mana	gement gement gement gement gement gement	For For For For	For For For For For	-	

Management

For

For

2.

TO APPROVE THE

Edgar Filing: Clough Global Equity Fund - Form N-PX RE-APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS BROADCOM'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM AND INDEPENDENT SINGAPORE AUDITOR FOR THE FISCAL YEAR ENDING OCTOBER 29, 2017 AND TO AUTHORIZE THE AUDIT COMMITTEE TO FIX ITS REMUNERATION. AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING. TO APPROVE THE GENERAL AUTHORIZATION FOR THE DIRECTORS OF BROADCOM TO ALLOT AND ISSUE SHARES IN OUR CAPITAL, AS SET FORTH IN Management For For BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING. TO APPROVE THE COMPENSATION OF BROADCOM'S NAMED EXECUTIVE OFFICERS. AS DISCLOSED IN "COMPENSATION **DISCUSSION AND ANALYSIS"** AND IN THE COMPENSATION TABLES AND ACCOMPANYING Management For For NARRATIVE DISCLOSURE UNDER "EXECUTIVE COMPENSATION" IN **BROADCOM'S PROXY** STATEMENT RELATING TO ITS 2017 ANNUAL GENERAL MEETING. TO RECOMMEND THAT A Management 3 Years Against

3.

4.

5. TO RECOMMEND THAT A Management 3 Years Agains NON-BINDING, ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE BROADCOM'S NAMED EXECUTIVE OFFICERS BE PUT TO SHAREHOLDERS FOR THEIR CONSIDERATION EVERY: ONE; TWO; OR THREE YEARS, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL

GENERAL MEETING.

Account Number	Account Name	Internal Ballot Custodian Shares	Unava Shares	Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GLO 5,500 TRUST CO	0	22-Feb-2017 0	95-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 14,705 TRUST CO	6,400	22-Feb-2017 0	95-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 33,570 TRUST CO	17,400) 22-Feb-2017 0	95-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL49 30,570 TRUST CO	43,700) 22-Feb-2017 0	95-Apr-2017
LENNAR CC	RPORATION				
Security	526057104		Meeting Type	Annual	
Ticker Symbo	ol LEN		Meeting Date	18-Apr-2017	
ISIN	US5260571048		Agenda	934533678 - Ma	anagement
Record Date	21-Feb-2017		Holding Recon Date	21-Feb-2017	
City / Cour	ntrv /	United Itates	Vote Deadline Date	17-Apr-2017	
SEDOL(s)			Quick Code		
Item Prop	osal	Proposed by	VAIA	/Against nagement	
1. DIRI	ECTOR	Management			
1	IRVING BOLOTIN		For For		
2	STEVEN L. GERARD		For For		
3	THERON I. "TIG" GILI	LIAM	For For		
4	SHERRILL W. HUDSO	N	For For	•	

	5	SIDNEY LAPIDUS		For	For
	6	TERI P. MCCLURE		For	For
	7	STUART MILLER		For	For
	8	ARMANDO OLIVERA		For	For
	9	DONNA SHALALA		For	For
	10	JEFFREY SONNENFELD		For	For
2.	APPO TOUC INDE PUBL THE	FICATION OF THE DINTMENT OF DELOITTE & CHE LLP AS LENNAR'S EPENDENT REGISTERED LIC ACCOUNTING FIRM FOR FISCAL YEAR ENDING EMBER 30, 2017.	Management	For	For
3.	BASI OF L	ROVAL, ON AN ADVISORY S, OF THE COMPENSATION ENNAR'S NAMED EXECUTIVE CERS.	Management	For	For
4.	BASI THE S THE S LENN	COVAL, ON AN ADVISORY S, OF THE FREQUENCY OF STOCKHOLDER VOTE ON COMPENSATION OF NAR'S NAMED EXECUTIVE CERS.	Management	3 Years	Against
5.	PROF COM	OVAL OF A STOCKHOLDER POSAL REGARDING OUR MON STOCK VOTING ICTURE.	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G 5,500 TRUST CO	0 08-Mar-2017	19-Apr-2017
997GLQ	GLQ	STATE STREET 997G & 8,700 TRUST CO	0 08-Mar-2017	19-Apr-2017
997GLO	GLO	997G SO ATE 23,500 STREET BANK	0 08-Mar-2017	19-Apr-2017

			& T C	RUST					
997AL4	45	GLV BNP PLEDGE	S' 997AL	TATE TREET ANK 45 RUST O	Γ 8,700	30),900 (98-Mar-2017	19-Apr-2017
997AL-	47	GLQ BNP PLEDGE	S' 997AL &	RUST	Γ 11,700	60),500 (98-Mar-2017	19-Apr-2017
997AL-	49	GLO BNP PLEDGE	997AL T	TATE TREET ANK E RUST O	Γ 33,800	13	31,5000	98-Mar-2017	19-Apr-2017
CITIGE	ROUP IN	IC.							
Security	y	172967424				Meeting Ty	ype	Annual	
Ticker	Symbol	С				Meeting D	ate	25-Apr-2017	
ISIN		US1729674242				Agenda		934541904 -	Management
Record	Date	27-Feb-2017				Holding Re	econ	27-Feb-2017	
City /	Country	v /	Inited tates			Vote Dead Date	line	24-Apr-2017	
SEDOL	L(s)					Quick Cod	e		
Item	Proposa	al		Propo by	osed	Vote		against gement	
1A.		TION OF DIRECTOR: AEL L. CORBAT		Mana	igement	For	For		
1B.		TION OF DIRECTOR: F STELLO	ELLEN	Mana	gement	For	For		
1C.		TION OF DIRECTOR: AN P. HENNES		Mana	gement	For	For		
1D.	ELECT B. HEN	TION OF DIRECTOR: I NRY	PETER	Mana	gement	For	For		
1E.	ELECT B. HUN	TION OF DIRECTOR: I MER	FRANZ	Mana	igement	For	For		
1F.	ELECT J. JAM	TION OF DIRECTOR: F ES	RENEE	Mana	igement	For	For		

1G.	ELECTION OF DIRECTOR: EUGENE M. MCQUADE	Management	For	For
1H.	ELECTION OF DIRECTOR: MICHAEL E. O'NEILL	Management	For	For
1I.	ELECTION OF DIRECTOR: GARY M. REINER	Management	For	For
1J.	ELECTION OF DIRECTOR: ANTHONY M. SANTOMERO	Management	For	For
1K.	ELECTION OF DIRECTOR: DIANA L. TAYLOR	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM S. THOMPSON, JR.	Management	For	For
1M.	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management	For	For
1N.	ELECTION OF DIRECTOR: DEBORAH C. WRIGHT	Management	For	For
10.	ELECTION OF DIRECTOR: ERNESTO ZEDILLO PONCE DE LEON	Management	For	For
2.	PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITI'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE CITI'S 2016 EXECUTIVE COMPENSATION.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
5.	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON THE COMPANY'S POLICIES AND GOALS TO REDUCE THE GENDER PAY GAP.	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD APPOINT A STOCKHOLDER VALUE COMMITTEE TO ADDRESS WHETHER THE DIVESTITURE OF ALL NON-CORE BANKING BUSINESS SEGMENTS WOULD ENHANCE SHAREHOLDER VALUE.	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL	Shareholder	Against	For

REQUESTING A REPORT ON
LOBBYING AND GRASSROOTS
LOBBYING CONTRIBUTIONS.

STOCKHOLDER PROPOSAL
REQUESTING AN AMENDMENT
TO THE GENERAL CLAWBACK
POLICY TO PROVIDE THAT A
SUBSTANTIAL PORTION OF
ANNUAL TOTAL COMPENSATION
OF EXECUTIVE OFFICERS SHALL
BE DEFERRED AND FORFEITED,
IN PART OR WHOLE, AT THE
DISCRETION OF THE BOARD, TO

Against For

For

PENALTY ASSOCIATED WITH A VIOLATION OF LAW.

STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD ADOPT A POLICY PROHIBITING THE VESTING OF FOURTY PASSES.

HELP SATISFY ANY MONETARY

8.

9.

ADOPT A POLICY PROHIBITING
THE VESTING OF EQUITY-BASED
AWARDS FOR SENIOR
EXECUTIVES DUE TO A

VOLUNTARY RESIGNATION TO ENTER GOVERNMENT SERVICE.

Shareholder Against

Internal Custodian Shares Unavailable Vote Date Date Account Account Name Shares Number Confirmed **STATE STREET** 31,200 997GLQ **GLQ** 0 17-Mar-2017 26-Apr-2017 **TRUST** CO **STATE STREET** 997GLO 10,000 997GLO **GLO** 0 17-Mar-2017 26-Apr-2017 **TRUST** CO **STATE STREET GLV BNP PLEDGE** 20,130 997AL45 57,800 17-Mar-2017 26-Apr-2017 **TRUST** CO 997A**S47**ATE 119 997AL47 GLQ BNP PLEDGE 100,40017-Mar-2017 26-Apr-2017 **STREET BANK**

] (& TRUST CO STATE STREET		
997AL4	49	GLO BNP PLEDGE 997A		238,9	0017-Mar-2017 26-Apr-2017
997AL5	53	GLO MS PLEDGE 997A	STATE STREET	0	17-Mar-2017 26-Apr-2017
GALAF	PAGOS 1	NV			
Security	y	36315X101		Meeting Type	Annual
Ticker S	Symbol	GLPG		Meeting Date	25-Apr-2017
ISIN		US36315X1019		Agenda	934565459 - Management
Record	Date	20-Mar-2017		Holding Recor	¹ 20-Mar-2017
City /	Country	y / United States		Vote Deadline Date	17-Apr-2017
SEDOL	L(s)			Quick Code	
Item	Proposa	al	Proposed by	VATA	r/Against anagement
O2	APPRO CONSO ACCO FOR TE ENDER AND A ALLOO RESUL	IUNICATION AND OVAL OF THE NON- DLIDATED ANNUAL UNTS OF THE COMPANY HE FINANCIAL YEAR O ON 31 DECEMBER 2016 APPROVAL OF THE CATION OF THE ANNUAL AT AS PROPOSED BY THE D OF DIRECTORS.	Management	For	
O5	APPRO	IUNICATION AND OVAL OF THE NERATION REPORT.	Management	For	
O6	GRANT AND T FOR T THEIR THE F	ASE FROM LIABILITY TO BE TED TO THE DIRECTORS THE STATUTORY AUDITOR HE PERFORMANCE OF DUTIES IN THE COURSE OF INANCIAL YEAR ENDED 31 MBER 2016.	Management	For	

O7	RATIFICATION OF THE STATUTORY AUDITOR'S REMUNERATION FOR THE FINANCIAL YEAR ENDED ON 31 DECEMBER 2016.	Management	For		
O8	RE-APPOINTMENT OF STATUTORY AUDITOR AND DETERMINATION OF STATUTORY AUDITOR'S REMUNERATION.	Management	For		
O9A	RE-APPOINTMENT OF MR. ONNO VAN DE STOLPE AS DIRECTOR OF THE COMPANY.	F Management	For		
О9В	RE-APPOINTMENT OF DR. RAJ PAREKH AS DIRECTOR OF THE COMPANY.	Management	For		
O9C	RE-APPOINTMENT OF MS. KATRINE BOSLEY AS INDEPENDENT DIRECTOR OF THE COMPANY.	Management	For		
O10	REMUNERATION OF DIRECTORS.	Management	For		
O11	OFFER OF WARRANTS.	Management	For		
O12	APPLICATION OF ARTICLE 556 OF THE BELGIAN COMPANIES CODE	Management	For		
E2	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 20% OF THE SHARE CAPITAL.	E Management	For		
E3	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 33% OF THE SHARE CAPITAL, IN SPECIFIC CIRCUMSTANCES.	E Management	For		
Accoun Number	r Account Name Accou	int Shares		Unavailable Shares Vote Date	Date Confirmed
997GL0	Q GLQ 997GI	STATE STREET BANK Q 26,100 FRUST CO		0 30-Mar-2017	19-Apr-2017

BANK OF AMERICA CORPORATION

Securit	y	060505104			Meeting T	ype	Annual
Ticker Symbol		BAC			Meeting Date		26-Apr-2017
ISIN		US0605051046			Agenda		934543453 - Management
Record	Date	02-Mar-2017			Holding Re	econ	02-Mar-2017
City /	Countr	y	/ United States		Vote Dead Date	line	25-Apr-2017
SEDOI	$\mathcal{L}(s)$				Quick Cod	le	
Item	Propos	al		Proposed by	Vote		Against ngement
1A.		TION OF DIRECTO ON L. ALLEN	R:	Management	For	For	
1B.	ELECT S. BIES	TION OF DIRECTO	R: SUSAN	Management	For	For	
1C.		TION OF DIRECTO NDER, JR.	R: JACK O.	Management	For	For	
1D.		TION OF DIRECTO AMBLE, SR.	R: FRANK	Management	For	For	
1E.		TION OF DIRECTO WECK	R: PIERRE	Management	For	For	
1F.		TION OF DIRECTO LD W. DONALD	R:	Management	For	For	
1G.	ELECT P. HUI	TION OF DIRECTO DSON	R: LINDA	Management	For	For	
1H.	ELECT C. LOZ	TION OF DIRECTO ZANO	R: MONICA	Management	For	For	
1I.		TION OF DIRECTO AS J. MAY	R:	Management	For	For	
1J.		TION OF DIRECTO YNIHAN	R: BRIAN	Management	For	For	
1K.		TION OF DIRECTO WELL, III	R: LIONEL	Management	For	For	
1L.		TION OF DIRECTO AEL D. WHITE	R:	Management	For	For	
1M.		TION OF DIRECTO AS D. WOODS	R:	Management	For	For	
1N.		TION OF DIRECTO DYOST	R: R.	Management	For	For	
2.	COMP NON-E	OVING OUR EXEC ENSATION (AN A BINDING "SAY ON LUTION)	DVISORY,	Management	For	For	
3.				Management	3 Years	Agair	nst

	A VOTE ON THE FREQUENCY OF FUTURE ADVISORY "SAY ON PAY" RESOLUTIONS (AN ADVISORY, NON-BINDING "SAY ON FREQUENCY" RESOLUTION)			
4.	RATIFYING THE APPOINTMENT OF OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
5.	STOCKHOLDER PROPOSAL - CLAWBACK AMENDMENT	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL - DIVESTITURE & DIVISION STUDY SESSIONS	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL - INDEPENDENT BOARD CHAIRMAN	Shareholder	Against	For
8.	STOCKHOLDER PROPOSAL - REPORT CONCERNING GENDER PAY EQUITY	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 24,500 TRUST CO	0 16-Mar-2017	27-Apr-2017
997GLQ	GLQ	STATE STREET 997GLO 38,400 TRUST CO	0 16-Mar-2017	27-Apr-2017
997GLO	GLO	STATE STREET 997GLO 131,800 TRUST CO	0 16-Mar-2017	27-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 191 TRUST CO	148,40016-Mar-2017	27-Apr-2017

997AL4	17	GLQ BNP PLEDG	SE 997A T C	RUST CO	105	26	51,4001	6-Mar-2017	27-Apr-2017
997AL4	1 9	GLO BNP PLEDG	S SE 997Al T	TATE TREET ANK 29 RUST CO	110	58	30,0001	6-Mar-2017	27-Apr-2017
EOG RI	ESOURO	CES, INC.							
Security	7	26875P101				Meeting Ty	ype	Annual	
Ticker S	Symbol	EOG				Meeting Da	ate	27-Apr-2017	
ISIN		US26875P1012				Agenda		934538476 - 1	Management
Record	Date	27-Feb-2017				Holding Re Date	econ	27-Feb-2017	
City /	Country	1	/ United States			Vote Dead Date	line	26-Apr-2017	
SEDOL	(s)					Quick Cod	e		
Item	Proposa	1		Propo by	sed	Vote		gainst gement	
1A.	ELECT F. CLA	ION OF DIRECTO RK	R: JANET	Manag	gement	For	For		
1B.		ION OF DIRECTO LES R. CRISP	R:	Manaş	gement	For	For		
1C.	ELECT P. DAN	TION OF DIRECTO TIELS	R: ROBERT	Manag	gement	For	For		
1D.	ELECT C. DAY	ION OF DIRECTO	R: JAMES	Manaş	gement	For	For		
1E.		ION OF DIRECTO LD F. TEXTOR	R:	Manag	gement	For	For		
1F.		TION OF DIRECTO AM R. THOMAS	R:	Manag	gement	For	For		
1G.	ELECT G. WIS	ION OF DIRECTO NER	R: FRANK	Manaş	gement	For	For		
2.	BY THE THE BO DELOT INDEPT PUBLIC	TIFY THE APPOINE AUDIT COMMITOARD OF DIRECT TTE & TOUCHE LENDENT REGISTE C ACCOUNTING FORS FOR THE CO	TTEE OF ORS OF LP, ERED FIRM, AS	Manaş	gement	For	For		

FOR THE YEAR ENDING DECEMBER 31, 2017. TO APPROVE AN AMENDMENT OF THE COMPANY'S RESTATED **CERTIFICATE OF** INCORPORATION TO INCREASE 3. Management For For THE NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 640 MILLION TO 1.28 BILLION. TO APPROVE, BY NON-BINDING VOTE, THE COMPENSATION OF 4. Management For For THE COMPANY'S NAMED EXECUTIVE OFFICERS. TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF HOLDING 5. ADVISORY VOTES ON THE Management Against 3 Years COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G X 5,700 TRUST CO	0 18-Mar-2017	28-Apr-2017
997GLQ	GLQ	STATE STREET 997G ^{BANK} 7,100 TRUST CO	0 18-Mar-2017	28-Apr-2017
997GLO	GLO	STATE STREET 997GLO 17,800 TRUST CO	0 18-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	997AB43ATE 600 STREET BANK & TRUST	23,500 18-Mar-2017	28-Apr-2017

		_aga:		9 0	Jour Equity				
				О					
				TATE TREE	Γ				
997AL	47	GLQ BNP PLEDGE	997AR		1,900	29	9 . 900 1	18-Mar-2017	28-Apr-2017
				: RUST	,		,		1
				О					
				TATE TREE	Γ				
997AL	49	GLO BNP PLEDGE	997AB		500	79	9,500 1	18-Mar-2017	28-Apr-2017
				: RUST			,		1
			C	О					
PFIZE		515 001102				.			
Security	•	717081103				Meeting T	-	Annual	
ISIN	Symbol	PFE US7170811035				Meeting D Agenda	ate	27-Apr-2017 934540798 - 1	Management
	D.					Holding Re	econ		vianagement
Record	Date	28-Feb-2017				Date		28-Feb-2017	
City /	Country	<i>i I</i>	Jnited States			Vote Dead Date	line	26-Apr-2017	
SEDOL	L(s)					Quick Cod	le		
Item	Proposa	al		Propo by	osed	Vote		Against agement	
1A.		TION OF DIRECTOR: SIELLO	DENNIS	Mana	ngement	For	For		
1B.		TION OF DIRECTOR: LD E. BLAYLOCK		Mana	ngement	For	For		
1C.	ELECT CORN	TION OF DIRECTOR: WELL	W. DON	Mana	agement	For	For		
1D.		TION OF DIRECTOR: EVARRIA	JOSEPH	Mana	agement	For	For		
1E.		TION OF DIRECTOR: CES D. FERGUSSON		Mana	agement	For	For		
1F.	ELECT H. HOI	TION OF DIRECTOR:	HELEN	Mana	agement	For	For		
1G.	ELECT M. KIL	TION OF DIRECTOR:	JAMES	Mana	agement	For	For		
1H.		TION OF DIRECTOR: ΓΑΝU NARAYEN		Mana	agement	For	For		
1I.		TON OF DIRECTOR: NNE NORA JOHNSON	1	Mana	agement	For	For		
1J.	ELECT	TON OF DIRECTOR:	IAN C.	Mana	ngement	For	For		

	READ					
1K.	ELECTION OF DIRECTOR: STEPHEN W. SANGER		Management	For	For	
1L.	ELECTION OF DIRECTOR: JAC. SMITH	Management	For	For		
2.	RATIFY THE SELECTION OF LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 20		Management	For	For	
3.	ADVISORY APPROVAL OF EXECUTIVE COMPENSATIO)N	Management	For	For	
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXEC COMPENSATION	CUTIVE	Management	3 Years	Against	
5.	SHAREHOLDER PROPOSAL REGARDING THE HOLY LAI PRINCIPLES	ND	Shareholder	Against	For	
6.	SHAREHOLDER PROPOSAL REGARDING SPECIAL SHAREOWNER MEETINGS		Shareholder	Against	For	
7.	SHAREHOLDER PROPOSAL REGARDING INDEPENDENT CHAIR POLICY	Γ	Shareholder	Against	For	
Account Number	Account Name		ustodian		Unavailable Shares Vote Date	Date Confirmed
997GLV	V GLV	997GL	ГREET ANK V 17,800 RUST		0 17-Mar-2017	28-Apr-2017
997AL4	5 GLV BNP PLEDGE	S7 997AL	RUST		43,500 17-Mar-2017	28-Apr-2017
997AL4	GLQ BNP PLEDGE	S7 997AL	RUST		81,500 17-Mar-2017	28-Apr-2017

997AL4	19	GLO BNP PLEDGE 9	STATE STREET 97AL49 TRUST CO	100	1:	57,100	17-Mar-2017	28-Apr-2017
997AL6	60	GLV MS PLEDGE 9	STATE STREET 97A&60 TRUST CO	12,000	0		17-Mar-2017	28-Apr-2017
AKORI	N, INC.							
Security	/	009728106			Meeting T	ype	Annual	
Ticker S	Symbol	AKRX			Meeting D	ate	27-Apr-2017	
ISIN		US0097281069			Agenda		934560308 - 1	Management
Record	Date	13-Mar-2017			Holding Ro Date	econ	13-Mar-2017	
City /	Countr	y / Unit State			Vote Dead Date	lline	26-Apr-2017	
SEDOL(s)					Quick Cod	le		
Item	Propos	al	Propo by	esed	Vote		Against agement	
1.	DIREC	CTOR	Mana	gement				
	1 .	JOHN KAPOOR, PHD			For	For		
	2	KENNETH ABRAMOWIT	\mathbf{Z}		For	For		
	3	ADRIENNE GRAVES, PH	D		For	For		
	4	RONALD JOHNSON			For	For		
	5	STEVEN MEYER			For	For		
	6	TERRY ALLISON RAPPU	JHN		For	For		
	7	BRIAN TAMBI			For	For		
	8 .	ALAN WEINSTEIN			For	For		
2.	APPO AS TH INDEF PUBL	OSAL TO RATIFY THE INTMENT OF BDO USA, IE COMPANY'S PENDENT REGISTERED IC ACCOUNTING FIRM F YEAR ENDING DECEMBE	Mana _s FOR	gement	For	For		
3.	OMNI	OSAL TO APPROVE THE BUS INCENTIVE PENSATION PLAN.		gement	For	For		
4.			Mana	gement	3 Years	Agai	nst	

PROPOSAL TO APPROVE, THROUGH A NON- BINDING ADVISORY VOTE, THE FREQUENCY OF FUTURE NON-BINDING ADVISORY VOTES ON THE COMPANY'S EXECUTIVE COMPENSATION PROGRAMS. PROPOSAL TO APPROVE,

PROPOSAL TO APPROVE, THROUGH A NON- BINDING ADVISORY VOTE, THE

5. COMPANY'S EXECUTIVE COMPENSATION PROGRAM AS DESCRIBED IN THE COMPANY'S 2017 PROXY STATEMENT. Management For For

Internal Ballot Account Shares Unavailable Shares Vote Date Account Date Account Name Number Confirmed STATE **STREET** 997GLO 39.000 0 997GLQ **GLQ** 28-Mar-2017 28-Apr-2017 **TRUST** CO **STATE STREET** 96,700 997GLO **GLO** 0 28-Mar-2017 28-Apr-2017 **TRUST** CO **STATE STREET GLQ BNP PLEDGE** 38,600 0 997AL47 28-Mar-2017 28-Apr-2017 **TRUST** CO **STATE STREET** 997AL49 GLO BNP PLEDGE 28-Mar-2017 28-Apr-2017 **TRUST** CO **STATE STREET** 997AL53 **GLO MS PLEDGE** 20,700 28-Mar-2017 28-Apr-2017 **TRUST** CO

BRISTOL-MYERS SQUIBB COMPANY

		ERS SQUIBB COMPA	AIN Y				
Security	•	110122108			Meeting T	• •	Annual
	Symbol	BMY			Meeting Date		02-May-2017
ISIN		US1101221083			Agenda		934547538 - Management
Record	Date	14-Mar-2017			Holding R Date	econ	14-Mar-2017
City /	Country	y /	United States		Vote Dead Date	lline	01-May-2017
SEDOL	L(s)				Quick Coc	le	
Item	Proposa	al		Proposed by	Vote		Against agement
1A.	ELECT ARDU	TION OF DIRECTOR: INI	: P. J.	Management	For	For	
1B.	ELECT BERTO	TION OF DIRECTOR: DLINI	: R. J.	Management	For	For	
1C.		TION OF DIRECTOR: RIO, M.D.	: G.	Management	For	For	
1D.	ELECT EMME	TION OF DIRECTOR: INS	: M. W.	Management	For	For	
1E.		TION OF DIRECTOR: CHER, M.D.	: L. H.	Management	For	For	
1F.	ELECT GROBS	TION OF DIRECTOR: STEIN	: M.	Management	For	For	
1G.	ELECT LACY	TION OF DIRECTOR:	: A. J.	Management	For	For	
1H.	ELECT PALIW	TION OF DIRECTOR: VAL	: D. C.	Management	For	For	
1I.	ELECT SAMU	TION OF DIRECTOR: ELS	: T. R.	Management	For	For	
1J.	ELECT STORO	TION OF DIRECTOR: CH	: G. L.	Management	For	For	
1K.	ELECT SATO,	TION OF DIRECTOR: PH.D.	: V. L.	Management	For	For	
2.	THE C	ORY VOTE TO APP OMPENSATION OF D EXECUTIVE OFFI	OUR	Management	For	For	
3.	FREQU VOTE COMP	ORY VOTE ON THE JENCY OF THE ADV TO APPROVE THE ENSATION OF OUR JTIVE OFFICERS.	/ISORY	Management	3 Years	Agai	nst
4.		PROVAL OF THE RIALS TERMS OF T	НЕ	Management	For	For	

PERFORMANCE-BASED AWARDS **UNDER THE COMPANY'S 2012** STOCK AWARD AND INCENTIVE PLAN (AS AMENDED). APPROVAL OF AN AMENDMENT 5. Management TO THE COMPANY'S 2012 STOCK For For AWARD AND INCENTIVE PLAN. RATIFICATION OF THE APPOINTMENT OF INDEPENDENT Management 6. For For REGISTERED PUBLIC ACCOUNTING FIRM. SHAREHOLDER PROPOSAL TO LOWER THE SHARE OWNERSHIP Shareholder 7. Against For THRESHOLD TO CALL SPECIAL SHAREHOLDER MEETINGS.

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 9,200 TRUST CO	0 24-Mar-2017	03-May-2017
997GLQ	GLQ	STATE STREET 997GLO 24,200 TRUST CO	0 24-Mar-2017	03-May-2017
997GLO	GLO	STATE STREET 997 G_{∞}^{BANK} 49,700 TRUST CO	0 24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 187 TRUST CO	23,100 24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	997AB4ATE 46,616 STREET BANK & TRUST	40,000 24-Mar-2017	03-May-2017

			C	О ГАТЕ					
997AL	49	GLO BNP PLEDGE	S7 997AI T	FREET ANK 19 RUST	39,400	0		24-Mar-2017	03-May-2017
997AL:	53	GLO MS PLEDGE	997AL	ΓΑΤΕ ΓREET ANK 33 RUST	29,000	0		24-Mar-2017	03-May-2017
997AL0	60	GLV MS PLEDGE	S7 S7 997AL	ΓΑΤΕ ΓREET ANK 50 RUST	900	0		24-Mar-2017	03-May-2017
MTGE	INVEST	MENT CORP.							
Security	y	55378A105				Meeting T	ype	Annual	
Ticker	Symbol	MTGE				Meeting D	ate	02-May-201	7
ISIN		US55378A1051				Agenda		934555472 -	Management
Record	Date	08-Mar-2017				Holding Ro	econ	08-Mar-2017	7
City /	Country	v /	Jnited tates			Vote Dead Date	line	01-May-201	7
SEDOL	L(s)					Quick Cod	le		
Item	Proposa	al		Propo by	sed	Vote		/Against nagement	
1A.	ELECT KAIN	TION OF DIRECTOR: (GARY D.	Manaş	gement	For	For		
1B.		TION OF DIRECTOR: S RAHAMS	STEVEN	Manaş	gement	For	For		
1C.	ELECT CORO	TION OF DIRECTOR: J NADO	IULIA L.	Manaş	gement	For	For		
1D.	ELECT M. CO	TION OF DIRECTOR: I UCH	ROBERT	Manaş	gement	For	For		
1E.	ELECT E.DOB	TION OF DIRECTOR: I BS	RANDY	Manag	gement	For	For		
2.	THE C	ORY VOTE TO APPR OMPENSATION OF O D EXECUTIVE OFFIC	UR	Manaş	gement	For	For		
3.				Manag	gement	3 Years	Aga	ainst	

ADVISORY VOTE TO SELECT THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.

RATIFICATION OF APPOINTMENT OF ERNST & YOUNG LLP AS OUR

4. INDEPENDENT PUBLIC

ACCOUNTANT FOR THE YEAR ENDING DECEMBER 31, 2017.

Management For For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 25,100 TRUST CO	0 24-Mar-2017	03-May-2017
997GLQ	GLQ	STATE STREET 997GLO 99,700 TRUST CO	0 24-Mar-2017	03-May-2017
997GLO	GLO	STATE STREET 997G&O 69,061 TRUST CO	0 24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997A 245 11,400 TRUST CO	27,000 24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 3,700 TRUST CO	57,700 24-Mar-2017	03-May-2017

CABLE ONE, INC.

Security 12685J105 Meeting Type Annual
Ticker Symbol CABO Meeting Date 02-May-2017

ISIN	US1268	5J1051		Agenda		934571084 -	Management
Record	Date 10-Mar-	2017		Holding I Date	Recon	10-Mar-2017	,
City /	Country	/ United States		Vote Dea Date	dline	01-May-2017	7
SEDOI	L(s)			Quick Co	de		
Item	Proposal		Proposed by	Vote		Against agement	
1A.	ELECTION OF SPOON	DIRECTOR: ALAN G	· Management	For	For		
1B.	ELECTION OF WALLACE R. V		Management	For	For		
2.	PRICEWATERI AS THE INDEP REGISTERED I ACCOUNTING	PUBLIC FIRM OF THE R THE FISCAL YEAR	Management	For	For		
3.		ON OF OUR NAMED FFICERS FOR 2016	Management	For	For		
4.	FUTURE ADVI	E FREQUENCY OF SORY VOTES ON OMPENSATION ON BASIS	Management	3 Years	Agai	nst	
5.			Management	For	For		
Accour Numbe	A ccount N	S	Ballot Custodian Shares TATE TREET	!	Unavail Shares	able Vote Date	Date Confirmed
997GL	Q GLQ	997G <mark>.</mark> 8		() (04-Apr-2017	03-May-2017
997GL	O GLO	S E &	STATE 4,508 STREET BANK SCRUST	() (04-Apr-2017	03-May-2017

CO **STATE**

997AL60 GLV MS PLEDGE 1,100 0 04-Apr-2017 03-May-2017 997AL60

TRUST CO

STREET

EVED	COLDCI	E ENERGY

Meeting Type Security Annual 30040W108

Ticker Symbol ES Meeting Date 03-May-2017

ISIN Agenda US30040W1080 934545558 - Management

Holding Recon Record Date 06-Mar-2017

Date

06-Mar-2017

Vote Deadline United City / Country 02-May-2017 States

Date

SEDOL(s) Quick Code

FI FCTION OF DIRECTOR: JOHN S	C	Vote	For/Against Management For
() (C	For	For
	Management		
02 ELECTION OF DIRECTOR: COTTON M. CLEVELAND		For	For
03 ELECTION OF DIRECTOR: SANFORD CLOUD, JR.	Management	For	For
04 ELECTION OF DIRECTOR: JAMES S. DISTASIO	Management	For	For
05 ELECTION OF DIRECTOR: FRANCIS A. DOYLE	Management	For	For
06 ELECTION OF DIRECTOR: CHARLES K. GIFFORD	Management	For	For
07 ELECTION OF DIRECTOR: JAMES J. JUDGE	Management	For	For
08 ELECTION OF DIRECTOR: PAUL A. LA CAMERA	Management	For	For
09 ELECTION OF DIRECTOR: KENNETH R. LEIBLER	Management	For	For
10 ELECTION OF DIRECTOR: WILLIAM C. VAN FAASEN	Management	For	For
11 ELECTION OF DIRECTOR: FREDERICA M. WILLIAMS	Management	For	For
12 ELECTION OF DIRECTOR: DENNIS R. WRAASE	Management	For	For
2.	Management	For	For

APPROVE PROPOSED AMENDMENT TO THE COMPANY'S DECLARATION OF TRUST TO **INCLUDE A PROXY ACCESS** PROVISION. **CONSIDER AN ADVISORY** PROPOSAL APPROVING THE 3. Management For For COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS. **CONSIDER AN ADVISORY** PROPOSAL ON THE FREQUENCY OF FUTURE ADVISORY Management 4. 3 Years Against PROPOSALS ON EXECUTIVE COMPENSATION. RE-APPROVE THE MATERIAL TERMS OF THE PERFORMANCE **GOALS UNDER THE 2009** 5. **EVERSOURCE INCENTIVE PLAN** Management For For AS REQUIRED BY SECTION 162(M) OF THE INTERNAL REVENUE CODE. RATIFY THE SELECTION OF DELOITTE & TOUCHE LLP AS THE 6. INDEPENDENT REGISTERED Management For For PUBLIC ACCOUNTING FIRM FOR 2017.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavai Shares	ilable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLO 48,800 TRUST CO	0	25-Mar-2017	04-May-2017
PHYSICIAN	S REALTY TRUST				
Security	71943U104		Meeting Type	Annual	
Ticker Symbo	ol DOC		Meeting Date	03-May-2017	
ISIN	US71943U1043		Agenda	934549429 - 1	Management
Record Date	01-Mar-2017		Holding Recon Date	01-Mar-2017	
City / Cour	ntry	/ United States	Vote Deadline Date	02-May-2017	
SEDOL(s)			Quick Code		
Item Prop	osal		Vote		

				Proposed by			or/Against Ianagement	
1.	DIREC	CTOR		Management				
	1 .	JOHN T. THOMAS			For	F	or	
	2	TOMMY G. THOMPSO	N		For	F	or	
	3	STANTON D. ANDERS	SON		For	F	or	
	4	MARK A. BAUMGART	ΓNER		For	F	or	
	5	ALBERT C. BLACK, JF	₹.		For	F	or	
	6	WILLIAM A. EBINGEF	R, M.D		For	F	or	
	7	RICHARD A. WEISS			For	F	or	
2.	ERNS' COMP REGIS ACCO	ATIFY THE APPOINTM IT & YOUNG LLP AS TO PANY'S INDEPENDENT STERED PUBLIC PUNTING FIRM FOR TO LL YEAR ENDING DEC 17.	ΗΕ Γ ΗΕ	Management	For	F	or	
3.	BASIS THE C EXEC DISCL ACCO	PPROVE, ON AN ADVI 5, THE COMPENSATIO COMPANY'S NAMED UTIVE OFFICERS, AS COSED IN THE MPANYING PROXY EMENT.		Management	For	F	or	
Accour Numbe		Account Name		l Ballot lustodian Shares TATE		Unav Shar	vailable es Vote Date	Date Confirmed
997GL	Q	GLQ	997GL & T	TREET ANK 47,200 RUST		0	24-Mar-2017	04-May-2017
997AL	47	GLQ BNP PLEDGE	997AL T	TATE TREET ANK 47 100 RUST		88,70	00 24-Mar-2017	04-May-2017
PULTE	EGROUF	P, INC.						
Securit	y	745867101			Meeti	ng Type	Annual	
Ticker	Symbol	PHM			Meeti	ng Date	03-May-2017	•
ISIN		US7458671010			Agend	la	934549758 -	Management
Record	Date	10-Mar-2017					10-Mar-2017	

			Holding Re Date	econ
City /	Country / United States		Vote Dead Date	02-May-2017
SEDOL	L(S)		Quick Cod	e
Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: BRIAN P. ANDERSON	Management	For	For
1B.	ELECTION OF DIRECTOR: BRYCE BLAIR	Management	For	For
1C.	ELECTION OF DIRECTOR: RICHARD W. DREILING	Management	For	For
1D.	ELECTION OF DIRECTOR: THOMAS J. FOLLIARD	Management	For	For
1E.	ELECTION OF DIRECTOR: JOSHUA GOTBAUM	Management	For	For
1F.	ELECTION OF DIRECTOR: CHERYL W. GRISE	Management	For	For
1G.	ELECTION OF DIRECTOR: ANDRE J. HAWAUX	Management	For	For
1H.	ELECTION OF DIRECTOR: RYAN R. MARSHALL	Management	For	For
1I.	ELECTION OF DIRECTOR: PATRICK J. O'LEARY	Management	For	For
1J.	ELECTION OF DIRECTOR: JOHN R. PESHKIN	Management	For	For
1K.	ELECTION OF DIRECTOR: SCOTT F. POWERS	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM J. PULTE	Management	For	For
2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
4.	AN ADVISORY VOTE TO APPROVE THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION.	Management	3 Years	Against

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G V 16,700 TRUST CO	0 29-Mar-2017	04-May-2017
997GLQ	GLQ	STATE STREET 997GLQ 31,500 TRUST CO	0 29-Mar-2017	04-May-2017
997GLO	GLO	STATE STREET 997GLO 80,000 TRUST CO	0 29-Mar-2017	04-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 100 TRUST CO	50,200 29-Mar-2017	04-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL 100 TRUST CO	120,30029-Mar-2017	04-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL 49 100 TRUST CO	253,50029-Mar-2017	04-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL60 15,000 TRUST CO	0 29-Mar-2017	04-May-2017
DIALOG SEN	MICONDUCTOR PLC, LO	ONDON		
Security	G5821P111		Meeting Type Annual Gene	_
Ticker Symbo	ol .		Meeting Date 04-May-2017	7

		Edgar	r Filing: Clou	gh Global Equity	Fund - Fori	m N-P	X
ISIN		GB0059822006			Agenda		707978675 - Management
Record	Date				Holding Re	econ	02-May-2017
City /	Country	y LONDON	/ United Kingdom		Vote Dead Date	line	18-Apr-2017
SEDOI	L (s)	5982200 - 598259 B28ZZL6 - B2QF BHZLDS5			Quick Cod	e	
Item	Proposa	al		Proposed by	Vote		gainst gement
1		PT FINANCIAL ST TATUTORY REPO		Management	For	For	
2	APPRO REPOR	OVE REMUNERAT	ΓΙΟΝ	Management	For	For	
3	REAPF AUDIT	OINT DELOITTE	LLP AS	Management	For	For	
4		ORISE BOARD TO NERATION OF A		Management	For	For	
5	RE-ELI DIREC	ECT EAMONN O'I TOR	HARE AS	Management	For	For	
6		ECT CHRISTOPHI RECTOR	ER BURKE	Management	For	For	
7	RE-ELI DIREC	ECT AIDAN HUG TOR	HES AS	Management	For	For	
8	ELECT	MARY CHAN AS	S DIRECTOR	Management	For	For	
9	ELECT DIREC	NICHOLAS JEFF TOR	FERY AS	Management	For	For	
10		ORISE ISSUE OF I PRE-EMPTIVE RI	-	Management	For	For	
11	WITH	ORISE ISSUE OF I PRE-EMPTIVE RI ECTION WITH A	GHTS IN	Management	For	For	
12		ORISE ISSUE OF I OUT PRE- EMPTIV	-	Management	For	For	
13	WITHO IN COM ACQUI	ORISE ISSUE OF I OUT PRE- EMPTIV NNECTION WITH ISITION OR OTHI TMENT	VE RIGHTS AN	Management	For	For	
14		ORISE THE COMP		Management	For	For	

ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE

	CONT BANK	RACT WITH BARC PLC	LAYS					
15	ENTE: FORW CONT	ORISE THE COMPAR R INTO A CONTING ARD SHARE PURC RACT WITH GOLD S INTERNATIONAL	GENT CHASE MAN	Management	For	For		
16	ENTE: FORW	ORISE THE COMPAR INTO A CONTING VARD SHARE PURC RACT WITH HSBC	GENT CHASE	Management	For	For		
17	ENTE: FORW CONT	ORISE THE COMPAR INTO A CONTING VARD SHARE PURC RACT WITH MERR TH INTERNATIONA	GENT CHASE ILL	Management	For	For		
18	CALL	ORISE THE COMPA GENERAL MEETIN WEEKS' NOTICE		Management	For	For		
Account Number		Account Name	Inter Acco	Clistodian		Unava Shares	ilable Vote Date	Date Confirmed
GLQ		GLQ		STATE STREET 62,318 BANK		0	14-Apr-2017	21-Apr-2017
GLO		GLO		STATE STREET 154,934 BANK		0	14-Apr-2017	21-Apr-2017
DUKE I	ENERG	Y CORPORATION						
Security	,	26441C204			Meeting	Type	Annual	
Ticker S	Symbol	DUK			Meeting	Date	04-May-2017	7
ISIN		US26441C2044			Agenda		934544102 -	Management
Record 1	Date	06-Mar-2017			Holding Date	Recon	06-Mar-2017	,
City /	Countr	y,	United States		Vote De Date	adline	03-May-201	7
SEDOL	(s)				Quick C	ode		
Item	Propos	al		Proposed by	Vote		/Against nagement	
1.	DIREC	CTOR		Management				
	1	MICHAEL J. ANGE	LAKIS		For	For		
	•	MOULEI O PROV	DINIC		_	_		

For

For

2 MICHAEL G. BROWNING

	3	THEODORE F. CRAVER, JR.		For	For
	4	DANIEL R. DIMICCO		For	For
	5	JOHN H. FORSGREN		For	For
	6	LYNN J. GOOD		For	For
	7	JOHN T. HERRON		For	For
	8	JAMES B. HYLER, JR.		For	For
	9	WILLIAM E. KENNARD		For	For
	10	E. MARIE MCKEE		For	For
	11	CHARLES W. MOORMAN IV		For	For
	12	CARLOS A. SALADRIGAS		For	For
	13	THOMAS E. SKAINS		For	For
	14	WILLIAM E. WEBSTER, JR.		For	For
2.	TOUC CORP REGIS	FICATION OF DELOITTE & CHE LLP AS DUKE ENERGY ORATION'S INDEPENDENT STERED PUBLIC DUNTING FIRM FOR 2017	Management	For	For
3.	DUKE NAME	SORY VOTE TO APPROVE E ENERGY CORPORATION'S ED EXECUTIVE OFFICER PENSATION	Management	For	For
4.	FREQ	SORY VOTE ON THE UENCY OF THE VOTE ON UTIVE COMPENSATION	Management	3 Years	Against
5.	AND I INCO ENER ELIMI	NDMENT TO THE AMENDED RESTATED CERTIFICATE OF RPORATION OF DUKE GY CORPORATION TO INATE SUPERMAJORITY NG REQUIREMENTS	Management	For	For
6.	REGA ANNU	EHOLDER PROPOSAL RDING PROVIDING AN JAL REPORT ON DUKE GY'S LOBBYING EXPENSES	Shareholder	Against	For
7.	REGA ASSES ON DO OF CL CONS	EHOLDER PROPOSAL RDING PREPARING AN SSMENT OF THE IMPACTS UKE ENERGY'S PORTFOLIO LIMATE CHANGE USTENT WITH A TWO EEE SCENARIO	Shareholder	Against	For
8.	REGA	EHOLDER PROPOSAL RDING PROVIDING A RT ON THE PUBLIC HEALTH	Shareholder	Against	For

RISKS OF DUKE ENERGY'S COAL USE

Accour Numbe		Account Name	Interna Accour	l Tustodia it	Ballot Shares	1	Unavai Shares	lable Vote Date	Date Confirmed	
997GL	Q	GLQ	S S B 997GL T	TATE TREET		,	0	24-Mar-2017	05-May-2017	
STARV	WOOD	PROPERTY TRUST II								
Securit		85571B105				Meeting 7	Type	Annual		
Ticker	•	ol STWD				Meeting 1		04-May-2017	,	
ISIN		US85571B1052				Agenda		934571844 -		
Record	Date	17-Mar-2017				Holding I Date	Recon	17-Mar-2017		
City /	Cou	ntry /	United States			Vote Dea Date	dline	03-May-2017	,	
SEDOL(s)						Quick Co	ode	le		
Item	Prop	osal		Propo by	osed	Vote		'Against nagement		
1.	DIR	ECTOR		Mana	gement					
	1	RICHARD D. BRONS	SON			For	For			
	2	JEFFREY G. DISHNE	ER			For	For			
	3	CAMILLE J. DOUGL	AS			For	For			
	4	SOLOMON J. KUMIN	N			For	For			
	5	BARRY S. STERNLIG				For	For			
	6	STRAUSS ZELNICK				For	For			
2.	BAS EXE DISC ACC	APPROVE, ON AN AD' IS, THE COMPANY'S CUTIVE COMPENSAT CLOSED IN THE COMPANYING PROXY TEMENT.	TION AS	Mana	agement	For	For			
3.	BAS ADV COM	APPROVE, ON AN AD' IS, THE FREQUENCY ISORY VOTE ON THE IPANY'S EXECUTIVE IPENSATION.	OF THE	Mana	agement	3 Years	Aga	inst		
4.	PRO	APPROVE THE STARV PERTY TRUST, INC. 2 NAGER EQUITY PLAN	017	Mana	igement	For	For			

Management

SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. MANAGER EQUITY PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.

TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. EQUITY

5. PLAN, AS AMENDED, AND THE STARWOOD PROPERTY TRUST, INC. NON- EXECUTIVE DIRECTOR STOCK PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.

TO RATIFY THE AUDIT COMMITTEE'S APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE

6. COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CALENDAR YEAR ENDING DECEMBER 31, 2017.

Management For For

For

For

Account Number	Account Name	Internal Custodi Account	Ballot Shares	Unava Share	ailable Vote Date	Date Confirmed
997GLV	GLV	STATE STREE 997GE TRUST CO	Γ 15,000	0	04-Apr-2017	05-May-2017
997GLQ	GLQ	STATE STREE BANK 997GLQ TRUST CO	Γ 79,500	0	04-Apr-2017	05-May-2017
997GLO	GLO	STATE STREE 997G & TRUST CO	Г 90,586	0	04-Apr-2017	05-May-2017
997AL45	GLV BNP PLEDGE	997AL45	100	100,60	0004-Apr-2017	05-May-2017

	3		•		
		STATE STREET BANK & TRUST CO			
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 100 TRUST CO	205,80	0004-Apr-2017	05-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 100 TRUST CO	499,90	0004-Apr-2017	05-May-2017
997AL53	GLO MS PLEDGE	STATE STREET BANK 997AL 53 70,000 TRUST CO	0	04-Apr-2017	05-May-2017
997AL60	GLV MS PLEDGE	STATE STREET BANK 997AL 45,000 TRUST CO	0	04-Apr-2017	05-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK 997AL61 15,000 TRUST CO	0	04-Apr-2017	05-May-2017
BOSTON SCIE	NTIFIC CORPORATION	ON			
Security	101137107		Meeting Type	Annual	
Ticker Symbol	BSX		Meeting Date	09-May-2017	7
ISIN	US1011371077		Agenda	934558543 -	Management
Record Date	15-Mar-2017		Holding Recon Date	15-Mar-2017	
City / Country	V /	United States	Vote Deadline Date	08-May-2017	7
SEDOL(s)			Quick Code		
Item Propos	al		Vote		

		Proposed by		For/Against Management
1A.	ELECTION OF DIRECTOR: NELDA J. CONNORS	Management	For	For
1B.	ELECTION OF DIRECTOR: CHARLES J. DOCKENDORFF	Management	For	For
1C.	ELECTION OF DIRECTOR: YOSHIAKI FUJIMORI	Management	For	For
1D.	ELECTION OF DIRECTOR: DONNA A. JAMES	Management	For	For
1E.	ELECTION OF DIRECTOR: EDWARD J. LUDWIG	Management	For	For
1F.	ELECTION OF DIRECTOR: STEPHEN P. MACMILLAN	Management	For	For
1G.	ELECTION OF DIRECTOR: MICHAEL F. MAHONEY	Management	For	For
1H.	ELECTION OF DIRECTOR: DAVID J. ROUX	Management	For	For
1I.	ELECTION OF DIRECTOR: JOHN E. SUNUNU	Management	For	For
1J.	ELECTION OF DIRECTOR: ELLEN M. ZANE	Management	For	For
2.	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
3.	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE FREQUENCY OF FUTURE NAMED EXECUTIVE OFFICER COMPENSATION ADVISORY VOTES.	Management	3 Years	Against
4.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2017 FISCAL YEAR.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Vote Date Shares	Date Confirmed
997GLQ	GLQ	997G EQ ATE 52,700 STREET BANK & TRUST	0 29-Mar-2017	10-May-2017

			C	О					
997GL0	O	GLO	S' 997GL T	TATE TREET ANK S RUST O	99,900	0		29-Mar-2017	10-May-2017
997AL-	47	GLQ BNP PLEDGE	S' S' 997AL T	TATE TREET	100	7.	4,700	29-Mar-2017	10-May-2017
997AL:	53	GLO MS PLEDGE	S 997AL T	TATE TREET ANK 53 3 RUST O	80,000	0		29-Mar-2017	10-May-2017
GILEAD SCIENCES, INC.									
Security	y	375558103				Meeting T	ype	Annual	
Ticker S	Symbol	GILD				Meeting D	ate	10-May-2017	,
ISIN		US3755581036				Agenda		934558810 - 1	Management
Record	Date	16-Mar-2017				Holding R Date	econ	16-Mar-2017	
City /	Country	<i>u</i>	nited tates			Vote Dead Date	lline	09-May-2017	,
SEDOL	$\mathcal{L}(\mathbf{s})$					Quick Code			
Item	Proposa	al		Propose by	ed	Vote		Against nagement	
1A.		TION OF DIRECTOR: J N, PH.D.	OHN F.	Manage	ement	For	For		
1B.	ELECT A. KR	TION OF DIRECTOR: K AMER	KELLY	Manage	ement	For	For		
1C.	ELECT E. LOF	TION OF DIRECTOR: K	KEVIN	Manage	ement	For	For		
1D.		TION OF DIRECTOR: J IN, PH.D.	OHN C.	Manage	ement	For	For		
1E.		TION OF DIRECTOR: J GAN, PH.D.	OHN F.	Manage	ement	For	For		
1F.		TION OF DIRECTOR: DLAS G. MOORE		Manage	ement	For	For		
1G.		TION OF DIRECTOR: ARD J. WHITLEY, M.D		Manage	ement	For	For		

1H.	ELECTION OF DIRECTOR: GA E. WILSON	AYLE Management	For	For	
1I.	ELECTION OF DIRECTOR: PE WOLD-OLSEN	R Management	For	For	
2.	RATIFICATION OF THE SELECTION OF INDEPENDEN REGISTERED PUBLIC ACCOUNTING FIRM.	VT Management	For	For	
3.	RESTATEMENT OF THE GILE SCIENCES, INC. 2004 EQUITY INCENTIVE PLAN.		For	For	
4.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICE	R Management	For	For	
5.	ADVISORY VOTE AS TO THE FREQUENCY OF FUTURE ADVISORY SHAREHOLDER V ON EXECUTIVE COMPENSAT	VOTES Management	3 Years	Against	
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOA TAKE STEPS TO PERMIT STOCKHOLDER ACTION BY WRITTEN CONSENT.	ARD Shareholder	Against	For	
7.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOADOPT A POLICY THAT THE CHAIRMAN OF THE BOARD ODIRECTORS BE AN INDEPENDIRECTOR.	OF Shareholder	Against	For	
Accoun Number	t Account Name	Internal Ballot Custodian Account Shares	Į S	Jnavailable Shares Vote Date	Date Confirmed
997AL	45 GLV BNP PLEDGE	STATE STREET 997AL45 3,600	ϵ	5,200 29-Mar-2017	11-May-2017

TRUST CO STATE STREET

TRUST CO

997A**S49**ATE 4,000

STREET

1,300

GLQ BNP PLEDGE

GLO BNP PLEDGE

997AL47

997AL49

11-May-2017

11-May-2017

19,900 29-Mar-2017

35,200 29-Mar-2017

BANK & **TRUST** CO **STATE STREET GLQ MS PLEDGE** 3,700 0 11-May-2017 997AL61 29-Mar-2017 **TRUST** CO DOMINION RESOURCES, INC. Meeting Type Security 25746U109 Annual Ticker Symbol Meeting Date 10-May-2017 D **ISIN** US25746U1097 Agenda 934559038 - Management Holding Recon Record Date 03-Mar-2017 03-Mar-2017 Date United Vote Deadline 09-May-2017 City / Country States Date SEDOL(s) Quick Code **Proposed** For/Against Item **Proposal** Vote by Management **ELECTION OF DIRECTOR:** 1A. Management For For WILLIAM P. BARR **ELECTION OF DIRECTOR: HELEN** Management 1B. For For E. DRAGAS **ELECTION OF DIRECTOR: JAMES** 1C. Management For For O. ELLIS, JR. **ELECTION OF DIRECTOR:** 1D. For For Management THOMAS F. FARRELL II ELECTION OF DIRECTOR: JOHN W. 1E. Management For For **HARRIS ELECTION OF DIRECTOR:** 1F. Management For For RONALD W. JIBSON ELECTION OF DIRECTOR: MARK J. 1G. Management For For **KINGTON ELECTION OF DIRECTOR: JOSEPH** 1H. Management For For M. RIGBY **ELECTION OF DIRECTOR:** 1I. Management For For PAMELA J. ROYAL, M.D. **ELECTION OF DIRECTOR: ROBERT** Management 1J. For For

Management

For

For

H. SPILMAN, JR.

N. STORY

1K.

ELECTION OF DIRECTOR: SUSAN

1L.	ELECTION OF DIRECTOR: MICHAEL E. SZYMANCZYK	Management	For	For	
2.	RATIFICATION OF APPOINTMENT OF THE INDEPENDENT AUDITORS FOR 2017	Management	For	For	
3.	ADVISORY VOTE ON APPROVAL OF EXECUTIVE COMPENSATION (SAY ON PAY)	Management	For	For	
4.	ADVISORY VOTE ON THE FREQUENCY OF THE SAY ON PAY VOTE	Management	3 Years	Against	
5.	APPROVAL OF AMENDMENT TO ARTICLES OF INCORPORATION TO CHANGE THE COMPANY'S NAME TO DOMINION ENERGY, INC.	Management	For	For	
6.	SHAREHOLDER PROPOSAL REGARDING A REPORT ON LOBBYING	Shareholder	Against	For	
7.	SHAREHOLDER PROPOSAL REGARDING THE NOMINATION OF A DIRECTOR WITH ENVIRONMENTAL EXPERTISE	Shareholder	Against	For	
8.	SHAREHOLDER PROPOSAL REGARDING AN ASSESSMENT OF THE IMPACT OF PUBLIC POLICIES AND TECHNOLOGICAL ADVANCES CONSISTENT WITH LIMITING GLOBAL WARMING	Shareholder	Against	For	
9.	SHAREHOLDER PROPOSAL REGARDING A REPORT ON METHANE EMISSIONS	Shareholder	Against	For	
Accour Numbe	Account Name Accou	nt Shares	U S	Jnavailable Shares Vote Date	Date Confirmed
997AL	S 47 GLQ BNP PLEDGE 997A 3 7	STATE STREET SANK 47 38,000 CRUST	0	01-Apr-2017	11-May-2017
SERVI	CE CORPORATION INTERNATIONAL	L			
Securit	y 817565104		Meeting T	Type Annual	
Ticker	Symbol SCI		Meeting D	Date 10-May-2017	7
ISIN	US8175651046		Agenda	934564356 -	Management

Record Date 13-Mar-2017 Holding Recon Date 13-Mar-2017						
City /	Country / United States		Vote Dea Date	dline 09-May-2017	,	
SEDOI	$\mathcal{L}(\mathbf{s})$		Quick Co	de		
Item	Proposal	Proposed by	Vote	For/Against Management		
1.	DIRECTOR	Management				
	1 THOMAS L. RYAN		For	For		
	2 CLIFTON H. MORRIS, JR.		For	For		
	3 W. BLAIR WALTRIP		For	For		
2.	TO APPROVE THE SELECTION OF PRICEWATERHOUSECOOPERS LLI AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2017.	Management	For	For		
3.	TO APPROVE, BY ADVISORY VOTE, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For		
4.	TO APPROVE HOLDING AN ADVISORY VOTE ON NAMED EXECUTIVE OFFICER COMPENSATION EVERY ONE, TWO OR THREE YEARS, AS INDICATED.	Management	3 Years	Against		
5.	TO APPROVE THE AMENDED AND RESTATED 2016 EQUITY INCENTIVE PLAN.	Management	For	For		
6.	TO APPROVE THE SHAREHOLDER PROPOSAL TO REQUIRE INDEPENDENT BOARD CHAIRMAN.	Shareholder	Against	For		
7.	TO APPROVE THE SHAREHOLDER PROPOSAL TO ADOPT SIMPLE MAJORITY VOTE.	Shareholder	Against	For		
Accour Numbe	S	l Ballot Custodian Shares STATE	3	Unavailable Vote Date Shares	Date Confirmed	
997AL	45 GLV BNP PLEDGE 997A T	STREET BANK 245 900 CRUST CO	2	28,200 31-Mar-2017	11-May-2017	

997AL4	17	GLQ BNP PLEDGE	ST 997AL &	RUST		35	5,500 3	1-Mar-2017	11-May-2017
997AL4	19	GLO BNP PLEDGE	ST 997AL 2	RUST		70),900 3	1-Mar-2017	11-May-2017
ALEXIO	ALEXION PHARMACEUTICALS, INC.								
Security	7	015351109				Meeting Ty	pe	Annual	
Ticker S	Symbol	ALXN				Meeting Da	ate	10-May-2017	,
ISIN		US0153511094				Agenda		934568710 -	Management
Record	Date	14-Mar-2017				Holding Re Date	econ	14-Mar-2017	
City /	Country	/ Uni Stat				Vote Deadl Date	line	09-May-2017	,
SEDOL(s)					Quick Code	e			
Item	Proposa	nl		Proposed by		Vote		gainst gement	
1A.	ELECT BAKEF	TON OF DIRECTOR: FE	LIX J.	Managemen	t	For	For		
1B.	ELECT R. BRE	TION OF DIRECTOR: DA NNAN	AVID	Managemen	t	For	For		
1C.		TON OF DIRECTOR: M. ELE BURNS		Managemen	t	For	For		
1D.		TON OF DIRECTOR: TOPHER J. COUGHLIN		Managemen	t	For	For		
1E.	ELECT N. HAN	TON OF DIRECTOR: LUNTSON	DWIG	Managemen	t	For	For		
1F.	ELECT MOLLI	TION OF DIRECTOR: JO EN	HN T.	Managemen	t	For	For		
1G.		TON OF DIRECTOR: R. LAS NORBY		Managemen	t	For	For		
1H.	ELECT S. PAR	TON OF DIRECTOR: AL VEN	VIN	Managemen	t	For	For		
1I.		TION OF DIRECTOR: EAS RUMMELT		Managemen	t	For	For		
1J.	ELECT VENEN	TON OF DIRECTOR: AN MAN	IN M.	Managemen	t	For	For		

2.	TO APPROVE ALEXION'S 201 INCENTIVE PLAN.	7 Management	For	For	
3.	RATIFICATION OF APPOINTS BY THE BOARD OF DIRECTO PRICEWATERHOUSECOOPER AS ALEXION'S INDEPENDEN REGISTERED PUBLIC ACCOUNTING FIRM.	ORS OF RS LLP	For	For	
4.	APPROVAL OF A NON-BINDI ADVISORY VOTE OF THE 201 COMPENSATION PAID TO ALEXION'S NAMED EXECUT OFFICERS.	16 Management	For	For	
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXEC COMPENSATION.	Management UTIVE	3 Years	Against	
6.	TO REQUEST THE BOARD IMPLEMENT CONFIDENTIAL SHAREHOLDER VOTING ON EXECUTIVE PAY MATTERS.	Shareholder	Against	For	
Account Number	t Account Name	Internal Ballot Custodian Account Shares	U S	Inavailable Vote Date hares	Date Confirmed
997GL(Q GLQ	STATE STREET 997G&Q 19,500 TRUST CO	0	01-Apr-2017	11-May-2017
997GL0	O GLO	STATE STREET 997G&O 14,100 TRUST	0	01-Apr-2017	11-May-2017

997GLO	GLO	997GLO	14,100	0	01-Apr-2017	11-May-2017		
		TRUST CO						
		STATE STREET	Γ					
997AL49	GLO BNP PLEDGE	997AL49	100	34,900	01-Apr-2017	11-May-2017		
		TRUST						
		CO						
JPMORGAN CHASE & CO.								
Security	46625H100			Meeting Type	Annual			

Meeting Date

16-May-2017

Ticker Symbol JPM

83

ISIN	US46625H100	05		Agenda		934561665 - Management
Record	1 Date 17-Mar-2017			Holding R Date	econ	17-Mar-2017
City /	Country	/ United States		Vote Dead Date	lline	15-May-2017
SEDO	L(s)			Quick Cod	de	
Item	Proposal		Proposed by	Vote		Against agement
1A.	ELECTION OF DIRECT B. BAMMANN	CTOR: LINDA	Management	For	For	
1B.	ELECTION OF DIRECT	CTOR: JAMES	Management	For	For	
1C.	ELECTION OF DIRECT CRANDALL C. BOW		Management	For	For	
1D.	ELECTION OF DIRECT STEPHEN B. BURKE	CTOR:	Management	For	For	
1E.	ELECTION OF DIRECT COMBS	CTOR: TODD A.	Management	For	For	
1F.	ELECTION OF DIRECT S. CROWN	CTOR: JAMES	Management	For	For	
1G.	ELECTION OF DIRECT DIMON	CTOR: JAMES	Management	For	For	
1H.	ELECTION OF DIRECT TIMOTHY P. FLYNN	CTOR:	Management	For	For	
1I.	ELECTION OF DIRECT P. JACKSON, JR.	CTOR: LABAN	Management	For	For	
1J.	ELECTION OF DIRECT MICHAEL A. NEAL	CTOR:	Management	For	For	
1K.	ELECTION OF DIRECT RAYMOND	CTOR: LEE R.	Management	For	For	
1L.	ELECTION OF DIRECT WILLIAM C. WELDO		Management	For	For	
2.	ADVISORY RESOLU APPROVE EXECUTIVE COMPENSATION		Management	For	For	
3.	RATIFICATION OF II REGISTERED PUBLIC ACCOUNTING FIRM	C	Management	For	For	
4.	ADVISORY VOTE OF OF ADVISORY RESC APPROVE EXECUTIVE COMPENSATION	LUTION TO	Management	3 Years	Agai	nst
5.	INDEPENDENT BOA	RD	Shareholder	Against	For	

	CHAIR	RMAN							
6.	VESTI SERVI	NG FOR GOVERNMEN CE	NT	Sharel	holder	Against	For		
7.	CLAW	BACK AMENDMENT		Sharel	holder	Against	For		
8.	GEND	ER PAY EQUITY		Sharel	holder	Against	For		
9.	HOW V	VOTES ARE COUNTEI)	Sharel	holder	Against For			
10.	SPECIA MEETI	AL SHAREOWNER INGS		Sharel	holder	Against	For		
Accour Numbe	4	Account Name	Internal Accour		Ballot Nares		Unavaila Shares	able Vote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE	997A T	TATE TREET ANK 45 RUST O	100		8,000 (07-Apr-2017	17-May-2017
997AL	47	GLQ BNP PLEDGE	S' S' 997AB T	TATE TREET	100		13,100 (07-Apr-2017	17-May-2017
997AL	49	GLO BNP PLEDGE	997A T	TATE TREET ANK 2 RUST O	100		33,000 (07-Apr-2017	17-May-2017
ALIGN	TECHN	OLOGY, INC.							
Security	y	016255101				Meeting	Type	Annual	
Ticker	Symbol	ALGN				Meeting	Date	17-May-2017	
ISIN		US0162551016				Agenda		934559874 - 1	Management
Record	Date	22-Mar-2017				Holding Date	Recon	22-Mar-2017	
City /	Country	v /	nited ates			Vote Dea Date	adline	16-May-2017	
SEDOI	$\mathcal{L}(s)$					Quick Co	ode		
Item	Proposa	al		Propo by	sed	Vote		Against agement	
1A.	ELECT M. HO	TION OF DIRECTOR: JO GAN	OSEPH	Mana	gement	For	For		
1B.	ELECT	TION OF DIRECTOR: JO	OSEPH	Manag	gement	For	For		

	LACOB			
1C.	ELECTION OF DIRECTOR: C. RAYMOND LARKIN, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR: GEORGE J. MORROW	Management	For	For
1E.	ELECTION OF DIRECTOR: THOMAS M. PRESCOTT	Management	For	For
1F.	ELECTION OF DIRECTOR: ANDREA L. SAIA	Management	For	For
1G.	ELECTION OF DIRECTOR: GREG J. SANTORA	Management	For	For
1H.	ELECTION OF DIRECTOR: SUSAN E. SIEGEL	Management	For	For
1I.	ELECTION OF DIRECTOR: WARREN S. THALER	Management	For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS ALIGN TECHNOLOGY, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF HOLDING AN ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	3 Years	Against

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Shares Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997 $G_{\&}^{BANK}$ 21,600 TRUST CO	0 08-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A&47 22,200 TRUST CO	0 08-Apr-2017	18-May-2017

997AL4	1 9	GLO BNP PLEDGE	997A T	TATE TREET ANK TRUST O	100	2	19,900	08-Apr-2017	18-May-2017
997AL:	53	GLO MS PLEDGE	997A T	TATE TREET ANK 53 RUST O	10,000	()	08-Apr-2017	18-May-2017
997AL6	51	GLQ MS PLEDGE	997A T	TATE TREET ANK O RUST O	10,000	()	08-Apr-2017	18-May-2017
ELLIE	MAE, II	NC.							
Security	y	28849P100				Meeting 7	Гуре	Annual	
Ticker S	Symbol	ELLI				Meeting I	Date	17-May-2017	
ISIN		US28849P1003				Agenda		934563746 -	Management
Record	Date	22-Mar-2017				Holding F Date	Recon	22-Mar-2017	,
City /	Countr	V /	nited tates			Vote Dea Date	dline	16-May-2017	7
SEDOL	$\mathcal{L}(\mathbf{s})$					Quick Co	de		
Item	Propos	al		Propo by	sed	Vote		/Against nagement	
1.	DIREC	CTOR		Mana	gement				
	1	KAREN BLASING				For	For		
	2	JONATHAN CORR				For	For		
		ROBERT J. LEVIN				For	For		
	4 .	JEB S. SPENCER				For	For		
2.	THE A BOAR GRAN INDEF PUBLI THE C	ATIFY THE SELECTION UDIT COMMITTEE OF DOTON OF DIRECTORS, OF T THORNTON LLP ASPENDENT REGISTERES OF ACCOUNTING FIRM COMPANY FOR ITS FISTENDING DECEMBER	THE THE D MOF SCAL	Mana	gement	For	For		
3.		PROVE, ON AN ADVIS	SORY	Mana	gement	For	For		

BASIS, THE NAMED EXECUTIVE OFFICER COMPENSATION FOR THE FISCAL YEAR ENDED **DECEMBER 31, 2016 AS** DISCLOSED IN THE PROXY **STATEMENT**

TO CONSIDER A STOCKHOLDER

PROPOSAL IF PROPERLY

PRESENTED AT THE MEETING, 4.

RELATED TO THE

DECLASSIFICATION OF THE BOARD OF DIRECTORS.

Shareholder Against For

Account Number	Account Name	Internal Bal Custodian Account Sha	llot ares	Unava Shares	ilable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET BANK		0	07-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 47,9 TRUST CO	,900	0	07-Apr-2017	18-May-2017

SOLAR CAPITA	SOLAR CAPITAL LTD									
Security	83413U100			Meeting Typ	pe	Annual				
Ticker Symbol	SLRC			Meeting Dat	te	17-May-2017				
ISIN	US83413U1007			Agenda		934568518 - Management				
Record Date	22-Mar-2017			Holding Rec Date	con	22-Mar-2017				
City / Country	ý	/ United States		Vote Deadlin Date	ne	16-May-2017				
SEDOL(s)				Quick Code						
Item Proposa	al		Proposed by	Vote	For/Ag Manag	gainst gement				

		бу		Management	
1.	DIRECTOR	Management	Management		
	1 MR. BRUCE SPOHLER		For	For	
	2 MR. STEVEN HOCHBERG		For	For	
2.	TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY TO SELL SHARES OF ITS COMMON	Management	For	For	

STOCK AT A PRICE OR PRICES BELOW THE COMPANY'S THEN CURRENT NET ASSET VALUE PER SHARE IN ONE OR MORE OFFERINGS, IN EACH CASE SUBJECT TO THE APPROVAL OF ITS BOARD OF DIRECTORS AND COMPLIANCE WITH THE CONDITIONS SET FORTH IN THE PROXY STATEMENT PERTAINING THERETO (INCLUDING, WITHOUT LIMITATION, THAT THE NUMBER OF SHARES ISSUED DOES NOT EXCEED 25% OF THE COMPANY'S THEN OUTSTANDING COMMON STOCK IMMEDIATELY PRIOR TO EACH SUCH OFFERING).

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 30,900 TRUST CO	0 01-Apr-2017	18-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 100 TRUST CO	47,000 01-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 100 TRUST CO	75,000 01-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL49 37,631 TRUST CO	0 01-Apr-2017	18-May-2017
ARBOR REA	LTY TRUST, INC.			
Security	038923108		Meeting Type Annual	
Ticker Symbol	l ABR		Meeting Date 17-May-201	7

ISIN		US0389231087				Agenda		934587330 -	Management
Record	l Date	04-Apr-2017				Holding Date	Recon	04-Apr-2017	
City /	Cour	ntry /	United States			Vote Dea	adline	16-May-2017	7
SEDOI	L(s)					Quick C	ode		
Item	Prop	osal		Prop by	oosed	Vote		Against agement	
1.	DIRI	ECTOR		Man	nagement				
	1	IVAN KAUFMAN				For	For		
	2	MELVIN F. LAZAR				For	For		
	3	GEORGE TSUNIS				For	For		
2.	APPROVAL OF AN AMENDMENT AND RESTATEMENT TO THE ARBOR REALTY TRUST, INC. 2014 OMNIBUS STOCK INCENTIVE PLAN (THE "PLAN") TO AUTHORIZE AN ADDITIONAL 5,000,000 SHARES OF COMMON STOCK OF ARBOR REALTY TRUST, INC. FOR ISSUANCE UNDER THE PLAN. RATIFICATION OF THE			Man	nagement	For	For		
3.	YOU INDI PUB ARB	OINTMENT OF ERNS ING LLP AS THE EPENDENT REGISTE LIC ACCOUNTING FI OR REALTY TRUST, EAL YEAR 2017.	RED RM OF	Man	agement	For	For		
4.	THE REA EXE DISC	TISORY VOTE TO APICOMPENSATION OF LTY TRUST, INC.'S NOTE OFFICERS ACLOSED IN THE PROTEMENT.	ARBOR AMED S	Man	nagement	For	For		
5.	FREG ADV THE REA	TISORY VOTE ON TH QUENCY OF FUTURE TISORY VOTES TO AI COMPENSATION OF LTY TRUST, INC.'S N CUTIVE OFFICERS.	E PPROVE ARBOR	Man	nagement	3 Years	For		
Accour Numbe		Account Name	Interna Accour	l Sustod nt	. Ballot ian Shares		Unavail. Shares	able Vote Date	Date Confirmed
997GL	.Q	GLQ	997GL		172,300		0	12-Apr-2017	18-May-2017

STATE STREET BANK & TRUST CO

TPG SPECIALTY LENDING, INC.

Security 87265K102 Meeting Type Annual

Ticker Symbol TSLX Meeting Date 18-May-2017

ISIN US87265K1025 Agenda 934566285 - Management

Record Date 24-Mar-2017 Holding Recon 24-Mar-2017

Date

City / Country / United Vote Deadline 17-May-2017

States Date

SEDOL(s) Quick Code

Item Proposal Proposed by Vote For/Against Management

1. DIRECTOR Management

1 JOSHUA EASTERLY For For 2 MICHAEL FISHMAN For For

TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S

2. INDEPENDENT REGISTERED

Management For For

PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING

DECEMBER 31, 2017.

Account Name Internal Ballot Unavailable Vote Date Shares Shares Date Confirmed

STATE

STREET

997AL47 GLQ BNP PLEDGE 997AL47 100 49,400 08-Apr-2017 19-May-2017

TRUST

CO

TPG SPECIALTY LENDING, INC.

Security 87265K102 Meeting Type Special

Ticker Symbol TSLX Meeting Date 18-May-2017

ISIN US87265K1025 Agenda 934576147 - Management

Record Date 24-Mar-2017 Holding Recon 24-Mar-2017

Date 24-Mai

City / Country / United Vote Deadline 17-May-2017

States Date 17-14/ay-2017

SEDOL	EDOL(s)					Quick Code			
Item	Prop	osal		Proposed by	Vote		Against agement		
1.	AUT SELI SHA AT A THE VAL MOF SUB. ITS I SUB. SET ACC	APPROVE A PROPOSAL HORIZE THE COMPAN L OR OTHERWISE ISSUE RES OF ITS COMMON S A PRICE BELOW ITS N-CURRENT NET ASSE UE PER SHARE IN ONE RE OFFERINGS, IN EACH JECT TO THE APPROVA BOARD OF DIRECTORS JECT TO THE CONDITION FORTH IN THE OMPANYING PROXY TEMENT	Management	For	For				
Accoun		Account Name	Internal Accour	าเรเดดเลท	U S	Unavail Shares	able Vote Date	Date Confirmed	
997AL4	47	GLQ BNP PLEDGE	S' 997AL T	TATE TREET ANK 100 RUST	4	19,400 (08-Apr-2017	19-May-2017	
GLOBA	AL ME	EDICAL REIT INC.							
Security	y	37954A204			Meeting 7	Гуре	Annual		
Ticker S	Symbo	d GMRE			Meeting I	Date	18-May-2017		
ISIN		US37954A2042			Agenda		934609340 - 1	Management	
Record	Date	31-Mar-2017			Holding For Date	Recon	31-Mar-2017		
City /	Cour	ntrv /	Inited tates		Vote Dead Date	dline	17-May-2017		
SEDOL	L (s)				Quick Co	de			
Item	Prop	osal		Proposed by	Vote		Against agement		
1.	DIRI	ECTOR		Management					
	1	DAVID A. YOUNG			For	For			
	2	JEFFREY BUSCH			For	For			
	3	HENRY COLE			For	For			
	4 MATTHEW L. CYPHER, PHD				For	For			
	5 KURT R. HARRINGTON				For	For			

	6	ZHANG JINGGUO			For	For		
	7	RONALD MARSTON			For	For		
	8	DR. ROSCOE MOORE			For	For		
	9	ZHANG HUIQI			For	For		
2.	TO R. MAL COM REGI ACCO	ATIFY THE APPOINTM ONEBAILEY, LLP AS T PANY'S INDEPENDEN STERED PUBLIC DUNTING FIRM FOR T R ENDING DECEMBER	THE T Mana HE	agement	For	For		
Account Number		Account Name	Internal Custodi Account STATE	Ballot an Shares		Unavai Shares	ilable Vote Date	Date Confirmed
997GLV		GLV	STREE BANK 997G&V TRUST CO	Г 50,000		0	28-Apr-2017	19-May-2017
997AL45	5	GLV BNP PLEDGE	STATE STREE BANK 997A 45 TRUST CO	Г 27,000		0	28-Apr-2017	19-May-2017
997AL47	7	GLQ BNP PLEDGE	STATE STREE 997A & TRUST CO	121,000		0	28-Apr-2017	19-May-2017
997AL49)	GLO BNP PLEDGE	STATE STREE BANK 997A 249 TRUST CO	Γ 310,000		0	28-Apr-2017	19-May-2017
GOLDM	IAN S	ACHS BDC, INC.						
Security		38147U107			Meeting	Type	Annual	
Ticker Sy	ymbol	GSBD			Meeting	Date	19-May-2017	
ISIN		US38147U1079			Agenda		934560346 - N	Management
Record D	Date	20-Mar-2017			Holding Date	Recon	20-Mar-2017	
City /	Count	rry /					18-May-2017	

	United States	ag., ee.a. =qe.,	Vote Dead	lline		
SEDOL			Quick Cod	le		
Item	Proposal	Proposed by	Vote	For/A Manag	gainst gement	
1A.	ELECTION OF DIRECTOR: ROSS J KARI	. Management	For	For		
1B.	ELECTION OF DIRECTOR: ANN BLANE	Management	For	For		
2.	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LI AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For		
Accoun	Account Name Interr Acco	nal Custodian unt Shares	U S	Jnavaila hares	ble ote Date	Date Confirmed
997AL4		STATE STREET BANK L47 53,000 TRUST CO	0	2	8-Mar-2017	22-May-2017
NATIO	NAL GRID PLC					
Security	y 636274300		Meeting Type Annual			
Ticker S	Symbol NGG		Meeting D	Meeting Date 19-May-2		,
ISIN	US6362743006		Agenda		934599436 - 1	Management
Record	Date 12-Apr-2017		Holding R Date	econ	12-Apr-2017	
City /	Country / United States		Vote Dead Date	lline	12-May-2017	,
SEDOL	$\mathcal{L}(\mathbf{s})$		Quick Cod	le		
Item	Proposal	Proposed by	Vote	For/A Manag	gainst gement	
1.	TO APPROVE THE CONSOLIDATION OF SHARES	Management	For	For		
2.	TO AUTHORISE THE DIRECTORS TO ALLOT NEW ORDINARY SHARES	Management	For	For		
3.	TO DISAPPLY PRE-EMPTION RIGHTS	Management	For	For		

4.	TO DISAPPLY PRE-EMPTION RIGHTS FOR ACQUISITIONS	Managemen	t For	For		
5.	TO AUTHORISE THE COMPA TO PURCHASE ITS OWN NE ORDINARY SHARES		t For	For		
Accoun	Account Name	Internal Ballo Custodian Account Share	t es	Unavai Shares	lable Vote Date	Date Confirmed
997AL4	47 GLQ BNP PLEDGE	STATE STREET BANK 997AL47 45,80 TRUST CO	0	0	20-Apr-2017	16-May-2017
ARES (CAPITAL CORPORATION					
Security	y 04010L103		Meetir	ng Type	Annual	
Ticker	Symbol ARCC		Meetir	ng Date	22-May-2017	7
ISIN	US04010L1035		Agend	a	934557426 -	Management
Record	Date 09-Mar-2017		Holdin Date	ng Recon	09-Mar-2017	
City /	('ountry /	nited tates	Vote I Date	Deadline	19-May-2017	1
SEDOL	$\mathcal{L}(\mathbf{s})$		Quick	Code		
Item	Proposal	Proposed by	Vote		Against nagement	
1A.	ELECTION OF DIRECTOR: MICHAEL J AROUGHETI (CI DIRECTOR FOR A TERM OF YEARS EXPIRING IN 2020)	Managemen	ıt For	For		
1B.	ELECTION OF DIRECTOR: A TORRE BATES (CLASS I DIR FOR A TERM OF 3 YEARS EXPIRING IN 2020)		it For	For		
1C.	ELECTION OF DIRECTOR: S MCKEEVER (CLASS I DIREC FOR A TERM OF 3 YEARS EXPIRING IN 2020)		it For	For		
2.	TO RATIFY THE SELECTION KPMG LLP AS THE COMPANINDEPENDENT REGISTERE PUBLIC ACCOUNTING FIRM THE YEAR ENDING DECEM 2017.	NY'S D M FOR Managemen	at For	For		

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 50,000 TRUST CO	0 25-Mar-2017	23-May-2017
997GLQ	GLQ	STATE STREET 997GLO 20,000 TRUST CO	0 25-Mar-2017	23-May-2017
997GLO	GLO	STATE STREET 997GLO 8,309 TRUST CO	0 25-Mar-2017	23-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 168,300 TRUST CO	0 25-Mar-2017	23-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 301,400 TRUST CO	0 25-Mar-2017	23-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 100 TRUST CO	826,99125-Mar-2017	23-May-2017
997AL60	GLV MS PLEDGE	STATE STREET BANK 997AL 60 15,000 TRUST CO	0 25-Mar-2017	23-May-2017
997AL61	GLQ MS PLEDGE	997A ST ATE 110,000 STREET BANK &	0 25-Mar-2017	23-May-2017

TRUST CO

ARES CAPITAL	CORPORATION
AILS CALLAL	COMIDINATION

FOR FULL PROPOSAL).

TILLS	J1 11 11 11 11	L COM OMITION					
Security	I	04010L103			Meeting T	ype	Special
Ticker S	Symbol	ARCC			Meeting D	ate	22-May-2017
ISIN		US04010L1035			Agenda		934560598 - Management
Record	Date	09-Mar-2017			Holding Re Date	econ	09-Mar-2017
City /	Country	/	United States		Vote Dead Date	line	19-May-2017
SEDOL	L(s)				Quick Cod	le.	
Item	Proposa	ıl		Proposed by	Vote		against gement
1.	WITH TO BOARD OR OT ITS COORD BELOW ASSET SUBJECT STATE MEETI (INCLUSION OF THE BOARD OF THE BOA	THORIZE THE COM THE APPROVAL OF DIRECTORS, HERWISE ISSUE SOMMON STOCK AT WITS THEN CURRIC VALUE PER SHAFF COT TO THE LIMITA DETH IN THE PROSE MENT FOR THE SI NG OF STOCKHOL JOING,(DUE TO S, SEE PROXY STA	FITS TO SELL HARES OF A PRICE ENT NET RE ATIONS KY PECIAL LDERS SPACE	Management	For	For	

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997 $G_{\mathbb{K}}^{BANK}$ 50,000 TRUST CO	0 28-Mar-2017	23-May-2017
997GLQ	GLQ	STATE STREET 997 G_{χ}^{BANK} 20,000 TRUST CO	0 28-Mar-2017	23-May-2017
997GLO	GLO	997G EO ATE 8,309 STREET BANK	0 28-Mar-2017	23-May-2017

		_	-	_					
				RUST O					
997AL	45	GLV BNP PLEDGE	S' 997A <mark>B</mark>	TATE TREET ANK 45 RUST	168,300	0		28-Mar-2017	23-May-2017
997AL	47	GLQ BNP PLEDGE	C S' S' 997AL	TATE TREET ANK	301,400	0		28-Mar-2017	23-May-2017
997AL-	49	GLO BNP PLEDGE	C S' S' 997AL	RUST O TATE TREET ANK 49 C RUST	100	8	26,99	128-Mar-2017	23-May-2017
997AL0	60	GLV MS PLEDGE	C S' S' 997A & T	TATE TREET ANK O RUST	15,000	0		28-Mar-2017	23-May-2017
997AL0	51	GLQ MS PLEDGE	S' S' 997A & T'	TATE TREET ANK 61 RUST	110,000	0		28-Mar-2017	23-May-2017
MERC	K & CO.	, INC.							
Security	y	58933Y105				Meeting T	ype	Annual	
Ticker S	Symbol	MRK				Meeting D	ate	23-May-2017	
ISIN		US58933Y1055				Agenda		934581439 - 1	Management
Record	Date	27-Mar-2017				Holding R Date	econ	27-Mar-2017	
City /	Country	<i>u</i> /	United States			Vote Dead Date	lline	22-May-2017	
SEDOL	L(s)					Quick Cod	le		
Item	Proposa	al		Propo by	sed	Vote		/Against nagement	
1A.	ELECT	TION OF DIRECTOR:	LESLIE	Mana	gement	For	For		

	A. BRUN			
1B.	ELECTION OF DIRECTOR: THOMAS R. CECH	Management	For	For
1C.	ELECTION OF DIRECTOR: PAMELA J. CRAIG	Management	For	For
1D.	ELECTION OF DIRECTOR: KENNETH C. FRAZIER	Management	For	For
1E.	ELECTION OF DIRECTOR: THOMAS H. GLOCER	Management	For	For
1F.	ELECTION OF DIRECTOR: ROCHELLE B. LAZARUS	Management	For	For
1G.	ELECTION OF DIRECTOR: JOHN H. NOSEWORTHY	Management	For	For
1H.	ELECTION OF DIRECTOR: CARLOS E. REPRESAS	Management	For	For
1I.	ELECTION OF DIRECTOR: PAUL B. ROTHMAN	Management	For	For
1J.	ELECTION OF DIRECTOR: PATRICIA F. RUSSO	Management	For	For
1K.	ELECTION OF DIRECTOR: CRAIG B. THOMPSON	Management	For	For
1L.	ELECTION OF DIRECTOR: WENDELL P. WEEKS	Management	For	For
1M.	ELECTION OF DIRECTOR: PETER C. WENDELL	Management	For	For
2.	NON-BINDING ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	NON-BINDING ADVISORY VOTE ON THE FREQUENCY OF FUTURE VOTES TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
4.	RATIFICATION OF THE APPOINTMENT OF THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
5.	SHAREHOLDER PROPOSAL REQUESTING AN INDEPENDENT BOARD CHAIRMAN.	Shareholder	Against	For
6.	SHAREHOLDER PROPOSAL	Shareholder	Against	For

REQUESTING IMPLEMENTATION

OF A SET OF EMPLOYEE PRACTICES IN ISRAEL/PALESTINE.

SHAREHOLDER PROPOSAL

7. REQUESTING A REPORT ON CONDUCTING BUSINESS IN CONFLICT- AFFECTED AREAS.

SHAREHOLDER PROPOSAL

8. REQUESTING A REPORT ON BOARD OVERSIGHT OF PRODUCT Shareholder Against For

SAFETY AND QUALITY.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 12,900 TRUST CO	0 11-Apr-2017	24-May-2017
997GLQ	GLQ	STATE STREET 997GLQ 13,900 TRUST CO	0 11-Apr-2017	24-May-2017
997GLO	GLO	STATE STREET 997GLO 34,500 TRUST CO	0 11-Apr-2017	24-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 100 TRUST CO	43,300 11-Apr-2017	24-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 38,200 TRUST CO	41,800 11-Apr-2017	24-May-2017
997AL49	GLO BNP PLEDGE	997A S49 ATE 100 STREET BANK	174,400l1-Apr-2017	24-May-2017

& **TRUST** CO **STATE STREET** 997AL60 10,000 **GLV MS PLEDGE** 0 997AL60 11-Apr-2017 24-May-2017 **TRUST** CO LIBERTY INTERACTIVE CORPORATION Security 53071M856 Meeting Type Annual Ticker Symbol LVNTA Meeting Date 24-May-2017 **ISIN** Agenda 934605859 - Management US53071M8560 Holding Recon Record Date 03-Apr-2017 03-Apr-2017 Date Vote Deadline United 23-May-2017 City / Country States Date SEDOL(s) Quick Code Proposed For/Against Vote **Proposal** Item Management by 1. DIRECTOR Management EVAN D. MALONE For For DAVID E. RAPLEY For For 3 LARRY E. ROMRELL For For A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR 2. INDEPENDENT AUDITORS FOR Management For For THE FISCAL YEAR ENDING **DECEMBER 31,2017** THE SAY-ON-PAY PROPOSAL, TO APPROVE, ON AN ADVISORY For 3. BASIS, THE COMPENSATION OF Management For **OUR NAMED EXECUTIVE** OFFICERS. THE SAY-ON-FREQUENCY PROPOSAL, TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY AT WHICH 4. Management 3 Years For STOCKHOLDERS ARE PROVIDED AN ADVISORY VOTE ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.

Account Name Custodian Vote Date

Account Number		Internal Account	Ballot Shares	Unava Shares		Date Confirmed
997GLV	GLV	STATE STREET 997G&V TRUST CO	Γ 14,200	0	26-Apr-2017	25-May-2017
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	Γ 20,000	0	26-Apr-2017	25-May-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	Γ 5,937	0	26-Apr-2017	25-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 TRUST CO	Γ 100	50,900) 26-Apr-2017	25-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 TRUST CO	Γ 101	136,30	0026-Apr-2017	25-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 TRUST CO	Γ 384,525	0	26-Apr-2017	25-May-2017
997AL60	GLV MS PLEDGE	STATE STREET BANK 997AL60 TRUST CO	Γ 35,343	0	26-Apr-2017	25-May-2017
997AL61	GLQ MS PLEDGE	997A ST ATE STREET BANK &		0	26-Apr-2017	25-May-2017

TRUST CO

FNVISION	I HEAT THOA	RE CORPORATION
1 2 N V 1 2 1 1 2 1 N		

Securit		29414D100	KATION		Meeting 7	Type	Annual	
Ticker	•				Meeting 1	• •	25-May-2017	,
ISIN	5 y moo	US29414D1000			Agenda	Duic	934582304 -	
Record	Date	29-Mar-2017			Holding I Date	Recon	29-Mar-2017	management
City /	Coun	atry /	United States		Vote Dea Date	dline	24-May-2017	,
SEDOI	$\mathcal{L}(s)$				Quick Co	ode		
Item	Prop	osal		Proposed by	Vote		Against agement	
1.	DIRE	ECTOR		Management				
	1	CAROL J. BURT			For	For		
	2	CHRISTOPHER A. HO	OLDEN		For	For		
	3	CYNTHIA S. MILLEF	₹		For	For		
	4	RONALD A. WILLIA	MS		For	For		
2.	ADV HEA	ROVAL, ON A NON-BI ISORY BASIS, OF EN' LTHCARE CORPORAT CUTIVE COMPENSAT	VISION TION'S	Management	For	For		
3.	ADV FREG ADV ENV COR	ROVAL, ON A NON-BITSORY BASIS, OF THIT QUENCY OF FUTURE ISORY VOTES APPROTES APPROTES APPROTES ON HEALTHCARE PORATION'S EXECUTIFENSATION.	E OVING	Management	3 Years	Agai	nst	
4.	APPO TOU HEA INDI PUB	IFICATION OF THE DINTMENT OF DELOI CHE LLP AS ENVISIO LTHCARE CORPORATEPENDENT REGISTER LIC ACCOUNTING FIF YEAR ENDING DECE	N FION'S RED RM FOR	Management	For	For		
Accour Numbe		Account Name	Interna Accoun	JISTOGIAN	1	Unavail Shares	able Vote Date	Date Confirmed
997GL	Q	GLQ	997G S S	Q ATE 9,900 TREET	•	0	14-Apr-2017	26-May-2017

BANK &

				RUST O						
				TATE						
				TREET						
997GL	O	GLO	997GL	L		0		14-Apr-2017	26-May-2017	
				RUST CO						
				TATE						
997AL	47	GLQ BNP PLEDGE	TREET SANK 47 100		0.4	500	14 Apr 2017	26 May 2017		
991AL	+ /	GLQ BNF FLEDGE	997AL X	RUST	9,500			14-Apr-2017 26-May-20		
				XO						
				TATE						
007.41	40			TREET ANK				14.4 2017	26 Mars 2017	
997AL	49	GLO BNP PLEDGE	997A <mark>&</mark>			18	,500	14-Apr-2017	26-May-2017	
				RUST CO						
PENNY	YMAC N	ORTGAGE INVESTME	NT TR	UST						
Security	y	70931T103			Me	Meeting Type		Annual		
Ticker	Symbol	l PMT			Me	Meeting Date		25-May-2017		
ISIN		US70931T1034			Ag	Agenda		934583685 -	Management	
Record Date		31-Mar-2017			Holding Recon Date		31-Mar-2017			
City /	Country / United States					Vote Deadline Date		24-May-2017	,	
SEDOL(s)					Qu	Quick Code				
Item	Proposal			Proposed by	Vo	ote	For/Against Management			
1.	DIREC	CTOR		Management						
	1 1	PRESTON DUFAUCHAR	RD		For	r	For			
	2	NANCY MCALLISTER			For	r	For			
	3	STACEY D. STEWART			For	r	For			
		TIFY THE APPOINTME								
2	DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED				For	_	Г.			
2.		PUBLIC ACCOUNTING FIRM FOR Management THE FIGURE AND FIRM FOR					For			
		ISCAL YEAR ENDING MBER 31, 2017.								
	TO APPROVE, BY NON-BINDING									
3.		OUR EXECUTIVE ENSATION.		Management	For	r	For			
	COMP	ENSATION.								

TO RECOMMEND, BY NON-BINDING VOTE, THE 4. Management 3 Years Against FREQUENCY OF OUR EXECUTIVE COMPENSATION VOTE. Unavailable Vote Date Account Internal Ballot Custodian Shares Date Account Name Number Confirmed **STATE STREET** 997AL45 **GLV BNP PLEDGE** 10,314 79,400 15-Apr-2017 26-May-2017 **TRUST** CO **STATE STREET** 7,088 997AL47 GLQ BNP PLEDGE 199,90015-Apr-2017 26-May-2017 **TRUST** CO **STATE STREET** GLO BNP PLEDGE 114 997AL49 373,90015-Apr-2017 26-May-2017 **TRUST** CO NXSTAGE MEDICAL, INC. Meeting Type Security 67072V103 Annual Ticker Symbol **NXTM** Meeting Date 25-May-2017 **ISIN** US67072V1035 Agenda 934607473 - Management Holding Recon 27-Mar-2017 Record Date 27-Mar-2017 Date United Vote Deadline 24-May-2017 City / Country States Date SEDOL(s) Quick Code Proposed For/Against Proposal Vote Item Management by 1. DIRECTOR Management For 1 JEFFREY H. BURBANK For 2 For HEYWARD R. DONIGAN For

For

For

For

For

For

For

For

For

3

4

5

ROBERT G. FUNARI

DANIEL A. GIANNINI

EARL R. LEWIS

JEAN K. MIXER

For

For

7 CRAIG W. MOORE

	, ,	cidilo w. woold	L		1 01	1 01		
	8]	REID S. PERPER			For	For		
	9	JAMES J. PETERS			For	For		
2.	EXEC	SORY VOTE ON C UTIVE OFFICERS PENSATION.		Management	For	For		
3.	FREQUADVIS	SORY VOTE ON TUENCY OF FUTUING ON THE SORY VOTES ON ED EXECUTIVE OF PENSATION.	RE OUR	Management	3 Years	Agair	ast	
4.	ERNS' INDEF PUBLI	ATIFY THE APPOINT & YOUNG LLP APPENDENT REGISTIC ACCOUNTING	AS OUR ERED FIRM FOR	Management	For	For		
5.	AMEN MAJO FOR U	PPROVE BY-LAW IDMENT TO ADO RITY VOTING ST INCONTESTED D ITIONS.	ANDARD	Management	For	For		
6.	RESTA INCEN MATE	PPROVE AMENDE ATED 2014 OMNIE VTIVE PLAN AND CRIAL TERMS FOE NUE CODE SECTI OSES.	BUS ITS R INTERNAL	Management	For	For		
Accoun Number		Account Name		l Ballot Justodian Shares	U S	Jnavaila Shares	ble ote Date	Date Confirmed
997GL0	Q	GLQ	S' 997G <mark>&</mark> T	TATE TREET ANK C 53,600 RUST	C) 2	7-Apr-2017	26-May-2017
RSP PE	ERMIAN	INC						
Security	y	74978Q105			Meeting T	Гуре	Annual	
Ticker S	Symbol	RSPP			Meeting I	Date	25-May-2017	7
ISIN		US74978Q1058			Agenda		934611600 -	Management
Record	Date	03-Apr-2017			Holding R Date	Recon	03-Apr-2017	
City /	Countr	у	/ United States		Vote Dead Date	dline	24-May-2017	7

SEDOL(s)						Quick Code				
Item	Proposal			Proposed by	I	Vote		For/Against Management		
1.	DIRECTOR			Managen	nent					
	1 5	STEVEN GRAY				For	For			
	2 1	MICHAEL GRIMM				For	For			
	3 5	SCOTT MCNEILL				For	For			
2.	RATIFICATION OF THE APPOINTMENT OF GRANT THORNTON LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.			Managen	nent	For	For	For		
3.	BASIS	OVAL, ON AN ADV , OF THE COMPAN UTIVE COMPENSA	Managen	nent	For	For				
Accoun Number		Account Name	Interna Accou	d Ba Justodian nt Sh	allot	Ţ	Unavail; Shares	able Vote Date	Date Confirmed	
997GL0	Q	GLQ	997G 3	STATE STREET SANK Q 16 SRUST CO	,400	(0 2	29-Apr-2017	26-May-2017	
TRI PO	INTE G	ROUP, INC.								
Security	y	87265H109				Meeting Type		Annual		
Ticker	Symbol	TPH				Meeting Date		26-May-2017		
ISIN		US87265H1095				Agenda		934571591 -	Management	
Record Date 28-Mar-2017					Holding Recon Date		28-Mar-2017			
City /	Countr	y	/ United States			Vote Dead Date	dline	25-May-2017	7	
SEDOL(s)						Quick Code				
Item	Proposal			Proposed by	l	Vote	te For/Against Management			
1.1		TION OF DIRECTO LAS F. BAUER	R:	Managen	nent	For	For			
1.2		TION OF DIRECTO ENCE B. BURROV		Managen	nent	For	For			
1.3				Managen	nent	For	For			

		_aga	i i iiii igi Gioa	gr. Grobar Equit	<i>y</i> . aa		^	
	ELECT S. FUL	TION OF DIRECTO	OR: DANIEL					
1.4	ELECT J. GILI	TION OF DIRECTO BERT	OR: STEVEN	Management	For	For		
1.5	ELECTION OF DIRECTOR: CONSTANCE B. MOORE			Management	For	For		
1.6		TION OF DIRECTO AS B. ROGERS	OR:	Management	For	For		
2.	BASIS OF TR	OVAL, ON AN AD , OF THE COMPE I POINTE GROUP D EXECUTIVE O	NSATION ,INC.'S	Management	For	For		
3.	APPOI YOUN GROU REGIS ACCO	ICATION OF THE NTMENT OF ERN G LLP AS TRI PO P,INC.'S INDEPEN TERED PUBLIC UNTING FIRM FO L YEAR ENDING 7.	IST & INTE IDENT OR THE	Management	For	For		
Accoun		Account Name	Interna Accour	ustogian		Unavail Shares	able Vote Date	Date Confirmed
997GL0	Q	GLQ	S' 997G <mark>&</mark> T	TATE TREET ANK 85,500 RUST		0	15-Apr-2017	15-Apr-2017
CRISPI	R THER.	APEUTICS AG						
Security	y	H17182108			Meeting	Type	Annual	
Ticker	Symbol	CRSP			Meeting Date		31-May-2017	
ISIN		CH0334081137			Agenda		934597812 - Management	
Record	Date	03-Apr-2017			Holding Date	Recon	03-Apr-2017	
City /	Country / Switzerlan		nd	Vote Deadline Date		30-May-2017		
SEDOL(s)					Quick Co	ode		
Item	Proposa	al		Proposed by	Vote		Against agement	
1.	REPOR	PPROVAL OF TH RT, THE CONSOL ICIAL STATEMEN	IDATED	Management	For	For		

	THE STATUTORY FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR ENDED DECEMBER 31, 2016.			
2.	THE APPROVAL OF THE APPROPRIATION OF FINANCIAL RESULTS.	Management	For	For
3.	THE DISCHARGE OF THE MEMBERS OF THE BOARD OF DIRECTORS AND EXECUTIVE COMMITTEE.	Management	For	For
4A.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: N. ANTHONY COLES, M.D., AS MEMBER AND CHAIRMAN.	Management	For	For
4B.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: RODGER NOVAK, M.D.	Management	For	For
4C.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: BRADLEY BOLZON, PH.D.	Management	For	For
4D.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: ALI BEHBAHANI, M.D.	Management	For	For
4E.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: KURT VON EMSTER.	Management	For	For
4F.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: SIMEON J. GEORGE, M.D.	Management	For	For
4G.	RE-ELECTION OF MEMBERS TO THE BOARD OF DIRECTOR: THOMAS WOIWODE, PH.D.	Management	For	For
4H.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: PABLO CAGNONI, M.D.	Management	For	For
5A.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: THOMAS WOIWODE, PH.D.	Management	For	For
5B.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: PABLO CAGNONI, M.D.	Management	For	For
5C.	RE-ELECTION OF MEMBER OF THE COMPENSATION	Management	For	For

	COMMITTEE: N. ANTHONY COLES, M.D.			
5D.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: SIMEON J. GEORGE, M.D.	Management	For	For
6A.	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATED COMPENSATION FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management	For	For
6B.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management	For	For
6C.	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATED COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM JULY 1, 2017 TO JUNE 30, 2018.	Management	For	For
6D.	BINDING VOTE ON TOTAL VARIABLE COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FOR THE CURRENT YEAR ENDING DECEMBER 31, 2017.	Management	For	For
6E.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management	For	For
7.	THE APPROVAL OF AN INCREASE IN THE CONDITIONAL SHARE CAPITAL FOR EMPLOYEE BENEFIT PLANS.	Management	For	For
8.	THE APPROVAL OF THE AMENDED AND RESTATED CRISPR THERAPEUTICS AG 2016 STOCK OPTION AND INCENTIVE PLAN.	Management	For	For

9.	INDEP	E-ELECTION OF T ENDENT VOTING ESENTATIVE.		Mana	gement	For	For		
10.	THE R AUDIT	E-ELECTION OF T CORS.	HE	Mana	gement	For	For		
11.		PPROVAL OF THE E REGISTERED OF		Mana	gement	For	For		
Accoun	4	Account Name	Internal Accoun	l ustodia it	Ballot Shares		Unavai Shares	ilable Vote Date	Date Confirmed
997GL0	Q	GLQ	S' 997G <mark>&</mark> T	TATE TREET ANK Q RUST O	57,100		0	22-Apr-2017	01-Jun-2017
997GL0	O	GLO	S' 997GE T	TATE TREET ANK E RUST O	T 145,900		0	22-Apr-2017	01-Jun-2017
LOGM	EIN, INC	C							
Security	y	54142L109				Meeting	Type	Annual	
Ticker S	Symbol	LOGM				Meeting	Date	01-Jun-2017	•
ISIN		US54142L1098				Agenda		934582784 -	- Management
Record	Date	03-Apr-2017				Holding Date	Recon	03-Apr-201	7
City /	Country	y	/ United States			Vote De Date	eadline	31-May-201	7
SEDOL	$\mathcal{L}(\mathbf{s})$					Quick C	Code		
Item	Propos	al		Propo by	osed	Vote		/Against nagement	
1.1		TION OF CLASS II I D J. HENSHALL	DIRECTOR:	Mana	gement	For	For		
1.2		TION OF CLASS II I J. SACRIPANTI	DIRECTOR:	Mana	gement	For	For		
1.3		TION OF CLASS II I AM R. WAGNER	DIRECTOR:	Mana	gement	For	For		
2.	OF DE INDEP PUBLI	ICATION OF APPO LOITTE & TOUCH ENDENT REGISTE C ACCOUNTING F L YEAR ENDING I	E LLP AS ERED FIRM FOR		gement	For	For		

31, 2017. ADVISORY VOTE FOR THE 3. Management APPROVAL OF THE COMPANY'S For For EXECUTIVE COMPENSATION. ADVISORY VOTE ON THE FREQUENCY OF FUTURE 4. Management 3 Years Against **EXECUTIVE COMPENSATION** ADVISORY VOTES. Unavailable Vote Date Internal Ballot Custodian Shares Date Account Account Name Number Shares Confirmed **STATE STREET** 18,300 997GLQ **GLQ** 0 14-Apr-2017 02-Jun-2017 **TRUST** CO **STATE** STREET GLQ BNP PLEDGE 100 997AL47 18,100 14-Apr-2017 02-Jun-2017 **TRUST** CO PARSLEY ENERGY, INC. Meeting Type 701877102 Security Annual Ticker Symbol PE Meeting Date 02-Jun-2017 **ISIN** Agenda 934591238 - Management US7018771029 Holding Recon Record Date 06-Apr-2017 06-Apr-2017 Date Vote Deadline United 01-Jun-2017 City / Country States Date SEDOL(s) **Ouick Code** Proposed For/Against Vote Item Proposal by Management 1. DIRECTOR Management **BRYAN SHEFFIELD** For For A. R. ALAMEDDINE For For TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED 2. Management For For PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING

Management

For

For

DECEMBER 31, 2017.

3.

TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPANY'S NAMED EXECUTIVE OFFICER COMPENSATION FOR THE FISCAL YEAR ENDED DECEMBER 31, 2016.

TO ADOPT AN AMENDMENT TO THE COMPANY'S AMENDED AND

RESTATED BYLAWS TO IMPLEMENT A MAJORITY VOTING Management 4. STANDARD IN UNCONTESTED DIRECTOR ELECTIONS.

For For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLO 22,900 TRUST CO	0 19-Apr-2017	05-Jun-2017
997GLO	GLO	STATE STREET 997GLO 59,700 TRUST CO	0 19-Apr-2017	05-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 400 TRUST CO	20,100 19-Apr-2017	05-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 590 TRUST CO	51,200 19-Apr-2017	05-Jun-2017

COGNIZANT TECHNOLOGY SOLUTIONS CORP.

Security	192446102	Meeting Type	Annual
Ticker Symbol	CTSH	Meeting Date	06-Jun-2017
ISIN	US1924461023	Agenda	934600265 - Management
Record Date	10-Apr-2017	Holding Recon Date	10-Apr-2017
City / Country	y /		05-Jun-2017

by Managem 1A. ELECTION OF DIRECTOR: ZEIN ABDALLA 1B. ELECTION OF DIRECTOR: BETSY S. ATKINS 1C. ELECTION OF DIRECTOR: MAUREEN BREAKIRON-EVANS 1D. ELECTION OF DIRECTOR: JONATHAN CHADWICK Management Management For For For Management For For For		United States		Vote Dead Date	line
by Managem 1A. ELECTION OF DIRECTOR: ZEIN ABDALLA 1B. ELECTION OF DIRECTOR: BETSY S. ATKINS 1C. ELECTION OF DIRECTOR: MAUREEN BREAKIRON-EVANS 1D. ELECTION OF DIRECTOR: JONATHAN CHADWICK Management Management For For For Management For For For	SEDOL	$a(\mathbf{s})$		Quick Cod	e
ABDALLA ABDALLA Management For For IB. ELECTION OF DIRECTOR: BETSY S. ATKINS Management For For For IC. ELECTION OF DIRECTOR: MAUREEN BREAKIRON- EVANS ID. ELECTION OF DIRECTOR: JONATHAN CHADWICK Management For For For For	Item	Proposal	•	Vote	For/Against Management
S. ATKINS BLECTION OF DIRECTOR: MAUREEN BREAKIRON- EVANS Management For For For Horizontal For For For For Management For For For For For For For For	1A.		Management	For	For
MAUREEN BREAKIRON- EVANS ELECTION OF DIRECTOR: JONATHAN CHADWICK Management For For For	1B.		Management	For	For
ID. JONATHAN CHADWICK Management For For	1C.		Management	For	For
	1D.		Management	For	For
1E. ELECTION OF DIRECTOR: JOHN M. Management For For	1E.		Management	For	For
1F. ELECTION OF DIRECTOR: Management For For	1F.		Management	For	For
1G. ELECTION OF DIRECTOR: JOHN N. Management For For	1G.		Management	For	For
1H. ELECTION OF DIRECTOR: JOHN E. KLEIN Management For For	1H.		Management	For	For
1I. ELECTION OF DIRECTOR: LEO S. Management For For	1I.		Management	For	For
1J. ELECTION OF DIRECTOR: Management For For	1J.		Management	For	For
1K. ELECTION OF DIRECTOR: ROBERT Management For For	1K.		Management	For	For
APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE 2. COMPENSATION OF THE Management For For COMPANY'S NAMED EXECUTIVE OFFICERS.	2.	(NON-BINDING) BASIS, OF THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE	Management	For	For
APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE FREQUENCY OF FUTURE 3. ADVISORY VOTES ON THE Management 3 Years Against COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	3.	(NON-BINDING) BASIS, OF THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE	Management	3 Years	Against
4. APPROVAL OF THE COMPANY'S 2017 INCENTIVE AWARD PLAN. Management For For	4.		Management	For	For
5. RATIFICATION OF THE Management For For APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP	5.	APPOINTMENT OF	C	For	For

AS THE COMPANY'S
INDEPENDENT REGISTERED
PUBLIC ACCOUNTING FIRM FOR
THE YEAR ENDING DECEMBER 31,
2017.

STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD OF DIRECTORS TAKE THE STEPS

6. NECESSARY TO ELIMINATE THE SUPERMAJORITY VOTING PROVISIONS OF THE COMPANY'S CERTIFICATE OF INCORPORATION AND BY-LAWS.

STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD OF DIRECTORS TAKE THE STEPS

7. NECESSARY TO PERMIT STOCKHOLDER ACTION BY WRITTEN CONSENT.

Management For For

Shareholder Against For

Account Number	Account Name	Internal Custodi Account	Ballot an Shares	Unava Share	ailable Vote Date s	Date Confirmed
997GLQ	GLQ	STATE STREE 997G & Q TRUST CO	T 23,500	0	21-Apr-2017	07-Jun-2017
997GLO	GLO	STATE STREE 997G&O TRUST CO	39,400	0	21-Apr-2017	07-Jun-2017
997AL45	GLV BNP PLEDGE	STATE STREE BANK 997AL 45 TRUST CO	Т 13,900	0	21-Apr-2017	07-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREE 997A _{&} 49 TRUST CO	Т 100	76,60	0 21-Apr-2017	07-Jun-2017
997AL60	GLV MS PLEDGE	997AL60	5,000	0	21-Apr-2017	07-Jun-2017

STATE STREET BANK & **TRUST** CO **STATE STREET GLQ MS PLEDGE** 23,000 997AL61 0 21-Apr-2017 07-Jun-2017 **TRUST** CO LADDER CAPITAL CORP Security Meeting Type Annual 505743104 Ticker Symbol Meeting Date 06-Jun-2017 LADR US5057431042 **ISIN** Agenda 934601560 - Management Holding Recon Record Date 10-Apr-2017 10-Apr-2017 Date United Vote Deadline 05-Jun-2017 City / Country States Date SEDOL(s) Quick Code **Proposed** For/Against Item Proposal Vote Management by 1. **DIRECTOR** Management **BRIAN HARRIS** For For MARK ALEXANDER For For TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP 2. Management AS THE INDEPENDENT For For REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017. Unavailable Vote Date Internal Ballot Account Shares Account Date Account Name Number Confirmed **STATE STREET** 107,000 0 25-Apr-2017 997GLQ **GLQ** 07-Jun-2017

> TRUST CO

997A**S**45ATE 102

STREET BANK &

997AL45

GLV BNP PLEDGE

07-Jun-2017

55,416 25-Apr-2017

				RUST					
997AL	47	GLQ BNP PLEDGE	S 997A T	TATE TREET ANK A TRUST	Γ 193		131,18	©5-Apr-2017	07-Jun-2017
997AL	49	GLO BNP PLEDGE	S 997A & T	TATE TREET ANK TRUST	Г 230,242		0	25-Apr-2017	07-Jun-2017
997AL	61	GLQ MS PLEDGE	S 997A <mark>&</mark> T	TATE TREET ANK OF TRUST	30,000		0	25-Apr-2017	07-Jun-2017
BIOMA	ARIN F	HARMACEUTICAL IN	C.						
Securit	у	09061G101				Meeting	Type	Annual	
Ticker	Symbo	l BMRN				Meeting	Date	06-Jun-2017	
ISIN		US09061G1013				Agenda		934601611 -	Management
Record	Date	10-Apr-2017				Holding Recon Date 10-Apr-2		10-Apr-2017	7
City /	Coun	trv /	United States			Vote Deadline Date 05-Jun-		05-Jun-2017	
SEDOI	$\mathcal{L}(s)$					Quick Code			
Item	Propo	osal		Propo by	osed	Vote For/Against Management			
1.	DIRE	CCTOR		Mana	gement				
	1	JEAN-JACQUES BIEN	AIME			For	For		
	2	WILLARD DERE				For	For		
	3	MICHAEL GREY				For	For		
	4	ELAINE J. HERON				For	For		
	5	V. BRYAN LAWLIS				For	For		
	6 7	ALAN J. LEWIS RICHARD A. MEIER				For For	For For		
	8	DAVID PYOTT				For	For		
	9	DENNIS J. SLAMON				For	For		
2.	TO R	ATIFY THE SELECTIOG LLP AS THE INDEPE		Mana	gement	For	For		

REGISTERED PUBLIC ACCOUNTING FIRM FOR BIOMARIN FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017. TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE STOCKHOLDERS' APPROVAL, ON AN ADVISORY BASIS, OF THE 3. Management 3 Years Against COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT. TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY'S NAMED Management 4. For For **EXECUTIVE OFFICERS AS** DISCLOSED IN THE PROXY STATEMENT. TO APPROVE THE 2017 EQUITY 5. Management For For INCENTIVE PLAN. TO APPROVE AMENDMENTS TO **BIOMARIN'S AMENDED AND** RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED, TO (I) INCREASE THE TOTAL 6. NUMBER OF AUTHORIZED Management For For SHARES OF COMMON STOCK FROM 250,000,000 SHARES TO 500,000,000 SHARES, AND (II) MAKE CERTAIN MINOR ADMINISTRATIVE CHANGES.

Account Number	Account Name	Internal Custodia Account	Ballot an Shares	Unava Shares	ilable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREE 997GL TRUST CO		0	26-Apr-2017	07-Jun-2017
997GLO	GLO	STATE STREE 997GLO TRUST CO	Γ 15,500	0	26-Apr-2017	07-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	100	7,400	26-Apr-2017	07-Jun-2017

		_	_					
			S B & T	TATE TREET SANK z RUST CO				
997AL-	19	GLO BNP PLEDGE	S 997AI T	TATE TREET ANK 49 100 CRUST CO	1	1,800 2	26-Apr-2017	07-Jun-2017
997AL6	51	GLQ MS PLEDGE	S 997AL T	TATE TREET SANK 61 4,100 CRUST CO	() 2	26-Apr-2017	07-Jun-2017
SALES	FORCE.	COM, INC.						
Security		79466L302			Meeting 7	Type	Annual	
Ticker S		CRM			Meeting I		06-Jun-2017	
ISIN	,	US79466L3024			Agenda			Management
Record	Date	12-Apr-2017			Holding F	Recon	12-Apr-2017	_
City /	Country	v /	Inited tates		Vote Dead Date	dline	05-Jun-2017	
SEDOL	$\mathcal{L}(\mathbf{s})$				Quick Co	de		
Item	Proposa	al		Proposed by	Vote		Against agement	
1A.	ELECT BENIO	TION OF DIRECTOR: N PFF	MARC	Management	For	For		
1B.	ELECT BLOCK	TION OF DIRECTOR: F K	KEITH	Management	For	For		
1C.	ELECT CONW	TION OF DIRECTOR: C 'AY	CRAIG	Management	For	For		
1D.		TION OF DIRECTOR: A ENFELD	ALAN	Management	For	For		
1E.	ELECT KROES	TION OF DIRECTOR: N	NEELIE	Management	For	For		
1F.	ELECT POWE	TION OF DIRECTOR: (LL	COLIN	Management	For	For		
1G.		TION OF DIRECTOR: ORD ROBERTSON		Management	For	For		
1H.				Management	For	For		

	ELECTION OF DIRECTOR: JC ROOS	OHN V.				
1I.	ELECTION OF DIRECTOR: ROWASHINGTON	OBIN	Management	For	For	
1J.	ELECTION OF DIRECTOR: MAYNARD WEBB		Management	For	For	
1K.	ELECTION OF DIRECTOR: SU WOJCICKI	JSAN	Management	For	For	
2.	APPROVAL TO AMEND OUR EQUITY INCENTIVE PLAN, INCLUDING TO INCREASE T NUMBER OF SHARES AUTHORIZED FOR GRANT B MILLION SHARES.	HE	Management	For	For	
3.	APPROVAL TO AMEND OUR EMPLOYEE STOCK PURCHA PLAN TO INCREASE THE NU OF SHARES AUTHORIZED FOR EMPLOYEE PURCHASE BY 8 MILLION SHARES.	SE JMBER OR	Management	For	For	
4.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM THE FISCAL YEAR ENDING JANUARY 31, 2018.)	Management	For	For	
5.	TO APPROVE, ON AN ADVISUABLE BASIS, THE FISCAL 2017 COMPENSATION OF OUR NATIONAL EXECUTIVE OFFICERS.		Management	For	For	
6.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.	Ε	Management	3 Years	Against	
7.	A STOCKHOLDER PROPOSAL REQUESTING, ON AN ADVIS BASIS, ACTION TO ALLOW STOCKHOLDERS TO REQUE SPECIAL MEETINGS OF STOCKHOLDERS.	SORY	Shareholder	Against	For	
Account Number	Account Name	Internal Account	astodian Ballot Shares	U S	Jnavailable Vote Date Shares	Date Confirmed
				_		

997G**SQ**ATE 15,100

STREET

0

27-Apr-2017

997GLQ

GLQ

07-Jun-2017

		BANK & TRUST CO				
997GLO	GLO	STATE STREET 997GLO TRUST CO	7 28,400	0	27-Apr-2017	07-Jun-2017
997AL53	GLO MS PLEDGE	STATE STREET 997AL S3 TRUST CO	T 10,000	0	27-Apr-2017	07-Jun-2017
IMAX CORPOR	RATION					
Security	45245E109			Meeting Type	Annual	
Ticker Symbol	IMAX			Meeting Date	06-Jun-2017	
ISIN	CA45245E1097			Agenda	934607891 - 1	Management
Record Date	11-Apr-2017			Holding Recon Date	11-Apr-2017	

City / Country / Canada Vote Deadline Date 01-Jun

01-Jun-2017

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
01	DIRECTOR	Management		
	1 NEIL S. BRAUN		For	For
	2 ERIC A. DEMIRIAN		For	For
	3 KEVIN DOUGLAS		For	For
	4 GREG FOSTER		For	For
	5 RICHARD L. GELFOND		For	For
	6 DAVID W. LEEBRON		For	For
	7 MICHAEL LYNNE		For	For
	8 MICHAEL MACMILLAN		For	For
	9 DANA SETTLE		For	For
	10 DARREN THROOP		For	For
	11 BRADLEY J. WECHSLER		For	For
02	IN RESPECT OF THE APPOINTMENT OF	Management	For	For

	PRICEWATERHOUSECOOPERS LLP AS AUDITORS OF THE COMPANY AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION. NOTE: VOTING WITHHOLD IS THE EQUIVALENT TO VOTING ABSTAIN.			
03	ADVISORY RESOLUTION TO APPROVE THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS AS SET FORTH IN THE ACCOMPANYING PROXY CIRCULAR. NOTE: VOTING ABSTAIN IS THE EQUIVALENT TO VOTING WITHHOLD.	Management	For	For
04	ADVISORY RESOLUTION ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION. NOTE: VOTING ABSTAIN IS THE EQUIVALENT TO VOTING WITHHOLD.	Management	3 Years	Against

Account

Number

Account Name

997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	Г 6,200	0	28-Apr-2017	07-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 TRUST CO	Г 95	114,20	œ 8-Apr-2017	07-Jun-2017
BIOGEN INC.						
Security	09062X103			Meeting Type	Annual	
Ticker Symbol	BIIB			Meeting Date	07-Jun-2017	
ISIN	US09062X1037			Agenda	934600568 -	Management
Record Date	10-Apr-2017			Holding Recon Date	10-Apr-2017	
City / Countr	·V	Jnited States		Vote Deadline Date	06-Jun-2017	
SEDOL(s)				Quick Code		

Internal Ballot Account Shares

Unavailable Shares Vote Date Date

Confirmed

Item	Proposal	Proposed by	Vote	For/Against Management	
1A.	ELECTION OF DIRECTOR: ALEXANDER J. DENNER	Management	For	For	
1B.	ELECTION OF DIRECTOR: CAROLINE D. DORSA	Management	For	For	
1C.	ELECTION OF DIRECTOR: NAM L. LEAMING	NCY Management	For	For	
1D.	ELECTION OF DIRECTOR: RICHARD C. MULLIGAN	Management	For	For	
1E.	ELECTION OF DIRECTOR: ROE W. PANGIA	BERT Management	For	For	
1F.	ELECTION OF DIRECTOR: STE PAPADOPOULOS	LIOS Management	For	For	
1G.	ELECTION OF DIRECTOR: BRI. S. POSNER	AN Management	For	For	
1H.	ELECTION OF DIRECTOR: ERIOR ROWINSKY	C K. Management	For	For	
1I.	ELECTION OF DIRECTOR: LYN SCHENK	N Management	For	For	
1J.	ELECTION OF DIRECTOR: STEPHEN A. SHERWIN	Management	For	For	
1K.	ELECTION OF DIRECTOR: MIC VOUNATSOS	HEL Management	For	For	
2.	TO RATIFY THE SELECTION OPRICEWATERHOUSECOOPERS AS BIOGEN INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBED 11, 2017.	S LLP ENT Management	For	For	
3.	SAY ON PAY - TO APPROVE AN ADVISORY VOTE ON EXECUT COMPENSATION.		For	For	
4.	SAY WHEN ON PAY - TO APPR AN ADVISORY VOTE ON THE FREQUENCY OF THE ADVISOR VOTE ON EXECUTIVE COMPENSATION.		3 Years	Against	
5.	TO APPROVE THE BIOGEN INC 2017 OMNIBUS EQUITY PLAN.	C. Management	For	For	
Accour Numbe	nt Account Name In	ternal Ballot Custodian ccount Shares	1	Unavailable Vote Date Shares	Date Confirmed

997AL4	45	GLV BNP PLEDGE	STA STR BAN 997A 45 TRU CO	REET NK 240	1	1,500	27-Apr-2017	08-Jun-2017
997AL-	47	GLQ BNP PLEDGE	STA STR BAN 997A 47 TRU CO	REET NK 190	1	11,600	27-Apr-2017	08-Jun-2017
997AL-	49	GLO BNP PLEDGE	STA STR 997A 249 TRU CO	REET NK 570	1	17,200	27-Apr-2017	08-Jun-2017
997AL6	51	GLQ MS PLEDGE	STA STR BAN 997A & TRU CO	REET NK 2,000	()	27-Apr-2017	08-Jun-2017
ALPHA	ABET IN	IC						
Security	y	02079K305			Meeting 7	Гуре	Annual	
Ticker S	Symbol	GOOGL			Meeting I	Date	07-Jun-2017	
ISIN		US02079K3059			Agenda		934604946 -	Management
Record	Date	19-Apr-2017			Holding F Date	Recon	19-Apr-2017	
City /	Country	17	Inited tates		Vote Dea Date	dline	06-Jun-2017	
SEDOL	$\mathcal{L}(\mathbf{s})$				Quick Co	de		
Item	Proposa	al		Proposed by	Vote		Against agement	
1.	DIREC	CTOR	M	Management				
	1 I	LARRY PAGE			For	For		
		SERGEY BRIN			For	For		
		ERIC E. SCHMIDT			For	For		
		L. JOHN DOERR			For	For		
		ROGER W. FERGUSON	N, JR.		For	For		
	_ T	DIANE B. GREENE			For	For		
		JOHN L. HENNESSY			For	For		

	8 ANN MATHER		For	For
	9 ALAN R. MULALLY		For	For
	10 PAUL S. OTELLINI		For	For
	11 K. RAM SHRIRAM		For	For
	12 SHIRLEY M. TILGHMAN		For	For
2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS ALPHABET'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	THE APPROVAL OF AN AMENDMENT TO ALPHABET'S 2012 STOCK PLAN TO INCREASE THE SHARE RESERVE BY 15,000,000 SHARES OF CLASS C CAPITAL STOCK.	Management	For	For
4.	THE APPROVAL OF THE 2016 COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	THE FREQUENCY OF FUTURE STOCKHOLDER ADVISORY VOTES REGARDING COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management	3 Years	For
6.	A STOCKHOLDER PROPOSAL REGARDING EQUAL SHAREHOLDER VOTING, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
7.	A STOCKHOLDER PROPOSAL REGARDING A LOBBYING REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
8.	A STOCKHOLDER PROPOSAL REGARDING A POLITICAL CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
9.	A STOCKHOLDER PROPOSAL REGARDING A REPORT ON GENDER PAY, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
10.	A STOCKHOLDER PROPOSAL REGARDING A CHARITABLE	Shareholder	Against	For

CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING.

A STOCKHOLDER PROPOSAL

REGARDING THE

11. IMPLEMENTATION OF "HOLY Shareholder Against For

LAND PRINCIPLES," IF PROPERLY PRESENTED AT THE MEETING.

A STOCKHOLDER PROPOSAL

12. REGARDING A REPORT ON "FAKE NEWS," IF PROPERLY PRESENTED Shareholder Against For

AT THE MEETING.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLO 2,000 TRUST CO	0 29-Apr-201	7 08-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 883 TRUST CO	0 29-Apr-201	7 08-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A _{&} 49 167 TRUST CO	8,500 29-Apr-201	7 08-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET 997AL61 500 TRUST CO	0 29-Apr-201	7 08-Jun-2017

ARES COMMERCIAL REAL ESTATE CORP

Security	04013V108	Meeting Type	Annual
Ticker Symbol	ACRE	Meeting Date	07-Jun-2017
ISIN	US04013V1089	Agenda	934606015 - Management
Record Date	12-Apr-2017	Holding Recon Date	12-Apr-2017

City /	Countr	V /	Jnited States			Vote De Date	adline	06-Jun-2017	
SEDOI	L(s)					Quick C	ode		
Item	Propos	al		Propo by	osed	Vote		/Against nagement	
1.	DIREC	CTOR		Mana	gement				
	1 (CAROLINE E. BLAKE	LY			For	For		
	2 1	ROBERT L. ROSEN				For	For		
2.	ERNS'	TIFY THE SELECTIO T & YOUNG LLP AS T ANY'S INDEPENDEN' TERED PUBLIC	HE	Mana	gement	For	For		
	ACCO	UNTING FIRM FOR T L YEAR ENDING DEC			844	2 02	2 02		
Accour Numbe		Account Name	Interna Accour	l Tustodia it	Ballot Shares		Unavai Shares	ilable Vote Date	Date Confirmed
997GL	V	GLV		TATE TREET ANK	17,900		0	28-Apr-2017	08-Jun-2017
			T	RUST O					
997GL	Q	GLQ		TATE TREET ANK Q	75,153		0	28-Apr-2017	08-Jun-2017
				RUST O					
			S	TATE TREET ANK					
997AL	45	GLV BNP PLEDGE		RUST	100		52,300	28-Apr-2017	08-Jun-2017
			S	TATE TREET					
997AL	47	GLQ BNP PLEDGE		RUST	203,747		0	28-Apr-2017	08-Jun-2017
CREDI	IT ACCE	EPTANCE CORPORAT							
Security	У	225310101				Meeting	Type	Annual	
Ticker	Symbol	CACC				Meeting	Date	07-Jun-2017	

ISIN		US2253101016				Agenda		934606142 -	Management
Record	Date	13-Apr-2017				Holding Recon Date		13-Apr-2017	
City /	Cour	ntry /	United States			Vote De Date	eadline	06-Jun-2017	
SEDOI	L(s)					Quick C	Code		
Item	Prop	osal		Propose by	ed	Vote		/Against nagement	
1.	DIRI	ECTOR		Manage	ement				
	1	GLENDA J. FLANA	GAN			For	For		
	2	BRETT A. ROBERTS	3			For	For		
	3	THOMAS N. TRYFO	ROS			For	For		
	4	SCOTT J. VASSALL	UZZO			For	For		
2.	NAM	ISORY VOTE TO API MED EXECUTIVE OFF MPENSATION.		Manage	ement	For	For		
3.	FREG ADV NAM	TISORY VOTE ON TH QUENCY OF FUTURE TISORY VOTES TO AI MED EXECUTIVE OFF MPENSATION.	PPROVE	Manage	ement	3 Years	Aga	ainst	
4.	SELI THO ACC INDI	IFICATION OF THE ECTION OF GRANT PROTON LLP AS CREED EPTANCE CORPORA EPENDENT REGISTE LIC ACCOUNTING FI	TION'S RED	Manage	ement	For	For		
Accour Numbe		Account Name	Interna Accou	d B Custodian nt S	Ballot Shares		Unava Shares	ilable Vote Date	Date Confirmed
997GL	Q	GLQ	S 997GI 3	STATE STREET SANK Q 8 SRUST	,800		0	28-Apr-2017	08-Jun-2017
997GL	O	GLO	997GI 1	STATE STREET SANK 1 SRUST CO	5,000		0	28-Apr-2017	08-Jun-2017
997AL	47	GLQ BNP PLEDGI	997A B	47 ATE 1	62		9,900	28-Apr-2017	08-Jun-2017

		-							
				TREE	Γ				
			В &	ANK					
				RUST					
			C	O					
				TATE					
				TREE	Γ				
997AL	49	GLO BNP PLEDGE	997AL	49	100		25,562	28-Apr-2017	08-Jun-2017
			T	RUST					
				O					
				TATE TREE	Г				
997AL	52	GLO MS PLEDGE	997AL		6,950		0	29 Apr 2017	08-Jun-2017
991AL	33	GLO MS FLEDGE			0,930		U	28-Apr-2017	00-Juii-2017
				RUST O					
ADUR	O BIOTE	ECH INC	C	.0					
Securit		00739L101				Meetin	g Type	Annual	
	Symbol	ADRO				Meetin		08-Jun-2017	
ISIN	,	US00739L1017				Agenda	_		Management
	_					_	g Recon		
Record	Date	19-Apr-2017				Date	6	19-Apr-2017	
City /	Country	v /	Inited			Vote D	eadline	07-Jun-2017	
City /	Country	' S	tates			Date		07- 3 411-2017	
SEDOI	$\mathcal{L}(s)$					Quick (
Item	Propos	al		Propo	osed	Vote		/Against	
1	DIDEC	TOD		by Mana			Ma	nagement	
1.	DIREC	ROSS HAGHIGHAT		Mana	agement	East	East		
			DILD			For	For		
		FRANK MCCORMICK				For	For		
		TIFY THE SELECTION UDIT COMMITTEE O							
		D OF DIRECTORS OF							
2.		TTE & TOUCHE LLP		Mana	ngement	For	For		
		ENDENT REGISTERE C ACCOUNTING FIRM			C				
		ISCAL YEAR ENDING							
	DECE	MBER 31, 2017.							
Accour Numbe	4	Account Name	Interna	l Įųstodia	Ballot Shares		Unava Shares	ilable Vote Date	Date Confirmed
		CI O					o O		09-Jun-2017
997GL	Ų	GLQ	997G B S'	WATE TREE			U	25-Apr-2017	U9-Juii-2U1/

BANK & **TRUST** CO **STATE STREET GLQ BNP PLEDGE** 100 997AL47 77,500 25-Apr-2017 09-Jun-2017 **TRUST** CO VERTEX PHARMACEUTICALS INCORPORATED Meeting Type Security 92532F100 Annual Ticker Symbol Meeting Date 08-Jun-2017 **VRTX ISIN** US92532F1003 Agenda 934615278 - Management Holding Recon Record Date 12-Apr-2017 12-Apr-2017 Date United Vote Deadline 07-Jun-2017 City / Country States Date SEDOL(s) Quick Code **Proposed** For/Against Item **Proposal** Vote by Management 1. **DIRECTOR** Management ALAN GARBER For For 2 MARGARET G. MCGLYNN For For 3 WILLIAM D. YOUNG For For AMENDMENTS TO OUR CHARTER AND BY-LAWS TO PROVIDE FOR 2. Management For For THE DECLASSIFICATION OF OUR BOARD OF DIRECTORS. AMENDMENT AND **RESTATEMENT OF OUR 2013** STOCK AND OPTION PLAN, TO 3. AMONG OTHER THINGS, Management For For INCREASE THE NUMBER OF SHARES AVAILABLE UNDER THE PLAN BY 6.75 MILLION SHARES. RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR 4. INDEPENDENT REGISTERED Management For For PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.

For

For

5.

ADVISORY VOTE ON OUR NAMED Management

	EXECUTIVE OFFICER COMPENSATION.			
6.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION PROGRAM.	Management	3 Years	Against
7.	SHAREHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT WE TAKE STEPS NECESSARY TO ELIMINATE SUPERMAJORITY PROVISIONS FROM OUR CHARTER AND BY-LAWS.	Shareholder	Against	For
8.	SHAREHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT WE PREPARE A REPORT ON OUR POLICIES AND ACTIVITIES WITH RESPECT TO LOBBYING.	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	ilable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLO 10,300 TRUST CO	0	03-May-2017	09-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL49 25,800 TRUST CO	0	03-May-2017	09-Jun-2017
AMS AG, UN	TERPREMSTAETTEN				
Security	A0400Q115		Meeting Type	Ordinary Ger	neral Meeting
Ticker Symbo	1		Meeting Date	09-Jun-2017	
ISIN	AT0000A18XM4		Agenda	708173808 -	Management
Record Date	30-May-2017		Holding Recon Date	30-May-2017	1
City / Coun	PREMST try AETTEN / A	Austria	Vote Deadline Date	24-May-2017	1
SEDOL(s)	BPF0537 - BPF0548 - BPFJ772 - BPH3KB7		Quick Code		

Item	Proposal	Proposed by	Vote	For/Against Management
1	PRESENTATION OF ANNUAL REPORTS	Non-Voting		
2	ALLOCATION OF NET PROFITS: DIVIDENDS OF EUR 0.30	Management	For	For
3	DISCHARGE OF MANAGEMENT BOARD	Management	For	For
4	DISCHARGE OF SUPERVISORY BOARD	Management	For	For
5	REMUNERATION FOR SUPERVISORY BOARD	Management	For	For
6	ELECTION OF EXTERNAL AUDITOR: KPMG	Management	For	For
7	CANCELLATION OF AUTHORIZED CAPITAL AND AMENDMENT OF ARTICLES	Management	For	For
8	NEW AUTHORIZED CAPITAL AND AMENDMENT OF ARTICLES	Management	For	For
9	AUTHORIZATION FOR ISSUANCE OF FINANCIAL INSTRUMENTS	Management	For	For
10	CONDITIONAL CAPITAL INCREASE	Management	For	For
11	BUYBACK AND USAGE OF OWN SHS	Management	For	For
12	REPORT ON OWN SHARES	Non-Voting		
CMMT	22 MAY 2017: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT AND AUDITOR NAME. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO-NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK-YOU.	Non-Voting		

Account Number	Account Name	Internal Custodia Account	Ballot Shares	U Si	Jnavai Shares	lable Vote Date	Date Confirmed
GLQ	GLQ	STATE STREET BANK		0)	11-May-2017	02-Jun-2017
KURA ONCO	DLOGY, INC.						
Security	50127T109			Meeting T	ype	Annual	
Ticker Symbo	ol KURA			Meeting D	Date	09-Jun-2017	

ISIN		US50127T1097			Agenda	a	934606419 - 1	Management
Record	l Date	11-Apr-2017			Holdin Date	g Recon	11-Apr-2017	
City /	Coun	itrv /	Jnited States		Vote D Date	eadline	08-Jun-2017	
SEDOI	L(s)				Quick	Code		
Item	Prop	osal		Proposed by	Vote		Against agement	
1.	DIRE	ECTOR		Management				
	1	TROY E. WILSON, PH	D, JD		For	For		
	2	FAHEEM HASNAIN			For	For		
	3	ROBERT E. HOFFMAN	N		For	For		
	4	THOMAS MALLEY			For	For		
	5	STEVEN H. STEIN, M.	D.		For	For		
2.	& YO INDI PUB OUR	ATIFY SELECTION OF DUNG LLP AS OUR EPENDENT REGISTERE LIC ACCOUNTING FIRE FISCAL YEAR ENDING EMBER 31, 2017.	ED M FOR	Management	For	For		
3.	TO A OUR CER' INCO	APPROVE AN AMENDM AMENDED AND REST TIFICATE OF DRPORATION, AS AME ET FORTH IN THE PRO TEMENT.	CATED ENDED,	Management	For	For		
Accour Numbe		Account Name	Interna Accour	l Ballot Justodian nt Shares		Unavail Shares	able Vote Date	Date Confirmed
997GL	.О	GLO	S 997G <mark>&</mark> T C	STATE STREET SANK O 51,636 CRUST CO STATE		0	26-Apr-2017	12-Jun-2017
997AL	.47	GLQ BNP PLEDGE	S 997AL T	TREET		21,000	26-Apr-2017	12-Jun-2017
T-MOI	BILE U	IS, INC.						
Securit	zy.	872590104			Meetin	g Type	Annual	
Ticker	Symbo	l TMUS			Meetin	g Date	13-Jun-2017	

ISIN		US8725901040			Agenda		934605936 - Management
Record	Date	17-Apr-2017			Holding Re Date	econ	17-Apr-2017
City /	Coun	try	/ United States		Vote Dead Date	line	12-Jun-2017
SEDOL	رs)				Quick Cod	e	
Item	Propo	osal		Proposed by	Vote		gainst gement
1.	DIRE	ECTOR		Management			
	1	W. MICHAEL BAR	NES		For	For	
	2	THOMAS DANNE	NFELDT		For	For	
	3	SRIKANT M. DAT.	AR		For	For	
	4	LAWRENCE H. GU	JFFEY		For	For	
	5	TIMOTHEUS HOT	TGES		For	For	
	6	BRUNO JACOBFE	UERBORN		For	For	
	7	RAPHAEL KUBLE	R		For	For	
	8	THORSTEN LANG	HEIM		For	For	
	9	JOHN J. LEGERE			For	For	
	10	TERESA A. TAYLO	OR		For	For	
	11	KELVIN R. WESTE	BROOK		For	For	
2.	APPO PRIC AS T INDE PUBL	IFICATION OF THE DINTMENT OF SEWATERHOUSECONE OF THE COMPANY'S EPENDENT REGIST LIC ACCOUNTING LAL YEAR 2017.	ERED	Management	For	For	
3.	THE TO T	ISORY VOTE TO A COMPENSATION P HE COMPANY'S NA CUTIVE OFFICERS	ROVIDED AMED	Management	For	For	
4.	FREG ADV COM THE	ISORY VOTE ON TO QUENCY OF FUTUR ISORY VOTES ON TO IPENSATION PROVICE OMPANY'S NAME CUTIVE OFFICERS.	RE THE IDED TO ED	Management	3 Years	For	
5.		CKHOLDER PROPO LEMENTATION OF ESS.		Shareholder	Against	For	
6.	LIMI	CKHOLDER PROPO TATIONS ON ACCE IING OF EQUITY A	ELERATED	Shareholder	Against	For	

THE EVENT OF A CHANGE OF CONTROL.

STOCKHOLDER PROPOSAL FOR

City / Country

7. Shareholder Against AN AMENDMENT OF THE For COMPANY'S CLAWBACK POLICY.

/ United

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	ilable Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 6,300 TRUST CO	0	28-Apr-2017	14-Jun-2017
997GLQ	GLQ	STATE STREET 997GLO 15,700 TRUST CO	0	28-Apr-2017	14-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 19,500 TRUST CO	0	28-Apr-2017	14-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 100 TRUST CO	77,800) 28-Apr-2017	14-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK 997AL61 10,000 TRUST CO	0	28-Apr-2017	14-Jun-2017
DERMIRA, IN	IC.				
Security	24983L104		Meeting Type	Annual	
Ticker Symbol			Meeting Date	13-Jun-2017	
ISIN	US24983L1044		Agenda	934615646 -	Management
Record Date	19-Apr-2017		Holding Recon Date	19-Apr-2017	

Vote Deadline

12-Jun-2017

	States					Date			
SEDOI	L(s)				Quick Co	ode			
Item	Proposa	al		Proposed by	Vote		/Against nagement		
1.	DIREC	TOR		Management					
	1 N	MARK D. MCDADE			For	For			
	2 J	AKE R. NUNN			For	For			
	3 7	THOMAS G. WIGGAN	S		For	For			
2.	ADVIS COMP OUR N	OVE, ON A NON-BIND SORY BASIS, THE ENSATION PAID BY I IAMED EXECUTIVE ERS FOR THE YEAR I MBER 31, 2016.	US TO	Management	For	For			
3.	ADVIS FREQU ADVIS	ON A NON-BINDING SORY BASIS, ON THE JENCY OF FUTURE SORY VOTES ON EXE		Management	3 Years	Aga	ainst		
4.	ERNST INDEP PUBLI	Y THE APPOINTMEN' C & YOUNG LLP AS O ENDENT REGISTERE C ACCOUNTING FIRM EAR ENDING DECEM	UR D M FOR	Management	For	For			
Accour Numbe	4	Account Name	Interna Accour	l ustodian shares		Unavai Shares	ilable Vote Date	Date Confirmed	
997GL	Q	GLQ	S 997GL T	TATE TREET ANK Q 17,100 RRUST		0	03-May-2017	14-Jun-2017	
997AL	47	GLQ BNP PLEDGE	S 997AL T	TATE TREET ANK 100 TRUST		6,800	03-May-2017	14-Jun-2017	
CELGI	ENE COI	RPORATION							
Securit	•	151020104			Meeting		Annual		
	Symbol	CELG			Meeting	Date	14-Jun-2017		
ISIN		US1510201049			Agenda		934609023 -	Management	

Record	Date	20-Apr-2017			Holding Ro Date	20-Apr-2017
City /	Country	y	/ United States		Vote Dead Date	line 13-Jun-2017
SEDOL	$\mathcal{L}(\mathbf{s})$				Quick Cod	e
Item	Propos	al		Proposed by	Vote	For/Against Management
1.	DIREC	TOR		Management		
	1 I	ROBERT J. HUGIN	1		For	For
	2 1	MARK J. ALLES			For	For
	3 I	RICHARD W BAR	KER D PHIL		For	For
	4 I	MICHAEL W. BON	INEY		For	For
	5 N	MICHAEL D. CAS	EY		For	For
	6 (CARRIE S. COX			For	For
	7 I	MICHAEL A. FRIE	DMAN, MD		For	For
	8 JULIA A. HALLER, M.D.		., M.D.		For	For
	9 (GILLA S. KAPLAN	I, PH.D.		For	For
	10 J	AMES J. LOUGHI	LIN		For	For
	11 H	ERNEST MARIO, I	PH.D.		For	For
2.	APPOI THE C REGIS ACCO	ICATION OF THE NTMENT OF KPM OMPANY'S INDE TERED PUBLIC UNTING FIRM FO L YEAR ENDING 7.	IG LLP AS PENDENT OR THE	Management	For	For
3.	AND R	OVAL OF AN AME RESTATEMENT O ANY'S STOCK IN	F THE	Management	For	For
4.	VOTE,	OVAL, BY NON-B OF EXECUTIVE ENSATION OF TH ANY'S NAMED EX ERS.	ΙΕ	Management	For	For
5.	NON-E FREQU	COMMEND, BY BINDING VOTE, T JENCY OF EXECU ENSATION VOTE	JTIVE	Management	3 Years	Against
6.	REQUI	KHOLDER PROPO EST A BY-LAW PI ING MANAGEME SS TO VOTE TALI	ROVISION NT'S	Shareholder	Against	For

TO THE ANNUAL MEETING WITH RESPECT TO CERTAIN EXECUTIVE PAY MATTERS, DESCRIBED IN MORE DETAIL IN THE PROXY STATEMENT.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	uilable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ 14,900 TRUST	0	28-Apr-2017	15-Jun-2017
997GLO	GLO	CO STATE STREET 997G 17,200 TRUST CO	0	28-Apr-2017	15-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A 49 100 TRUST CO	19,900) 28-Apr-2017	15-Jun-2017
SONY CO	RPORATION				
Security	J76379106		Meeting Type	Annual Gene	ral Meeting
Ticker Syı	abol		Meeting Date	15-Jun-2017	
ISIN	JP3435000009		Agenda	708212484 -	Management
Record Da	e 31-Mar-2017		Holding Recon Date	31-Mar-2017	
City / C	ountry TOKYO / J	Japan	Vote Deadline Date	07-Jun-2017	
SEDOL(s)	0821687 - 4823203 - 5485602 - 6821506 - B01DR28 - B0K3NH B0ZMMV5	6 -	Quick Code	67580	
Item P	oposal	Proposed by	VATE	r/Against magement	
P	ease reference meeting mater	rials. Non-Voting			
1.1 A	ppoint a Director Hirai, Kazu	uo Management	For For	ŗ	
1.2 A	ppoint a Director Yoshida, K	Kenichiro Management	For For	r	

1.3	Appoir	nt a Director Nagaya	ma, Osamu	Management	For	For			
1.4	Appoir	nt a Director Nimura	, Takaaki	Management	For	For			
1.5	Appoir	nt a Director Harada,	Eiko	Management	For	For			
1.6	Appoir	nt a Director Tim Sch	naaff	Management	For	For			
1.7	Appoir	nt a Director Matsuna	aga, Kazuo	Management	For	For			
1.8	Appoir	nt a Director Miyata,	Koichi	Management	For	For			
1.9	Appoir	nt a Director John V.	Roos	Management	For	For			
1.10	Appoint a Director Sakurai, Eriko			Management	For	For	For		
1.11	Appoir	nt a Director Minaka	wa, Kunihito	Management	For	For			
1.12	Appoir	nt a Director Sumi, S	huzo	Management	For	For			
2	Rights Officer Compa	ve Issuance of Share as Stock Options for s and Employees of my and Directors and Company's Subsidiar	Executive the Employees	Management	For	For			
Accoun Number		Account Name	Interna Accoun	d Ballot Custodian nt Shares		Unava Shares	ilable Vote Date	Date Confirmed	
GLV		GLV	S	TATE TREET 27,700 BANK		0	22-May-2017	14-Jun-2017	
GLQ		GLQ	S	TATE TREET 44,600 BANK		0	22-May-2017	14-Jun-2017	
GLO		GLO	S	TATE TREET113,000 BANK		0	22-May-2017	14-Jun-2017	
EDITA	S MEDI	CINE INC							
Security	y	28106W103			Meeting	Type	Annual		
Ticker S	Symbol	EDIT			Meeting	Date	15-Jun-2017		
ISIN		US28106W1036			Agenda		934602598 -	Management	
Record	Date	18-Apr-2017			Holding Date	Recon	18-Apr-2017		
City /	Countr	у	/ United States		Vote De Date	adline	14-Jun-2017		
SEDOL	L(s)				Quick C	ode			
Item	Propos	al		Proposed by	Vote		/Against nagement		
1.	DIREC	CTOR		Management					
	1 .	ALEXIS BORISY			For	For			

For

For

2 DOUGLAS G. COLE, M.D.

3	A.K. VAISHNAW MD	PHD		F	For	For		
SELE LLP A 2. INDE PUBI THE	FICATION OF THE CTION OF ERNST & Y AS THE COMPANY'S PENDENT REGISTERI LIC ACCOUNTING FIR FISCAL YEAR ENDING EMBER 31, 2017.	ED M FOR	Management	F	For	For		
DECI	31, 2017.							
Account Number	Account Name		t Shares		U S	Jnavai Shares	lable Vote Date	Date Confirmed
			TATE TREET					
997GLQ	GLQ	997GL	ANK 62,300 RUST		C)	27-Apr-2017	16-Jun-2017
997GLO	GLO		TATE TREET ANK 54,800		C)	27-Apr-2017	16-Jun-2017
		TR CC	RUST O					
997AL49	GLO BNP PLEDGE	ST 997AI 4	RUST		2	25,000	27-Apr-2017	16-Jun-2017
YELP INC								
Security	985817105			N	Meeting T	ype	Annual	
Ticker Symbo	YELP			N	Meeting I	Date	15-Jun-2017	
ISIN	US9858171054			A	Agenda		934613616 - 1	Management
Record Date	17-Apr-2017				Holding R Date	Recon	17-Apr-2017	
City / Coun	rv /	United States			/ote Dead Oate	dline	14-Jun-2017	
SEDOL(s)				Q	Quick Coo	de		
Item Propo	sal		Proposed by	V	/ote		Against agement	
1. DIRE	CTOR		Management					
1	DIANE M. IRVINE				or	For		
2	MARIAM NAFICY				For	For		
2.			Management	F	For	For		

TO RATIFY THE SELECTION OF DELOITTE & TOUCHE LLP AS YELP'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.

TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF

3. YELP'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.

CATCHER TECHNOLOGY CO LTD

Management For For

Account Number	Account Name	Internal Custodi Account	Ballot Shares	Unavailable Vote Date Shares	Date Confirmed
997GLQ	GLQ	STATE STREE 997G&Q TRUST CO	Г 19,700	0 02-May-2017	16-Jun-2017
997GLO	GLO	STATE STREE 997GLO TRUST CO	Γ 40,000	0 02-May-2017	16-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREE BANK 997A& TRUST CO	Г 99,400	38,900 02-May-2017	16-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREE BANK 997AL TRUST CO	Γ 100	267,60002-May-2017	16-Jun-2017
997AL53	GLO MS PLEDGE	STATE STREE BANK 997A 53 TRUST CO	Γ 40,000	0 02-May-2017	16-Jun-2017

		Ü		, ,				
Security		Y1148A101			Meeting Type		Annual General Meeting	
Ticker Symbol					Meeting Date		19-Jun-2017	
ISIN		TW0002474004			Agenda		708208839 - Management	
Record Date		20-Apr-2017			Holding Recon Date		20-Apr-2017	
City /	Country	y TAINAN /	Taiwan, Province of China		Vote Dead Date	lline	09-Jun-2017	
SEDOL(s) 6186669 - B02W2D0				Quick Cod	le			
Item	Propos	Proposal		Proposed by	Vote	ote For/Against Management		
СММТ	WHER US TO ANY-F DISCU MEET! WITH- PROPO WE OF OUT-T SUCH ACCO CLIEN HOWE THE SI DONE WE/OU TAKE OF TH	SE NOTE THAT IN CASE THE CLIENT INSTRUCTED AT A SHARE ING AND THE VOTE RESPECT TO SUCH COSAL IS DONE BY IT OF THE BALLOT IN RESPONSAL IN ROANCE WITH THE TS-INSTRUCTIONS OVER, IF THE VOTE HAREHOLDERS MIN BY-ACCLAMATIOUR DESIGNEE WILLIANY ACTION IN REPROPOSAL IN BY-ACCLAMATIOUR DESIGNEE WILLIANY ACTION IN RESPONSAL IN THE PROPOSAL IN	TRUCTS CHOLDERS ING H BALLOT, VILL FILL SPECT OF E S. NG AT EETING IS N, L NOT ESPECT	Non-Voting				
1	REPOR	CCEPT 2016 BUSINE RT AND FINANCIAI EMENTS.		Management	For	For		
2	DISTR PROFI	CEPT THE PROPOS IBUTION OF 2016 TS.PROPOSED CAS END :TWD 10 PER S	SH	Management	For	For		
3	NEW (PROVE THE ISSUA COMMON SHARES AND OR ISSUANCI	FOR	Management	For	For		
4	AQUIS	MEND THE COMPAI SITION OR DISPOSA S PROCEDURE.		Management	For	For		

Accour Numbe		Account Name	Interna Accour	l Ballot ustodian shares		Unava Shares	ilable Vote Date	Date Confirmed
GLQ		GLQ	S S	TATE TREET 194,000 ANK		0	20-May-2017	09-Jun-2017
CARD	IOME	PHARMA CORP.						
Securit	.y	14159U301			Meeting	Type	Annual and S	Special Meeting
Ticker	Symbo	ol CRME			Meeting	Date	20-Jun-2017	
ISIN		CA14159U3010			Agenda		934638098 -	Management
Record	l Date	16-May-2017			Holding Date	Recon	16-May-2017	7
City /	Cour	ntry /	Canada		Vote Dea Date	adline	15-Jun-2017	
SEDOI	L(s)				Quick Co	Code		
Item	Prop	osal		Proposed by	Vote		/Against nagement	
01	DIRI	ECTOR		Management				
	1	RICHARD M. GLICK	MAN		For	For		
	2	W. JAMES O'SHEA			For	For		
	3	WILLIAM L. HUNTE	R		For	For		
	4	MARK H. CORRIGAN	٧		For	For		
	5	ARTHUR H. WILLMS	S		For	For		
	6	ROBERT J. MEYER			For	For		
02	AUD FOR AUT	OINTMENT OF KPMG DITORS OF THE CORPO THE ENSUING YEAR CHORIZING THE DIREC FIX THEIR REMUNER	ORATION AND CTORS	Management	For	For		
03	RESO CER UNA UND RESO AS M OUT MAN CIRO	APPROVE AN ORDINA OLUTION APPROVING TAIN AMENDMENTS LLOCATED ENTITLE DER THE CORPORATION TRICTED SHARE UNITABLE PARTICULARLY IN THE ACCOMPANY NAGEMENT INFORMACULAR.	G TO AND MENTS DN'S F PLAN Y SET YING	Management	For	For		
04	RES CER	APPROVE AN ORDINA OLUTION APPROVING TAIN AMENDMENTS ALLOCATED OPTIONS	G TO AND	Management	For	For		

THE CORPORATION'S INCENTIVE STOCK OPTION PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavai Shares	ilable Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET 997A _{&} 45 100 TRUST CO	149,10	031-May-2017	21-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A&47 100 TRUST CO	418,10	031-May-2017	21-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A 49 1,042,181 TRUST CO	. 0	31-May-2017	21-Jun-2017
BLACKST	ONE MORTGAGE TRUST,	, INC			
Security	09257W100		Meeting Type	Annual	
Ticker Sym	bol BXMT		Meeting Date	21-Jun-2017	
ISIN	US09257W1009		Agenda	934603881 -	Management
Record Date	e 13-Apr-2017		Holding Recon Date		
City / Co	nintry /	United States	Vote Deadline Date	20-Jun-2017	
SEDOL(s)			Quick Code		
Item Pro	oposal	Proposed by	VOIE	For/Against Management	
1. DI	RECTOR	Management			
1	MICHAEL B. NASH		For For		
2	2 STEPHEN D. PLAVIN		For For		
3	3 LEONARD W. COTTO	N	For For		
4	THOMAS E. DOBROW	/SKI	For For		
4	MARTIN L. EDELMAN	1	For For		

	6 HENRY N. NASSAU		For	For
	7 JONATHAN L. POLLACK		For	For
	8 LYNNE B. SAGALYN		For	For
2.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	ADVISORY VOTE ON EXECUTIVE COMPENSATION: TO APPROVE IN A NON- BINDING, ADVISORY VOTE, THE COMPENSATION PAID TO OUR NAMED EXECUTIVE OFFICERS.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Vote Date Shares	Date Confirmed
997GLQ	GLQ	STATE STREET 997G Q 59,700 TRUST CO	0 28-Apr-2017	22-Jun-2017
997GLO	GLO	STATE STREET 997GLO 58,200 TRUST CO	0 28-Apr-2017	22-Jun-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 32,500 TRUST CO	39,700 28-Apr-2017	22-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 80,100 TRUST CO	69,900 28-Apr-2017	22-Jun-2017
997AL49	GLO BNP PLEDGE	997A S49 ATE 100 STREET BANK &	206,10028-Apr-2017	22-Jun-2017

		TRUST CO			
		STATE			
		STREET			
997AL53	GLO MS PLEDGE 997A	BANK L53 50,000	0	28-Apr-2017	22-Jun-2017
		TRUST CO			
		STATE STREET			
997AL60	GLV MS PLEDGE 997A	BANK L60 5,000	0	28-Apr-2017	22-Jun-2017
		TRUST CO			
		STATE STREET			
997AL61	GLQ MS PLEDGE 997A	BANK L61 10,800	0	28-Apr-2017	22-Jun-2017
		TRUST CO			
SEMICONDU	JCTOR MANUFACTURING IN	TERNATIONAL C	CORP		
Security	G8020E119		Meeting Type	Annual Gene	eral Meeting
Ticker Symbo			Meeting Date	23-Jun-2017	
ISIN	KYG8020E1199		Agenda	708261982 -	Management
Record Date	19-Jun-2017		Holding Recon Date	19-Jun-2017	
City / Coun	try SHANGH AI / China		Vote Deadline Date	16-Jun-2017	
SEDOL(s)	BDFBM13 - BDFC8W9 - BDH2T79 - BDRKV77 - BDRKVB1 - BYZLGH4 - BYZMKY2		Quick Code		
		Proposed	For	/Against	
Item Propo	osal	by	Vote	nagement	
AME 7795 TO 1	ASE NOTE THAT THIS IS AN ENDMENT TO MEETING ID 86 DUE TO RESOLUTIONS-7 5 ARE SINGLE ITEMS. ALL	Non Votino			
PRE' BE-I NEE	ES RECEIVED ON THE VIOUS MEETING WILL DISREGARDED AND YOU WII D TO REINSTRUCT ON THIS TING NOTICE. THANK YOU	Non-Voting L			
CMMT PLEA	ASE NOTE THAT	Non-Voting			

SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-(http://www.hkexnews.hk/listedco/listconews/sehk/2017/0 Non-Voting CMMT 523/ltn20170523419.pdf)-,-(http://www.hkexnews.hk/listedco/listconews/sehk/2017/0 523/ltn20170523382.pdf)-AND-(http://www.hkexnews.hk/listedco/listconews/sehk/2017/0 531/ltn20170531819.pdf) TO RECEIVE AND CONSIDER THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS AND THE REPORTS OF THE DIRECTORS 1 OF THE COMPANY Management For For ("DIRECTOR(S)") AND THE AUDITORS OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2016 TO RE-ELECT DR. ZHOU ZIXUE AS 2.A Management For For AN EXECUTIVE DIRECTOR TO RE-ELECT DR. TZU-YIN CHIU 2.B Management For For AS A NON-EXECUTIVE DIRECTOR TO RE-ELECT DR. GAO 2.C YONGGANG AS AN EXECUTIVE Management For For DIRECTOR TO RE-ELECT MR. WILLIAM TUDOR BROWN AS AN 2.D Management For For INDEPENDENT NON-EXECUTIVE **DIRECTOR** TO RE-ELECT DR. TONG GUOHUA 2.E Management For For AS A NON-EXECUTIVE DIRECTOR TO RE-ELECT DR. SHANG-YI 2.F CHIANG AS AN INDEPENDENT Management For For NON-EXECUTIVE DIRECTOR TO RE-ELECT DR. JASON JINGSHENG CONG AS AN 2.G Management For For INDEPENDENT NON-EXECUTIVE **DIRECTOR** TO AUTHORIZE THE BOARD OF 2.H DIRECTORS (THE "BOARD") TO Management For For FIX THEIR REMUNERATION

3	TO RE-APPOINT PRICEWATERHOUSECOOPERS AND PRICEWATERHOUSECOOPERS ZHONG TIAN LLP AS THE AUDITORS OF THE COMPANY FOR HONG KONG FINANCIAL REPORTING AND U.S. FINANCIAL REPORTING PURPOSES, RESPECTIVELY, AND TO AUTHORISE THE AUDIT COMMITTEE OF THE BOARD TO FIX THEIR REMUNERATION	Management	For	For
4	TO GRANT A GENERAL MANDATE TO THE BOARD TO ALLOT, ISSUE, GRANT, DISTRIBUTE AND OTHERWISE DEAL WITH ADDITIONAL SHARES IN THE COMPANY, NOT EXCEEDING TWENTY PER CENT. OF THE NUMBER OF ISSUED SHARES OF THE COMPANY AT THE DATE OF THIS RESOLUTION	Management	For	For
5	TO GRANT A GENERAL MANDATE TO THE BOARD TO REPURCHASE SHARES OF THE COMPANY, NOT EXCEEDING TEN PER CENT. OF THE NUMBER OF ISSUED SHARES OF THE COMPANY AT THE DATE OF THIS RESOLUTION	Management	For	For
	CONDITIONAL ON THE PASSING OF RESOLUTIONS 4 AND 5, TO AUTHORIZE THE BOARD TO EXERCISE THE POWERS TO			
6	ALLOT, ISSUE, GRANT, DISTRIBUTE AND OTHERWISE DEAL WITH THE ADDITIONAL AUTHORIZED BUT UNISSUED SHARES IN THE COMPANY REPURCHASED BY THE COMPANY	Management	For	For
7	(A) TO AUTHORIZE THE INCREASE IN THE AUTHORISED SHARE CAPITAL OF THE COMPANY FROM USD 22,000,000 TO USD 42,000,000 BY THE CREATION OF AN ADDITIONAL 5,000,000,000 COMMON SHARES IN THE SHARE CAPITAL OF THE	Management	For	For

COMPANY; AND (B) TO

AUTHORIZE ANY DIRECTOR OF

THE COMPANY TO DO ALL SUCH

ACTS ON BEHALF OF THE

COMPANY AS HE/SHE MAY

CONSIDER NECESSARY,

DESIRABLE OR EXPEDIENT FOR

THE PURPOSE OF, OR IN

CONNECTION WITH, THE

IMPLEMENTATION OF AND

GIVING EFFECT TO THE

INCREASE IN AUTHORIZED

SHARE CAPITAL OF THE

COMPANY

(A) TO AUTHORIZE THE

REDUCTION OF THE AMOUNT

STANDING TO THE CREDIT OF

THE SHARE PREMIUM ACCOUNT

OF THE COMPANY BY AN

AMOUNT OF USD 910,849,175.17

AND TO AUTHORIZE THE

8 DIRECTORS OF THE COMPANY TO Management For For

APPLY SUCH AMOUNT TO

ELIMINATE THE ACCUMULATED

LOSSES OF THE COMPANY AS AT

31 DECEMBER 2016 IN THE SAME

AMOUNT; AND (B) TO AUTHORIZE

ANY DIRECTOR OF THE

COMPANY

TO DO ALL ACTS ON BEHALF OF

THE COMPANY AS HE/SHE MAY

CONSIDER NECESSARY,

DESIRABLE OR EXPEDIENT FOR

THE PURPOSE OF, OR IN

CONNECTION WITH, THE

IMPLEMENTATION OF AND

COMPLETION OF THE SHARE

PREMIUM REDUCTION OF THE

COMPANY

9 (A) TO APPROVE AND CONFIRM Management For

THE PROPOSED GRANT OF

2,109,318 RSUS TO DR. TZU-YIN

CHIU, THE FORMER CHIEF

EXECUTIVE OFFICER OF THE

COMPANY AND A

NON-EXECUTIVE DIRECTOR, IN

ACCORDANCE WITH THE TERMS

OF THE 2014 EQUITY INCENTIVE

PLAN, AND SUBJECT TO ALL

For

APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY. DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE **IMPLEMENTATION AND** COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED **RSU GRANT**

10 (A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 62,500 RSUS TO DR. CHEN SHANZHI, A NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE

ANNUAL GENERAL MEETING OF

Management For For

Management

For

For

THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT

11 (A) TO APPROVE AND CONFIRM
THE PROPOSED GRANT OF 62,500
RSUS TO MR. LIP-BU TAN, AN
INDEPENDENT NON-EXECUTIVE
DIRECTOR, IN ACCORDANCE
WITH THE TERMS OF THE 2014
EQUITY INCENTIVE PLAN, AND

SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS

AND THE OTHER APPLICABLE

DOCUMENTS; AND (B) TO

AUTHORIZE ANY DIRECTOR OF

THE COMPANY TO EXERCISE THE

POWERS OF THE COMPANY TO

ALLOT AND ISSUE THE COMMON

SHARES OF THE COMPANY

PURSUANT TO THE PROPOSED

RSU GRANT UNDER THE SPECIFIC

MANDATE GRANTED TO THE

DIRECTORS BY THE

SHAREHOLDERS AT THE ANNUAL

GENERAL MEETING OF THE

COMPANY HELD ON 13 JUNE 2013

IN ACCORDANCE WITH THE

TERMS OF THE 2014 EQUITY

INVENTIVE PLAN AND/OR TO DO

ALL SUCH ACTS ON BEHALF OF

THE COMPANY AS HE/SHE MAY

CONSIDER NECESSARY,

DESIRABLE OR EXPEDIENT FOR

THE PURPOSE OF, OR IN

CONNECTION WITH THE

IMPLEMENTATION AND

COMPLETION OF THE

TRANSACTIONS CONTEMPLATED

151

PURSUANT TO THE PROPOSED **RSU GRANT**

(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 187,500 RSUS TO DR. SHANG-YI CHIANG, AN INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE

THE SPECIFIC MANDATE Management For For

GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE **TERMS OF THE 2014 EQUITY** INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE **IMPLEMENTATION AND** COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED

PROPOSED RSU GRANT UNDER

12

RSU GRANT 13 (A) TO APPROVE AND CONFIRM Management For For THE PROPOSED GRANT OF 187,500 RSUS TO DR. TONG GUOHUA. A NON-EXECUTIVE DIRECTOR, IN

ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER

APPLICABLE DOCUMENTS; AND

(B) TO AUTHORIZE ANY

Management

For

For

DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY

PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE **TERMS OF THE 2014 EQUITY** INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE **IMPLEMENTATION AND** COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED **RSU GRANT**

14 (A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 187,500 RSUS TO DR. JASON JINGSHENG CONG, AN INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE

2013 IN ACCORDANCE WITH THE

TERMS OF THE 2014 EQUITY

INVENTIVE PLAN AND/OR TO DO

ALL SUCH ACTS ON BEHALF OF

THE COMPANY AS HE/SHE MAY

CONSIDER NECESSARY,

DESIRABLE OR EXPEDIENT FOR

THE PURPOSE OF, OR IN

CONNECTION WITH THE

IMPLEMENTATION AND

COMPLETION OF THE

TRANSACTIONS CONTEMPLATED

PURSUANT TO THE PROPOSED

RSU GRANT

(A) TO APPROVE AND CONFIRM

THE PROPOSED PAYMENT OF USD

688,000 TO DR.CHIU, THE NON-

EXECUTIVE VICE CHAIRMAN

AND A NON-EXECUTIVE

DIRECTOR, AS A TOKEN OF

APPRECIATION FOR HIS

CONTRIBUTIONS TO THE

COMPANY DURING HIS TENURE

AS CHIEF EXECUTIVE OFFICER OF

THE COMPANY BETWEEN 5

AUGUST 2011 AND 10 MAY 2017;

15 AND (B) TO AUTHORIZE ANY

DIRECTOR OF THE COMPANY

(WITH THE EXCEPTION OF DR.

CHIU) BE AND IS HEREBY

AUTHORISED TO DO ALL ACTS

ON BEHALF OF THE COMPANY AS

HE/SHE MAY CONSIDER

NECESSARY, DESIRABLE OR

EXPEDIENT FOR THE PURPOSE

OF, OR IN CONNECTION WITH,

THE GRATUITY OF THE

PROPOSED PAYMENT TO DR.

CHIU

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares	Date Confirmed
GLQ	GLQ	STATE STREET 780,000 BANK	0 02-Jun-2017	19-Jun-2017
GLO	GLO	STATE STREET 1,960,000 BANK	0 02-Jun-2017	19-Jun-2017

Management

For

For

INTRA-CELLULAR THERAPIES INC

Security	ý	46116X101			Meeting T	ype	Annual
Ticker S	Symbol	ITCI			Meeting D	ate	27-Jun-2017
ISIN		US46116X1019			Agenda		934621978 - Management
Record	Date	28-Apr-2017			Holding Ro Date	econ	28-Apr-2017
City /	Country	y	/ United States		Vote Dead Date	line	26-Jun-2017
SEDOL	L(s)				Quick Cod	le	
Item	Proposa	al		Proposed by	Vote		Against Igement
1.	DIREC	TOR		Management			
	1 F	RICHARD LERNE	R, M.D.		For	For	
2.	ERNST COMPA REGIS' ACCOU	TIFY THE APPORT & YOUNG LLP A ANY'S INDEPENT TERED PUBLIC UNTING FIRM FO L YEAR ENDING 7.	AS THE DENT OR THE	Management	For	For	
3.	VOTE OUR N	PROVE BY AN AI THE COMPENSA' AMED EXECUTI ERS, AS DISCLOS Y STATEMENT.	TION OF VE	Management	For	For	

Account Number	Account Name	Internal Custodi Account	Ballot Shares	Unava Shares	ilable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREE BANK 997GK TRUST CO	Γ 69,200	0	10-May-2017	28-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREE BANK 997AL49 TRUST CO	210,500	0	10-May-2017	28-Jun-2017
997AL53	GLO MS PLEDGE	STATE STREE BANK 997AL53 TRUST CO	30,000	0	10-May-2017	28-Jun-2017

STATE STREET

997AL	61	GLQ MS PLEDGE	STI 997AL61	REET NK	Γ 25,000		0	10-May-2017	28-Jun-2017
			TRI CO	UST					
NINTE	ENDO CO	O.,LTD.	00						
Security	у	J51699106				Meeting	g Type	Annual Gene	ral Meeting
Ticker	Symbol					Meeting	g Date	29-Jun-2017	
ISIN		JP3756600007				Agenda		708274446 -	Management
Record	Date	31-Mar-2017				Holding Date	Recon	31-Mar-2017	
City /	Countr	y KYOTO / Ja	apan			Vote De Date	eadline	21-Jun-2017	
SEDOI	Ĺ(s)	5334209 - 6639550 - B02JMD1 - B0ZGTW BRTM8H8	7 -			Quick C	Code	79740	
Item	Propos	al		Propo by	osed	Vote		/Against nagement	
	Please	reference meeting mater	ials. N	Non-	Voting				
1	Approv	ve Appropriation of Surp	olus N	Mana	ngement	For	For		
2.1	Superv	nt a Director except as isory Committee Membo nima, Tatsumi	ers N	Mana	agement	For	For		
2.2	Superv	nt a Director except as isory Committee Member noto, Shigeru	ers N	Mana	agement	For	For		
2.3	Superv	nt a Director except as isory Committee Membershi, Shinya	ers N	Mana	igement	For	For		
2.4	Superv	nt a Director except as isory Committee Member wa, Shuntaro	ers N	Mana	igement	For	For		
2.5		nt a Director except as isory Committee Membe Ko	ers M	Mana	agement	For	For		
Accour Numbe	-	Account Name	Internal Cus Account	stodia	Ballot Shares		Unava Shares	ilable Vote Date	Date Confirmed
GLV		GLV	STA STI	ATE	Γ1,800		0	07-Jun-2017	28-Jun-2017

GLQ	GLQ	STATE STREET 5,700 BANK	0	07-Jun-2017	28-Jun-2017
GLO	GLO	STATE STREET 14,800 BANK	0	07-Jun-2017	28-Jun-2017

SIGNATURE

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Clough Global Equity Fund

By: /s/ Edmund J. Burke Edmund J. Burke

President

Date: August 23, 2017