Branderiz Eric Form 4 November 29, 2017

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

obligations

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading **Branderiz Eric** Symbol

Tesla, Inc. [TSLA]

(Last) (First) (Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 11/27/2017

C/O TESLA, INC, 3500 DEER **CREEK ROAD** 

PALO ALTO, CA 94304

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

of

Ownership

(Instr. 4)

OMB

Number:

Expires:

response...

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

VP, Chief Accounting Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

	(State)									
(City)		(Zip) T	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6.	7. Nature			
Security	(Month/Day/Year)	Execution Date, i	if Transaction	on(A) or Disposed of (D)	Securities	Ownership	Indirect			
(Instr 3)		ansv	Code	(Instr. 3. 4 and 5)	Reneficially	Form: Direct	Reneficial			

Security	(Monumbay/ I car)	Execution Date, ii	Transaction(A) of Disposed of (D)			Securities	Ownership	
(Instr. 3)		any	Code	(Instr. 3,	4 and	5)	Beneficially	Form: Direct
		(Month/Day/Year)	(Instr. 8)				Owned	(D) or
							Following	Indirect (I)
					( 4 )		Reported	(Instr. 4)
					(A)		Transaction(s)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	
Common Stock	11/27/2017		$M_{\underline{(1)}}$	1,225	A	\$ 181.45	1,225	D
Common Stock	11/27/2017		S <u>(1)</u>	1,225	D	\$ 313.25	0	D
Common Stock	11/27/2017		M(1)	511	A	\$ 181.45	511	D
Common Stock	11/27/2017		S(1)	511	D	\$ 313.25	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: Branderiz Eric - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number 6. Date Exer Transaction Derivative Expiration D (Month/Day) (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount Underlying Securitie (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Incentive Stock Option (right to buy)	\$ 181.45	11/27/2017		M	511	(2)	11/14/2026	Common Stock	511
Non-Qualified Stock Option (right to buy)	\$ 181.45	11/27/2017		M	1,225	(2)	11/14/2026	Common Stock	1,22

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Branderiz Eric C/O TESLA, INC 3500 DEER CREEK ROAD PALO ALTO, CA 94304

VP, Chief Accounting Officer

## **Signatures**

By: Aaron Beckman, Power of Attorney For: Eric
Branderiz
11/29/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise and sale transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 9, 2017.

**(2)** 

Reporting Owners 2

#### Edgar Filing: Branderiz Eric - Form 4

1/4th of the shares subject to the option became vested and exercisable on 10/24/2017 and 1/48th of the shares subject to the option shall become vested and exercisable every month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.